

IN THE SUPREME COURT OF PENNSYLVANIA

OFFICE OF DISCIPLINARY COUNSEL, : No. 1954 Disciplinary Docket No. 3  
Petitioner :  
 : No. 85 DB 2013  
v. :  
 : Attorney Registration No. 82067  
ANTHONY M. CRANE, :  
Respondent : (Philadelphia)  
 :  
 :

**ORDER**

**PER CURIAM**

AND NOW, this 29<sup>th</sup> day of January, 2015, upon consideration of the Recommendation of the Three-Member Panel of the Disciplinary Board dated December 1, 2014, the Joint Petition in Support of Discipline on Consent is hereby granted pursuant to Rule 215(g), Pa.R.D.E., and it is

ORDERED that Anthony M. Crane is suspended on consent from the Bar of this Commonwealth for a period of three years retroactive to August 10, 2013, and he shall comply with all the provisions of Rule 217, Pa.R.D.E.

A True Copy Patricia Nicola  
As Of 1/29/2015

Attest:   
Chief Clerk  
Supreme Court of Pennsylvania

BEFORE THE DISCIPLINARY BOARD OF THE  
SUPREME COURT OF PENNSYLVANIA


OFFICE OF DISCIPLINARY COUNSEL : No. 1954 Disciplinary Docket No. 3  
Petitioner :  
: No. 85 DB 2013 & File Nos. C1-13-970  
: & C1-14-138  
v. :  
: Attorney Registration No. 82067  
ANTHONY M. CRANE :  
Respondent : (Philadelphia)

RECOMMENDATION OF THREE-MEMBER PANEL  
OF THE DISCIPLINARY BOARD OF THE  
SUPREME COURT OF PENNSYLVANIA

The Three-Member Panel of the Disciplinary Board of the Supreme Court of Pennsylvania, consisting of Board Members Douglas W. Leonard, R. Burke McLemore, Jr., and Tracey McCants Lewis, has reviewed the Joint Petition in Support of Discipline on Consent filed in the above-captioned matter on October 22, 2014.

The Panel approves the Joint Petition consenting to a three year suspension retroactive to August 10, 2013 and recommends to the Supreme Court of Pennsylvania that the attached Petition be granted.

The Panel further recommends that any necessary expenses incurred in the investigation and prosecution of this matter shall be paid by the respondent-attorney as a condition to the grant of the Petition.

  
\_\_\_\_\_  
Douglas W. Leonard, Panel Chair  
The Disciplinary Board of the  
Supreme Court of Pennsylvania

Date: 12/1/14

BEFORE THE DISCIPLINARY BOARD OF THE  
SUPREME COURT OF PENNSYLVANIA

OFFICE OF DISCIPLINARY COUNSEL, : No. 1954 Disciplinary Docket  
Petitioner : No. 3  
:  
: No. 85 DB 2013 and  
: C1-13-970 and C1-14-138  
v. :  
: Atty. Reg. No. 82067  
ANTHONY M. CRANE, :  
Respondent : (Philadelphia)

JOINT PETITION IN SUPPORT OF DISCIPLINE  
ON CONSENT UNDER Pa.R.D.E. 215(d)

Petitioner, Office of Disciplinary Counsel ("ODC"), by Paul J. Killion, Chief Disciplinary Counsel, and Harriet R. Brumberg, Disciplinary Counsel, and Respondent, Anthony M. Crane, Esquire, file this Joint Petition In Support of Discipline on Consent Under Pennsylvania Rule of Disciplinary Enforcement ("Pa.R.D.E.") 215(d), and respectfully represent that:

I. BACKGROUND

1. Petitioner, whose principal office is located at Pennsylvania Judicial Center, Suite 2700, 601 Commonwealth Avenue, P.O. Box 62485, Harrisburg, Pennsylvania, is invested, pursuant to Pa.R.D.E. 207, with the power and duty to investigate all matters involving alleged misconduct of an attorney admitted to practice law in the Commonwealth of Pennsylvania and to prosecute all

OCT 22 2014

Office of the Secretary  
The Disciplinary Board of the  
Supreme Court of Pennsylvania

disciplinary proceedings brought in accordance with the various provisions of said Rules of Disciplinary Enforcement.

