

# SUPREME COURT OF PENNSYLVANIA

## STATEMENT OF FINANCIAL INTEREST

### Judicial Officers

“All Statements of Financial Interest filed shall be made available for public inspection and copying during regular office hours.”  
In Re: Financial Disclosure and Reporting Requirements for Judicial Officers, No. 47 Jud. Admin. Docket No. 1 (April 13, 1984).

#### Part A

**INSTRUCTIONS:** Complete entire form. Please type or print. Attach additional 8 1/2" x 11" sheets if necessary and indicate each item by number.

**1** Last Name \_\_\_\_\_ First Name \_\_\_\_\_ Middle Initial \_\_\_\_\_ **2** County Name (OFFICE ONLY) \_\_\_\_\_

**3** Street Address (OFFICE ONLY) \_\_\_\_\_

**4** City \_\_\_\_\_ State \_\_\_\_\_ Zip Code \_\_\_\_\_ Telephone (OFFICE ONLY) \_\_\_\_\_  
( )

#### **5** Judicial Officers

Supreme	<input type="checkbox"/>	Phila. Municipal Court	<input type="checkbox"/>	Senior Judge	<input type="checkbox"/>	Judicial Dist. No.
Superior	<input type="checkbox"/>	Phila. Traffic Court	<input type="checkbox"/>	Senior Magisterial Dist. Judge	<input type="checkbox"/>	
Commonwealth	<input type="checkbox"/>	Magisterial District Judge	<input type="checkbox"/>			Magisterial Dist. No.
Common Pleas	<input type="checkbox"/>					

**REMEMBER:** Items 6 through 12 – All information concerns the PRIOR Calendar YEAR. **NO DOLLAR AMOUNTS** are required except in item 9. If answer is NONE, check the box in the correct block. Information must be included for spouse and dependent children. REFER TO INSTRUCTIONS.

**6** REAL ESTATE INTERESTS (refer to instructions): IF NONE, check this box.

**7** CREDITORS: IF NONE, check this box.

Creditor \_\_\_\_\_ Address \_\_\_\_\_ Interest Rate \_\_\_\_\_

**8** DIRECT AND INDIRECT SOURCES OF INCOME (including, but not limited to, employers such as the Commonwealth of Pennsylvania): IF NONE, check this box.

Name Commonwealth of Pennsylvania \_\_\_\_\_ Address Harrisburg, PA \_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

**9** GIFTS: IF NONE, check this box.

Source of Gift \_\_\_\_\_ Address of Source of Gift \_\_\_\_\_

Value of Gift \_\_\_\_\_ Reason for Gift \_\_\_\_\_

**10** HONORARIA: IF NONE, check this box.

**11** OFFICE OR DIRECTORSHIP OR EMPLOYMENT IN ANY BUSINESS: IF NONE, check this box.

Business Entity \_\_\_\_\_ Position Held \_\_\_\_\_

**12** FINANCIAL INTEREST IN ANY LEGAL ENTITY IN BUSINESS FOR PROFIT: IF NONE, check this box.

This form must be SIGNED AND DATED and contain your NAME in order to be accepted.

Information represents disclosure for the prior calendar year 20\_\_\_\_\_.

\*Signature \_\_\_\_\_ Date \_\_\_\_\_

**SUPREME COURT OF PENNSYLVANIA**  
**STATEMENT OF FINANCIAL INTEREST**

**Part B**

**Verification of Legal Residence**

Information provided in this section is subject to the same disclosure requirements as Responses 1 through 12 except that this information shall not be available to the public.

NAME (please print)	COUNTY	
STREET ADDRESS	APT. NO.	
CITY	STATE	ZIP CODE

*Signature	Date
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\*Please note that your signature is required on Parts A and B on this form.

**SUPREME COURT OF PENNSYLVANIA  
STATEMENT OF FINANCIAL INTEREST  
INSTRUCTIONS**

By Order of the Supreme Court of Pennsylvania of April 13, 1984, No. 47, Judicial Administration Docket #1, all judicial officers must file a Statement of Financial Interest in accordance therewith.

