#### IN THE SUPREME COURT OF PENNSYLVANIA

OFFICE OF DISCIPLINARY COUNSEL, : No. 2755 Disciplinary Docket No. 3

:

Petitioner : No 153 DB 2020

v. : Attorney Registration No. 71764

JAMI SEGOTA, : (Chester County)

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Respondent

#### **ORDER**

#### **PER CURIAM**

AND NOW, this 4<sup>th</sup> day of November, 2020, upon consideration of the Recommendation of the Three-Member Panel of the Disciplinary Board, the Joint Petition in Support of Discipline on Consent is granted, and Jami Segota is suspended on consent from the Bar of this Commonwealth for a period of six months. Respondent shall comply with all the provisions of Pa.R.D.E. 217 and pay costs to the Disciplinary Board. See Pa.R.D.E. 208(g).

A True Copy Patricia Nicola As Of 11/04/2020

Chief Clerk Supreme Court of Pennsylvania

## BEFORE THE DISCIPLINARY BOARD OF THE SUPREME COURT OF PENNSYLVANIA

OFFICE OF DISCIPLINARY COUNSEL: No.

**DB 2020** 

Petitioner

٧.

Attorney Reg. No. 71764

JAMI SEGOTA.

Respondent

: (Out of State)

# JOINT PETITION IN SUPPORT OF DISCIPLINE ON CONSENT PURSUANT TO Pa.R.D.E. 215(d)

Petitioner, the Office of Disciplinary Counsel (hereinafter, "ODC") by Thomas J. Farrell, Chief Disciplinary Counsel, and Dana M. Pirone. Esquire, Disciplinary Counsel and Jami Segota (hereinafter "Respondent"). by and through her counsel, Robert S. Tintner, Esquire, respectfully petition the Disciplinary Board in support of discipline on consent, pursuant to Pennsylvania Rule of Disciplinary Enforcement ("Pa.R.D.E.") 215(d), and in support thereof state:

ODC, whose principal office is situated at Office of Chief 1. Disciplinary Counsel, Pennsylvania Judicial Center, Suite 2700, 601 Commonwealth Avenue, P.O. Box 62485, Harrisburg, Pennsylvania

**FILED** 

09/24/2020

The Disciplinary Board of the Supreme Court of Pennsylvania 17106, is invested, pursuant to Pa.R.D.E. 207, with the power and duty to investigate all matters involving alleged misconduct of an attorney admitted to practice law in the Commonwealth of Pennsylvania and to prosecute all disciplinary proceedings brought in accordance with the various provisions of the aforesaid Enforcement Rules.

- 2. Respondent was born in 1968.
- 3. Respondent was admitted to practice law in the Commonwealth of Pennsylvania on May 5, 1994.
- 4. On July 1, 2008, Respondent's license was changed to voluntary inactive status.
- 5. By Order dated September 26, 2017 (the "Order"), which became effective on October 26, 2017, the Supreme Court of Pennsylvania administratively suspended Respondent for non-payment and failure to comply with Rule 219 of the Pennsylvania Rules of Disciplinary Enforcement.
- 6. Respondent was administratively suspended until she filed a Statement of Compliance with respect to the Order on or about January 6, 2020 and paid various fees in order to restore her license to inactive status.
- 7. Until filing the Statement of Compliance, Respondent's registered office address had been RICOH USA, INC., 70 Valley Stream

Parkway, Malvern, PA 19355 ("RICOH").

- 8. Respondent's registration address is now her personal residence at 80 Squirrel Drive, Skillman, New Jersey 08558.
  - 9. Respondent is not an active member of the Pennsylvania Bar.
- 10. Respondent is a member in good standing of the New Jersey Bar.
- 11. Respondent currently maintains her office for the practice of law in New Jersey at Integra LifeSciences, 1100 Campus Rd, Princeton, New Jersey 08540.
- 12. Respondent is subject to the disciplinary jurisdiction of the Disciplinary Board of the Supreme Court of Pennsylvania.

#### SPECIFIC FACTUAL AND LEGAL ADMISSIONS

- 13. From September 2013 through January 2020, Respondent was employed at RICOH.
- 14. Respondent started at RICOH as Assistant General Counsel for employment law before being promoted in August 2014, to Vice-President and Assistant General Counsel for RICOH.
- 15. In September 2017, and continuing through January 2020, Respondent was the Senior Vice-President, General Counsel and Secretary for RICOH. Respondent was responsible for, among other

things, RICOH's overall legal issues, ethics, compliance, corporate and information security, and regulatory affairs in the United States, Canada and Latin America.