2. Respondent was born on April 24, 1970, and was admitted to practice law in the Commonwealth on or about October 26, 1998.

3. Respondent's former attorney registration address was 1500 Walnut Street, Suite 700, Philadelphia, PA 19102.

4. On May 20, 2013, Respondent signed a Joint Petition for Immediate Temporary Suspension Under Pa.R.D.E. 208(f).

5. By Order dated July 11, 2013, effective August 10, 2013, the Supreme Court placed Respondent on temporary suspension.

6. Pursuant to Pa.R.D.E. 201(a)(1), Respondent is subject to the disciplinary jurisdiction of the Disciplinary Board of the Supreme Court of Pennsylvania.

## II. FACTUAL ADMISSIONS AND VIOLATIONS OF RULES OF PROFESSIONAL CONDUCT

7. Respondent specifically admits to the truth of the factual allegations and conclusions of law contained in paragraphs 1 through 112, *infra*.

**CHARGE I: Raymond Stevenson Matter, C1-13-75**

8. In or around August 2011, Respondent's agent stood outside Philadelphia Traffic Court and handed out flyers soliciting Respondent's legal services for Traffic Court matters.

9. Mr. Raymond Stevenson received a flyer from Respondent's agent and then met with Respondent inside of Traffic Court.

10. Mr. Stevenson explained that he wanted Respondent to "clear all [his] traffic matters."

11. Respondent advised Mr. Stevenson that Respondent would handle his Traffic Court matters for a fee of \$1,000.

12. Respondent received \$1,000 from Mr. Stevenson to handle his Traffic Court matters.

a. Respondent failed to give Mr. Stevenson a written fee agreement that set forth the basis or rate of Respondent's fee.

13. Thereafter, Respondent failed to handle Mr. Stevenson's Traffic Court matters with reasonable diligence.

14. From time to time, Mr. Stevenson attempted to call Respondent at his office in order to obtain information about the status of his Traffic Court matters.

- a. Mr. Stevenson was unable to speak with Respondent as Respondent had a recording on his telephone.

15. From time to time, Mr. Stevenson would send Respondent text messages on Respondent's cell phone requesting information about the status of his Traffic Court matters.

16. Respondent failed to:

- a. return Mr. Stevenson's text messages;
- b. keep Mr. Stevenson apprised of the status of his Traffic Court matters; and
- c. respond to Mr. Stevenson's reasonable requests for information.

17. On or before February 7, 2013, Mr. Stevenson went to Respondent's law office to inquire about the status of his legal matters.

18. Respondent had moved the location of his law office and had failed to inform Mr. Stevenson of the new location.

19. Respondent failed to return his unearned fee upon termination of the representation.

20. By his conduct as alleged in paragraphs 8 through 19 above, Respondent violated the following Rules of Professional Conduct:

- a. RPC 1.3, which states that a lawyer shall act with reasonable diligence and promptness in representing a client;
- b. RPC 1.4(a)(3), which states that a lawyer shall keep the client reasonably informed about the status of the matter;
- c. RPC 1.4(a)(4), which states that a lawyer shall promptly comply with reasonable requests for information;
- d. RPC 1.5(b), which states that when the lawyer has not regularly represented the client, the basis or rate of the fee shall be communicated to the client, in writing, before or within a reasonable time after commencing the representation; and
- e. RPC 1.16(d), which states that upon termination of representation, a lawyer shall take steps to the extent reasonably practicable to protect a client's interests, such as giving reasonable notice to the client, allowing time for employment of other counsel, surrendering papers and property to which the client is entitled and refunding any advance payment of fee or

expense that has not been earned or incurred. The lawyer may retain papers relating to the client to the extent permitted by other law.