**Who Must File:**

This form must be completed and filed by:

- (1) All Supreme Court Justices
- (2) All Superior Court Judges
- (3) All Commonwealth Court Judges
- (4) All Common Pleas Court Judges
- (5) All Judges of the Municipal Court of Philadelphia
- (6) All Magisterial District Judges
- (7) All Judges of the Traffic Court of Philadelphia
- (8) All Senior Judges and Magisterial District Judges who have served during a reporting period.

**When to File:**

- (1) Judicial officers shall file a Statement of Financial Interest for the prior calendar year no later than May 1 of each year.
- (2) A judicial officer shall file a Statement of Financial Interest within 60 days of leaving such position for that calendar year.

**Where to File:**

Each Statement of Financial Interest is to be filed with the Administrative Office of Pennsylvania Courts.

**What to File:**

Original to be filed; Copy to be retained for judicial officer's records.

**Failure to File or Filing False Information:**

- (1) Upon failure to file the required statement within the time allowed, the AOPC shall give notice to such judicial officer that failure to comply within 10 days shall result in the judicial officer's suspension from duties.
- (2) If the judicial officer fails to file the required statement within the 10-day period, the AOPC shall notify the Supreme Court and the Judicial Conduct Board of the delinquent filing.
- (3) The knowing and willful falsification of any information required to be reported, or the knowing and willful failure to report the required information, shall constitute a charge of misconduct and shall result in referral of the case to the Judicial Conduct Board for expedited disposition.

**SUPPLEMENTAL INSTRUCTIONS**

**PART A**

Items 1 through 5: Self explanatory

**SPECIAL INSTRUCTIONS:** Items 6 through 12—All information concerns the prior calendar year. No dollar amounts are required, except in item 9. If answer is NONE, check the box in the correct block. *Information must be included for a spouse (who lived in the household during the reporting period) AND dependent children (person under 18 years of age who lived in the household during the reporting period and whom the judicial officer claimed as a dependent on the judicial officer's federal income tax return).*

**06 REAL ESTATE INTERESTS:** DO NOT list Real Estate Interests such as home mortgages. List ONLY the names and addresses of any direct or indirect interests in real estate as follows: Any Real Estate Interests in property which was sold or leased to, purchased or leased from, or which was the subject to any condemnation proceedings by the Commonwealth, its agencies, or any political subdivisions.

**07 CREDITORS:** List ONLY those loans/debts which at any time during the prior year were over \$6,500 and their interest rates. DO NOT list mortgages or equity loans on your home (or secondary home). DO NOT list loans or credit between the judicial officer required to file and a spouse or dependent children.

- 08 **DIRECT AND INDIRECT SOURCES OF INCOME:** List Employers (including the Commonwealth of Pennsylvania) and all other sources of income of \$1,300 or more (gross income). Also include the source and address, not the dollar amount, of any investment income (including, but not limited to, interest income, dividend, and capital gain) of \$1,300 or more. DO NOT list income such as governmental welfare, domiciliary care income, veteran's benefits, social security income, retirement income, and similar pensions, as well as income received from service as a fiduciary for a member of your family or with whom you maintain a close familial relationship.
- 09 **GIFTS:** List individual items that are valued at \$250 or more and were received from one source. DO NOT list gifts received from a spouse, parents, parents by marriage, siblings, children and grandchildren, those with whom you occupy a close familial relationship, gifts by will or intestacy, succession, and wedding or engagement gifts.
- 10 **HONORARIA:** List the source of any payment over \$100 for an appearance, speech, presentation, etc.
- 11 **OFFICE OR DIRECTORSHIP OR EMPLOYMENT IN ANY BUSINESS ENTITY:** List any office, directorship or employment in any business.
- 12 **FINANCIAL INTERESTS:** List the name, address, and position held in any business for profit if holding more than 5% of the Common Stock at fair market value or bond as well as any debenture, mortgage, lien, unsecured note or the like which represents 5% or more of the assets of the business.

## PART B

Verification of Legal Residence: Self explanatory