- 16. By letter dated September 26, 2017, Suzanne E. Price, Attorney Registrar for the Commonwealth of Pennsylvania provided Respondent with a certified copy of the Order and forms she needed to complete and submit in order to comply with Pa.R.D.E. Rule 217, including a Statement of Compliance.
- 17. The attachments in Ms. Price's letter included the Standard Guidance of the Disciplinary Board to Lawyers who have been Administratively Suspended and copies of Rules 217 and 219, Pa.R.D.E., which provided Respondent with express notice that her status precluded her from practicing law in Pennsylvania.
- 18. Respondent did not give notice of her Administrative Suspension to RICOH or to anyone else.
- 19. Respondent did not maintain records of any steps she took in order to comply with the Disciplinary Enforcement Rules until filing a belated Statement of Compliance on January 6, 2020.
- 20. Respondent engaged in the unauthorized practice of law and engaged in prohibited law-related activities in Pennsylvania while she was

employed at RICOH. Respondent's affidavit in support of this Joint Petition that she consents to the recommended discipline of a six-month suspension is attached hereto as Exhibit "A."

#### VIOLATIONS OF THE RULES OF PROFESSIONAL CONDUCT AND THE RULES OF DISCIPLINARY ENFORCEMENT

By Respondent's conduct as set forth in paragraphs 4 through 20, Respondent violated the following Rules:

- A. RPC 5.5(a), prohibiting a lawyer from practicing law in a jurisdiction in violation of the regulation of the legal profession in that jurisdiction;
- B. RPC 5.5(b)(1), prohibiting a lawyer who is not admitted in this jurisdiction from establishing an office or other systematic and continuous presence in this jurisdiction for the practice of law:
- C. RPC 5.5(b)(2), prohibiting a lawyer who is not admitted to practice in this jurisdiction from holding out to the public or otherwise represent that the lawyer is admitted to practice law in this jurisdiction;
- D. Pa.R.D.E 203(b)(3), stating that a willful violation of any other provision of the Enforcement Rules shall be grounds for discipline;

Pa.R.D.E. 217(a), [effective 2-28-15], requiring a formerly admitted attorney to promptly notify, or cause to be promptly notified, all clients being represented in pending matters, other than litigation or administrative proceedings, of the disbarment, suspension, administrative suspension or transfer to inactive status and the consequent inability of the formerly admitted attorney to act as an attorney after the effective date of the disbarment, suspension, administrative suspension or transfer to inactive status and advise said clients to seek legal advice elsewhere. The notice required by this subdivision (a) may be delivered by the most efficient method possible as long as the chosen method is successful and provides proof of receipt. At the time of the filing of the verified statement of compliance required by subdivision (e)(1) of this Rule, the formerly admitted attorney shall file copies of the notices required by this subdivision and proofs of receipt with the Secretary of the Board and shall serve a conforming copy on the Office of Disciplinary Counsel. See D.Bd. Rules § 91.91(b) (relating to filing of copies of notices);

E.

- F. Pa.R.D.E. 217(c)(2) [effective 2-28-15], requiring a formerly admitted attorney to promptly notify, or cause to be promptly notified, of the disbarment, suspension, administrative suspension or transfer to inactive status, all other persons with whom the formerly admitted attorney may at any time expect to have professional contacts under circumstances where there is a reasonable probability that they may infer that he or she continues as an attorney in good standing;
- G. Pa.R.D.E. 217(d)(1) [effective 2-28-15], providing that Orders imposing suspension, disbarment, administrative suspension or transfer to inactive status shall be effective 30 days after entry. The formerly admitted attorney, after entry of the disbarment, suspension, administrative suspension or transfer to inactive status order, shall not accept any new retainer or engage as attorney for another in any new case or legal matter of any nature. However, during the period from the entry date of the order and its effective date the formerly admitted attorney may wind up and complete, on behalf of any client, all matters which were pending on the entry date;

- H. Pa.R.D.E. 217(e), providing that within ten days after the effective date of the disbarment, suspension, administrative suspension or transfer to inactive status order, the formerly admitted attorney shall file with the Board a verified statement showing: (1) that the provisions of the order and these rules have been fully complied with; and (2) all other state, federal and administrative jurisdictions to which such person is admitted to practice. Such statement shall also set forth the residence or other address of the formerly admitted attorney where communications to such person may thereafter be directed;
- I. Pa.R.D.E. 217(e)(1) [effective 2-28-15], providing that within ten days after the effective date of the disbarment, suspension, administrative suspension or transfer to inactive status order, the formerly admitted attorney shall file with the Secretary of the Board a verified statement and serve a copy on Disciplinary Counsel in compliance with this Rule, and providing proof that the attorney provided notice to persons and jurisdictions who are required to receive notice as to the change in the attorney's license status; and

J. Pa.R.D.E. 217(j)(4), providing that a formerly admitted attorney may not engage in any form of law-related activities in this Commonwealth except in accordance with the requirements in the Rules.