**CHARGE II: James Sanders, Jr., Matter, C1-13-74**

21. On October 16, 2012, Mr. James Sanders, Jr., went to Traffic Court to determine how to have his driver's license privilege restored, during which time:

- a. Respondent met Mr. Sanders at the appellate window;
- b. Respondent informed Mr. Sanders that Respondent's fee would be \$600;
- c. Respondent explained that he needed \$300 to file a petition for appeal *nunc pro tunc* to begin the license restoration process;
- d. Mr. Sanders gave Respondent \$300;
- e. Respondent gave Mr. Sanders a receipt for the \$300;
- f. Respondent failed to give Mr. Sanders a fee agreement that set forth the basis or rate of Respondent's fee; and
- g. Respondent failed to disclose that he did not have professional liability insurance, which disclosure is required by RPC 1.4(c).



22. On October 31, 2012, Mr. Sanders paid Respondent an additional \$200; on November 2, 2012, Mr. Sanders paid Respondent the remaining \$100.

23. After investigation, Respondent determined that:

- a. he could not file a *nunc pro tunc* appeal on behalf of Mr. Sanders because in 2006, Mr. Sanders had filed a timely appeal from his Traffic Court matter but had failed to appear for the hearing on appeal; and
- b. he should first seek permission to file a petition to open Mr. Sanders' Traffic Court matter, and if the petition were granted, then Respondent should file a *nunc pro tunc* appeal.

24. Prior to November 16, 2012, Respondent called Mr. Sanders and instructed Mr. Sanders to appear with Respondent at 8:00 a.m., in Room 504 of the Criminal Justice Center and orally request permission from the court to open Mr. Sanders' matter.

25. Mr. Sanders appeared as agreed at 8:00 a.m. in Room 504 of the Criminal Justice Center.

- a. Respondent failed to meet Mr. Sanders at the Criminal Justice Center.

26. Mr. Sanders then attempted to call Respondent on the telephone, but the telephone number on Respondent's business card had been disconnected.

27. Subsequently, Respondent went to Room 504 of the Criminal Justice Center and orally requested permission from the Honorable Paula Patrick to file a petition to open Mr. Sanders' driver's license matter.

28. Thereafter, Respondent failed to take any further action on Mr. Sander's legal matter.

a. Judge Patrick denied Respondent permission to file a petition to open.

29. Respondent failed to promptly return the unearned portion of his fee to Mr. Sanders.

30. In January, Respondent contacted Mr. Sanders and requested that he meet with Respondent about his legal matter.

a. Respondent failed to meet with Mr. Sanders as Respondent had arranged to do.

31. By letter dated February 21, 2013, Mr. Sanders wrote to Respondent and requested a refund of Respondent's unearned fee.

32. Respondent received Mr. Sanders' letter.

33. Respondent failed to promptly refund his unearned fee to Mr. Sanders.

34. On March 16, 2013, Respondent refunded his fee to Mr. Sanders.

35. By his conduct as alleged in paragraphs 21 through 34 above, Respondent violated the following Rules of Professional Conduct:

- a. RPC 1.3, which states that a lawyer shall act with reasonable diligence and promptness in representing a client;
- b. RPC 1.4(a)(3), which states that a lawyer shall keep the client reasonably informed about the status of the matter;
- c. RPC 1.4(a)(4), which states that a lawyer shall promptly comply with reasonable requests for information;
- d. RPC 1.4(c), which states that a lawyer in private practice shall inform a new client in writing if the lawyer does not have professional liability insurance of at least \$100,000 per occurrence and \$300,000 in the aggregate per year, subject to commercially reasonable deductibles, retention or co-insurance, and shall inform existing clients in writing at any time the lawyer's professional liability insurance drops below

either of those amounts or the lawyer's professional liability insurance is terminated. A lawyer shall maintain a record of these disclosures for six years after the termination of the representation of a client;

