BEFORE THE DISCIPLINARY BOARD OF THE SUPREME COURT OF PENNSYLVANIA

OFFICE OF DISCIPLINARY COUNSEL.

No. 173 DB 2023

Petitioner

File Nos. C1-23-105, C1-23-121

& C1-23-291

٧.

Attorney Registration No. 308434

IVAN ERIK LEE

Respondent

(Philadelphia)

ORDER

AND NOW, this _______day of December, 2023, in accordance with Rule 208(a)(5), Pa.R.D.E., the determination by a Review Panel of the Disciplinary Board of the above captioned matter is accepted; and it is

ORDERED that the said IVAN ERIK LEE, of Philadelphia be subjected to a **PUBLIC REPRIMAND** by the Disciplinary Board of the Supreme Court of Pennsylvania as provided in Rule 204(b) and Rule 205(c)(8) of the Pennsylvania Rules of Disciplinary Enforcement.

IT IS FURTHER ORDERED that Respondent shall be placed on PROBATION for a period of six months, subject to the following Conditions:

Conditions of Probation:

- 1. Respondent shall not commit any violations of the Rules; and
- 2. Upon completion of the Probation, Respondent shall submit a sworn certification to the Board, that he has complied with the above condition.

Failure to abide with the terms of the Probation shall be grounds for further action pursuant to Enforcement Rule 208(h).

Costs shall be paid by the Respondent.

BY THE BOARD:

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Board Chair

TRUE COPY FROM RECORD Attest:

Marcee D. Sloan, Prothonotary
The Disciplinary Board of the
Supreme Court of Pennsylvania

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IVAN ERIK LEE.

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Respondent : (F

(Philadelphia)

PUBLIC REPRIMAND

Ivan Erik Lee, you appear before the Disciplinary Board for the imposition of a Public Reprimand ordered by the Board on December 21, 2023. By letter of that same date, the Board notified you of the disposition of this matter and further notified you of your opportunity to demand as of right the institution of formal charges within twenty days of the date of notification. You did not demand the institution of formal charges; therefore, you accept this public reprimand.

It is an unpleasant task to publicly reprimand one who has been granted the privilege of membership in the bar of the Commonwealth. Yet as repugnant as this task may be, it has been found necessary that you receive this public discipline.

Attorney Lee, the record demonstrates that you committed misconduct in three separate client matters. In the Fisher matter, you failed to: file a petition to modify custody so that Mr. Fisher could obtain custody of his daughter; respond to Mr. Fisher's emails about the status of his matter and provide a copy of a pleading to your client; provide sufficient information to Mr. Fisher to permit him to make informed decisions regarding representation; and, make reasonable efforts to ensure that your paralegal's conduct was compatible with your professional obligations, which included the paralegal

misrepresenting the status of the matter to Mr. Fisher. You eventually filed the modification petition and represented Mr. Fisher at a custody conference.

In the Shuler matter, you failed to: file the necessary paperwork to have Ms. Shuler appointed Administratrix of her mother's estate; respond to Ms. Shuler's emails and keep her informed about the status of the matter; provide sufficient information to Ms. Shuler to permit her to make an informed decision regarding the representation; and, make reasonable efforts to ensure that your paralegal's conduct was compatible with your professional obligations.

In the Wiley matter, you failed to: file the necessary paperwork to have Ms. Wiley appointed Administratrix of her mother's estate and investigate whether certain funds were improperly disbursed; respond to Ms. Wiley's communications and keep Ms. Wiley informed about the status of the matter; and, provide sufficient information to Ms. Wiley to permit her to make informed decisions about the representation.

In each matter, you refunded fees to your clients.

By your conduct, you violated the following Pennsylvania Rules of Professional Conduct ("RPC"):

- RPC 1.3 A lawyer shall act with reasonable diligence and promptness in representing a client.
- 2. RPC 1.4(a)(3) A lawyer shall keep the client reasonably informed about the status of the matter.
- 3. RPC 1.4(a)(4) A lawyer shall promptly comply with reasonable requests for information.

- RPC 1.4(b) A lawyer shall explain a matter to the extent reasonably necessary to permit the client to make informed decisions regarding the representation.
- 5. RPC 5.3(b) A lawyer having direct supervisory authority over a nonlawyer shall make reasonable efforts to ensure that the person's conduct is compatible with the professional obligations of the lawyer.
- 6. RPC 8.4(c) It is professional misconduct for a lawyer to engage in conduct involving dishonesty, fraud, deceit or misrepresentation.

In addition to this reprimand, the Board ordered that you serve a term of probation for a period of six months, which took effect on January 10, 2024. The conditions of probation are that you must refrain from committing further violations of the rules, and that upon completion of probation, you shall file a sworn certification with the Board that you complied with the terms of probation. Please be aware that violation of the terms and conditions of probation shall be grounds for further disciplinary action.

Attorney Lee, your conduct in this matter is public. This Public Reprimand is a matter of public record and shall be posted on the Disciplinary Board's website at www.padisciplinaryboard.org.

It is the Board's duty to reprimand you for your misconduct. We note that you have a prior record of discipline consisting of an informal admonition in 2021 and an informal admonition in 2022. The record established that as to the instant matter, you cooperated with Office of Disciplinary Counsel and have taken steps to address law office management issues. Please be aware that any subsequent violations on your part will result in further discipline and more severe sanctions. We sincerely hope that you will seriously reflect on your record of misconduct and conduct yourself in such a

manner that future disciplinary action will be unnecessary.

S/Shohin Vance

Designated Member
The Disciplinary Board of the
Supreme Court of Pennsylvania

Administered by a designated panel of three Members of The Disciplinary Board of the Supreme Court of Pennsylvania on May 10, 2024.