#### SPECIFIC JOINT RECOMMENDATION FOR DISCIPLINE

- 1. Petitioner and Respondent jointly recommend that the appropriate discipline for Respondent's admitted misconduct is a six (6) month suspension from the practice of law.
- 2. The parties respectfully submit that the following mitigating circumstances are present:
  - a) Respondent has expressed her remorse and acceptance of responsibility for her misconduct:
  - b) Respondent has cooperated with Petitioner by entering into this Joint Petition; and
  - c) Respondent has no history of discipline in Pennsylvania and has no history of discipline as a member of the bar of the State of New Jersey.
- 3. In Pennsylvania, there is no *per se* discipline for a particular type of misconduct; instead, each case is reviewed individually while being

mindful of precedent and the need for consistency. Office of Disciplinary Counsel v. Robert Lucarini, 472 A.2d 186, 190 (Pa. 1983).

- 4. The imposition of a six (6) month suspension is consistent with the range of sanctions imposed in similar cases involving the unauthorized practice of law while working as corporate counsel. For example:
  - a. In Office of Disciplinary Counsel v. Susan Steinthal, No. 156 DB 2018 (S. Ct. Order 6/27/2019) (Consent Discipline) the Supreme Court imposed a six (6) month suspension on consent to address Ms. Steinthal's unauthorized practice of law and law-related activity while working as Assistant General Counsel for a financial institution located in Pittsburgh, Pennsylvania by providing legal advice and serving as counsel of record for hundreds of court filings in Pennsylvania when she was not yet admitted and while her Pennsylvania Bar Application (waiver) was pending;
  - In Office of Disciplinary Counsel v. Alice Ann Pellegrino,
     No. 130 DB 2017 (S. Ct. Order 10/6/2017)(Consent Discipline) the Supreme Court imposed a six (6) month suspension on consent to address Ms. Pellegrino's

- unauthorized practice of law and law-related activity while working as in-house counsel for a securities company by providing legal advice and serving as counsel of record in numerous federal court filings in Pennsylvania;
- c. In Office of Disciplinary Counsel v. Megan McCarthy, 132 DB 2016 (S. Ct. Order 11/17/2016)(Consent Discipline) the Court imposed a six (6) month suspension on consent to address McCarthy's unauthorized practice of law in Massachusetts while employed at three companies as inhouse counsel over approximately four years;
- d. In Office of Disciplinary Counsel v. Hope Renae D'Oyley, 137 DB 2014 (S. Ct. Order 12/30/2014) (Consent Discipline) the Court imposed a six (6) month suspension on consent to address Ms. D'Oyley's unauthorized practice of law and law-related activity while employed as Assistant General Counsel and Associate General Counsel for a pharmaceutical company over approximately five years; and
- e. In Office of Disciplinary Counsel v. Jeffrey C. Moore, 32

  DB 2009 (S. Ct. Order 2009) (Consent Discipline), the

Court imposed a six (6) month suspension on consent to address Moore's unauthorized practice of law while employed as general counsel to a corporation for approximately one year.

5. In light of the nature of the misconduct and the mitigation factors, Petitioner and Respondent submit that a six (6) month suspension is appropriate.

WHEREFORE, Petitioner and Respondent respectfully request that, pursuant to Pennsylvania Rules of Disciplinary Enforcement 215(e), 215(g) and 215(i), a three member panel of the Disciplinary Board review and approve the Joint Petition in Support of Discipline on Consent and file a recommendation with the Supreme Court of Pennsylvania that Respondent receive a six-month license suspension.