e. RPC 1.5(b), which states that when the lawyer has not regularly represented the client, the basis or rate of the fee shall be communicated to the client, in writing, before or within a reasonable time after commencing the representation; and

f. RPC 1.16(d), which states that upon termination of representation, a lawyer shall take steps to the extent reasonably practicable to protect a client's interests, such as giving reasonable notice to the client, allowing time for employment of other counsel, surrendering papers and property to which the client is entitled and refunding any advance payment of fee or expense that has not been earned or incurred. The lawyer may retain papers

relating to the client to the extent permitted by other law.

**CHARGE III: Bashkim Xhelo Matter, C1-13-07**

36. On or about August 17, 2012, Respondent met Bashkim Xhelo outside of Traffic Court in Philadelphia.

37. During Respondent's August 17, 2012 meeting with Mr. Xhelo:

- a. Mr. Xhelo explained that a customer had given Mr. Xhelo a Cadillac to tow, the customer was arrested while showing Mr. Xhelo where to tow the vehicle, and Mr. Xhelo did not know what to do with the vehicle;
- b. Respondent informed Mr. Xhelo that Respondent could help him obtain title for the vehicle for a fee of \$600;
- c. Mr. Xhelo gave Respondent \$200 in cash;
- d. Respondent failed to provide Mr. Xhelo with a written fee agreement that set forth the basis or rate of Respondent's fee; and
- e. Respondent failed to disclose that Respondent did not have professional liability insurance, which disclosure is required by RPC 1.4(c).

38. Respondent failed to communicate with Mr. Xhelo and keep him informed about the status of his legal matter.

39. After one month had passed, Mr. Xhelo began calling Respondent's office in an attempt to obtain information regarding his case.

a. Respondent did not answer his telephone.

40. Respondent failed to handle Mr. Xhelo's matter with reasonable diligence.

41. From time to time thereafter, Mr. Xhelo would go to Traffic Court in an attempt to find Respondent, and on one occasion, Mr. Xhelo was successful in finding Respondent.

42. During Respondent's second meeting with Mr. Xhelo:

a. Respondent advised Mr. Xhelo that Respondent had spent the \$200 doing an investigation and paperwork;

b. Respondent requested another \$200 from Mr. Xhelo;

c. Mr. Xhelo paid Respondent \$200 in cash; and

d. Respondent did not provide Mr. Xhelo with a receipt for the \$200 payment.

43. On December 4, 2012, Respondent met Mr. Xhelo at Traffic Court, during which time:

- a. Respondent advised Mr. Xhelo that the owner of the vehicle recognized by the Department of Transportation would have to be served with the petition to have title placed in Mr. Xhelo's name;
- b. Mr. Xhelo was opposed to Respondent serving the owner recognized by the Department of Transportation; and
- c. Mr. Xhelo requested a full refund of the fee he had paid to Respondent.

44. Respondent failed to promptly refund his unearned fee upon termination of the representation.

45. On or about January 2, 2013, Mr. Xhelo went to Respondent's office to obtain a refund of Respondent's legal fee.

- a. Respondent was not at his office.

46. On January 3, 2013, Respondent called Mr. Xhelo and informed Mr. Xhelo that he would refund his fee.

47. On January 11, 2013, Respondent met with Mr. Xhelo, during which time:

- a. Respondent presented Mr. Xhelo, a non-native English speaker, with a handwritten paper to sign;

- b. the paper stated that Mr. Xhelo received \$400 "as a full refund of my legal fees paid";
- c. the paper stated that Mr. Xhelo's receipt of \$400 was in "full settlement of this matter";
- d. Respondent failed to explain the paper to Mr. Xhelo to the extent necessary to permit Mr. Xhelo to make an informed decision regarding the representation;
- e. Mr. Xhelo signed the paper; and
- f. Respondent paid Mr. Xhelo \$400 in cash.