Respectfully submitted,

OFFICE OF DISCIPLINARY COUNSEL THOMAS J. FARRELL Attorney Registration Number 48976 Chief Disciplinary Counsel September 23, 2020

DATE

DANA M. PIRONE **Disciplinary Counsel** Attorney Registration Number 57221 Office of Disciplinary Counsel 820 Adams Avenue, Suite 170 Trooper, PA 19403 (610) 650-8210

JAMI SEGOTA Respondent

ROBERT S. TINTNER, ESQUIRE Attorney Registration Number 73865 Fox Rothschild LLP

2000 Market Street, 20th Floor Philadelphia, PA 19103-3222

Counsel for Respondent

#### **VERIFICATION**

The statements contained in the foregoing Joint Petition In Support of Discipline on Consent Discipline are true and correct to the best of my knowledge or information and belief and are made subject to the penalties of 18 Pa.C.S.A. §4904, relating to unsworn falsification to authorities.

September 23, 2020

DATE

DANA M. PIRONE

Disciplinary Counsel

DATE

JAMI SEGOTA-

Respondent

7/23/20 DATE

ROBERT S. TINTNER, ESQUIRE

Counsel for Respondent

# BEFORE THE DISCIPLINARY BOARD OF THE SUPREME COURT OF PENNSYLVANIA

OFFICE OF DISCIPLINARY COUNSEL: No.

**DB 2020** 

Petitioner

٧.

Attorney Reg. No. 71764

JAMI SEGOTA.

Respondent

: (Out of State)

## **CERTIFICATE OF SERVICE**

I hereby certify that I am this day serving the foregoing document upon all parties of record in this proceeding in accordance with the requirements of 204 Pa. Code §89.22 (relating to service by a participant).

## Email and Overnight Mail, as follows:

Robert S. Tintner, Esquire Fox Rothschild LLP 2000 Market Street, 20th Floor Philadelphia, PA 19103-3222 Counsel for Respondent

**Dated**: September 23, 2020

Dana M. Pirone, Esquire Disciplinary Counsel

Attorney Registration No. 57221 Office of Disciplinary Counsel 820 Adams Avenue, Suite 170

Trooper, PA 19403 (610) 650- 8210

#### BEFORE THE DISCIPLINARY BOARD OF THE SUPREME COURT OF PENNSYLVANIA

OFFICE OF DISCIPLINARY COUNSEL: No. DB 2020

Petitioner

V.

Attorney Reg. No. 71764

JAMI SEGOTA.

Respondent : (Out of State)

## **AFFIDAVIT** UNDER RULE 215(d), Pa.R.D.E.

SEL of No Tron

**COMMONWEALTH OF PENNSYLVANIA:** 

COUNTY OF Some set:

Jami Segota, being duly sworn according to law, deposes and hereby submits this affidavit consenting to the recommendation of a six (6) month suspension from the date the Joint Petition is granted, in conformity with Pa.R.D.E. 215(d) and further states as follows:

- 1. She is not an active member of the Pennsylvania Bar.
- 2. Her license is on inactive status.
- 3. She desires to submit a Joint Petition in Support of Discipline on Consent Pursuant to Pa.R.D.E. 215(d).
- 4. Her consent is freely and voluntarily rendered; she is not being subjected to coercion or duress, and she is fully aware of the implications of submitting this affidavit.

- 5. She is aware that there is presently pending a proceeding into allegations that she has been guilty of misconduct as set forth in the Joint Petition in Support of Discipline on Consent Pursuant to Pa.R.D.E. 215(d) to which this affidavit is attached.
- 6. She acknowledges that the material facts set forth in the Joint Petition are true.
- 7. She submits the within affidavit because she knows that if the charges upon which the Petition are predicated are prosecuted at a disciplinary hearing, she could not successfully defend against them.
- 8. She acknowledges that she is fully aware of her right to consult and employ counsel to represent her in the instant proceeding. She has retained, consulted and acted upon the advice of counsel, Robert S. Tintner, Esquire, in connection with her decision to execute the within Joint Petition.

It is understood that the statements made herein are subject to the penalties of 18 Pa.C.S.A. §4904 (relating to unsworn falsification to authorities).

Signed this 15" day of September, 2020.

Jami/Segota

Swom to and subscribed

before me this 15 day

of Syller 2020.

Notary Public

DON R. SANTIAGO
NOTARY PUBLIC OF NEW JERSEY
Comm. # 2456292
My Commission Expires 03/09/2022

#### **CERTIFICATE OF COMPLIANCE**

I certify that this filing complies with the provisions of the Public Access Policy of the Unified Judicial System of Pennsylvania: Case Records of the Appellate and Trial Courts that require filing confidential information and documents differently than non-confidential information and documents.

Submitted by: Office of Disciplianry Counsel

Signature:

Name: Dana M. Pirone

Attorney No. (if applicable): #57221