48. By his conduct as alleged in paragraphs 36 through 47 above, Respondent violated the following Rules of Professional Conduct:

- a. RPC 1.3, which states that a lawyer shall act with reasonable diligence and promptness in representing a client;
- b. RPC 1.4(a)(3), which states that a lawyer shall keep the client reasonably informed about the status of the matter;
- c. RPC 1.4(a)(4), which states that a lawyer shall promptly comply with reasonable requests for information;



- d. RPC 1.4(b), which states that a lawyer shall explain a matter to the extent reasonably necessary to permit the client to make informed decisions regarding the representation;
- e. RPC 1.4(c), which states that a lawyer in private practice shall inform a new client in writing if the lawyer does not have professional liability insurance of at least \$100,000 per occurrence and \$300,000 in the aggregate per year, subject to commercially reasonable deductibles, retention or co-insurance, and shall inform existing clients in writing at any time the lawyer's professional liability insurance drops below either of those amounts or the lawyer's professional liability insurance is terminated. A lawyer shall maintain a record of these disclosures for six years after the termination of the representation of a client;
- f. RPC 1.5(b), which states that when the lawyer has not regularly represented the client, the basis or rate of the fee shall

be communicated to the client, in writing, before or within a reasonable time after commencing the representation; and

- g. RPC 1.16(d), which states that upon termination of representation, a lawyer shall take steps to the extent reasonably practicable to protect a client's interests, such as giving reasonable notice to the client, allowing time for employment of other counsel, surrendering papers and property to which the client is entitled and refunding any advance payment of fee or expense that has not been earned or incurred. The lawyer may retain papers relating to the client to the extent permitted by other law.

**CHARGE IV: Frank Lampe Matter, C1-14-138**

49. Mr. Frank Lampe's driver's license was suspended by the Pennsylvania Department of Transportation (PennDot) for unpaid traffic tickets and an outstanding judgment from a 1991 traffic accident.

50. On or before March 15, 2011, Mr. Lampe contacted Respondent's office for assistance in helping him regain his driver's license.

51. On March 15, 2011, Respondent's paralegal, Mr. Kevin Taylor, went to Mr. Lampe's house, at which time Mr. Lampe gave Mr. Taylor:

- a. check number 173, in the amount of \$1,345, made payable to Anthony Crane, Esq., for Respondent to handle Mr. Lampe's traffic ticket appeals;
- b. check number 172, in the amount of \$200, made payable to Respondent's paralegal, Kevin Taylor; and
- c. check number 171, in the amount of \$857.78, made payable to Anthony Crane, Esq., for Respondent to satisfy Mr. Lampe's outstanding judgment.

52. Respondent failed to give Mr. Lampe a fee agreement that set forth the basis or rate of Respondent's fee.

53. On or after March 15, 2011, Respondent deposited two of Mr. Lampe's checks, numbers 173 and 171, in Respondent's account at PNC Bank.

54. From time to time thereafter, Mr. Lampe would call Respondent about the status of his legal matter.

55. Respondent failed to return Mr. Lampe's telephone calls and answer Mr. Lampe's reasonable requests for information.

56. On July 1, 2013, Respondent sent Mr. Lampe a text message that he would be getting his license back.

a. Respondent's text message to Mr. Lampe that he would be getting his license back was false and Respondent knew that it was false when Respondent composed and sent it.

57. Respondent failed to act with reasonable diligence and file appeals of Mr. Lampe's tickets as Respondent had agreed to do.

58. Respondent failed to act with reasonable diligence and pay Mr. Lampe's outstanding judgment.

59. By Order dated July 11, 2013, effective August 10, 2013, the Supreme Court, pursuant to Pa.R.D.E. 208(f), placed Respondent on temporary suspension from the practice of law in Pennsylvania.

60. Upon being placed on temporary suspension, Respondent failed to promptly notify, by registered or certified mail, return receipt requested, all:

a. clients being represented in pending matters of Respondent's transfer to temporary

- suspension and Respondent's consequent inability to act as an attorney;
- b. clients involved in pending or administrative proceedings, and the attorney for each adverse party in such matter, of Respondent's transfer to temporary suspension and consequent inability to act as an attorney; and
  - c. other persons with whom Respondent may at any time expect to have professional contacts under circumstances where there is a reasonable probability that they may infer that Respondent continued to be an attorney in good standing.

61. On or before November 26, 2013, Mr. Lampe discovered that Respondent had failed to file appeals of his outstanding traffic tickets.

- a. Respondent had converted \$1,345 that Mr. Lampe had paid Respondent to file appeals of his traffic tickets.

62. On November 26, 2013, Mr. Lampe went to Philadelphia Municipal Court, Traffic Division, and paid a total of \$3,374, which included \$1,760 in fines for outstanding tickets and \$1,324.20 in penalties.

a. As of November 26, 2013, all of Mr. Lampe's outstanding traffic tickets were paid in full.

63. Thereafter, Mr. Lampe contacted PennDot and inquired as to why his driver's license privileges had not been restored.

a. PennDot informed Mr. Lampe that PennDot had not received payment for Mr. Lampe's outstanding judgment of \$857.78.

64. Respondent failed to pay Mr. Lampe's \$857.78 judgment as Respondent had agreed to do.

a. Respondent converted Mr. Lampe's funds.

65. Mr. Lampe wrote to Respondent requesting reimbursement of the all the funds he had paid to Respondent.

a. Respondent received Mr. Lampe's letter.

66. Respondent failed to answer Mr. Lampe's letter or reimburse any funds to Mr. Lampe.

67. By his conduct as alleged in paragraphs 49 through 66 above, Respondent violated the following Rules of Professional Conduct and Pennsylvania Rules of Disciplinary Enforcement:

- a. RPC 1.3, which states that a lawyer shall act with reasonable diligence and promptness in representing a client;
- b. RPC 1.4(a)(3), which states that a lawyer shall keep the client reasonably informed about the status of the matter;
- c. RPC 1.4(a)(4), which states that a lawyer shall promptly comply with reasonable requests for information;
- d. RPC 1.15(b), which states that a lawyer shall hold all Rule 1.15 Funds and property separate from the lawyer's own property. Such property shall be identified and appropriately safeguarded;
- e. RPC 1.15(e), which states that except as stated in this Rule or otherwise permitted by law or by agreement with the client or third person, a lawyer shall promptly deliver to the client or third person any property, including but not limited to Rule 1.15 Funds, that the client or third person is entitled to receive and, upon request by the client or third person, shall promptly render a full accounting regarding the

property; Provided, however, that the delivery, accounting and disclosure of Fiduciary Funds or property shall continue to be governed by the law, procedure and rules governing the requirements of Fiduciary administration, confidentiality, notice and accounting applicable to the Fiduciary entrustment;

- f. RPC 1.6(d), which states that the duty not to reveal information relating to representation of a client continues after the client-lawyer relationship has terminated;
- g. RPC 8.4(b), which states that it is professional misconduct for a lawyer to commit a criminal act that reflects adversely on the lawyer's honesty, trustworthiness or fitness as a lawyer in other respects;
- h. RPC 8.4(c), which states that it is professional misconduct for a lawyer to engage in conduct involving dishonesty, fraud, deceit or misrepresentation; and
- i. Pa.R.D.E. 203(b)(3), which states that wilful violation of any other provision of



the Enforcement Rules, shall be grounds for discipline, via:

1. Pa.R.D.E. 217(a), which states that a formerly admitted attorney shall promptly notify, or cause to be notified, by registered or certified mail, return receipt requested, all clients being represented in pending matters, other than litigation or administrative proceedings, of the disbarment, suspension, administrative suspension or transfer to inactive status and the consequent inability of the formerly admitted attorney to act as an attorney after the effective date of the disbarment, suspension, administrative suspension or transfer to inactive status and shall advise said clients to seek legal advice elsewhere;

2. Pa.R.D.E. 217(b), which states that a formerly admitted attorney shall promptly notify, or cause to be notified, by registered or certified

mail, return receipt requested, all clients who are involved in pending litigation or administrative proceedings, and the attorney or attorneys for each adverse party in such matter or proceeding, of the disbarment, suspension, administrative suspension or transfer to inactive status and consequent inability of the formerly admitted attorney to act as an attorney after the effective date of the disbarment, suspension, administrative suspension or transfer to inactive status. The notice to be given to the client shall advise the prompt substitution of another attorney or attorneys in place of the formerly admitted attorney. In the event the client does not obtain substitute counsel before the effective date of the disbarment, suspension, administrative suspension or transfer to status, it shall be the responsibility of the formerly admitted

attorney to move in the court or agency in which the proceeding is pending for leave to withdraw. The notice to be given to the attorney or attorneys for an adverse party shall state the place of residence of the client of the formerly admitted attorney; and

3. Pa.R.D.E. 217(c)(1), which states that a formerly admitted attorney shall promptly notify, or cause to be notified, of the disbarment, suspension, administrative suspension or transfer to inactive status, by registered or certified mail, return receipt requested: all persons or their agents or guardians to whom a fiduciary duty is or may be owed at any time after the disbarment, suspension, administrative suspension or transfer to inactive status. The responsibility of the formerly admitted attorney to provide the notice required by this subdivision shall continue for as long as the formerly admitted attorney is

disbarred, suspended, administratively  
suspended or on inactive status.

**CHARGE V: Billy Cruz Matter, C1-13-970**

68. On September 1, October 23, and December 23, 2012, Mr. Billy Cruz received traffic citations.

69. Mr. Cruz retained Respondent to represent him in his matters before Philadelphia Traffic Court.

70. On February 17, 2013, Mr. Cruz was arrested on charges of driving while intoxicated (DUI). *Commonwealth v. Billy Cruz*, MC-51-CR-0006932-2013, Municipal Court, Philadelphia County.

71. Mr. Cruz retained Respondent to represent him on his DUI matter, for a fee of \$850.

a. Respondent agreed that Mr. Cruz could pay his fee in monthly installments.

72. Mr. Cruz paid Respondent's fee as follows:

a. on February 27, 2013, Respondent received \$200;

b. on March 27, 2013, Respondent received \$200;

c. prior to May 2, 2013, Respondent received \$200; and

d. on May 2, 2013, Respondent received \$150.

73. On May 24, 2013, Mr. Cruz's DUI matter was scheduled for trial before the Honorable Gerard A. Kosinski, during which time:

- a. the Commonwealth was ready on call;
- b. Respondent failed to appear; and
- c. Judge Kosinski continued Mr. Cruz's trial to July 22, 2013.

74. By Order dated July 11, 2013, effective August 10, 2013, Respondent was placed on Temporary Suspension from the practice of law in Pennsylvania.

75. Upon being placed on Temporary Suspension, Respondent failed to promptly notify, by registered or certified mail, return receipt requested, all:

- a. clients being represented in pending matters of Respondent's Temporary Suspension and Respondent's consequent inability to act as an attorney;
- b. clients involved in pending or administrative proceedings, and the attorney for each adverse party in such matter, of Respondent's Temporary Suspension and consequent inability to act as an attorney; and

- c. other persons with whom Respondent may at any time expect to have professional contacts under circumstances where there is a reasonable probability that they may infer that Respondent continued to be an attorney in good standing.

76. On July 22, 2013, Mr. Cruz's DUI case was scheduled for trial before the Honorable Karen Y. Simmons, during which time:

- a. the Commonwealth requested a continuance because a police officer was sick;
- b. Respondent failed to appear;
- c. Judge Simmons ruled that if Respondent failed to appear again or were late, new counsel would be appointed;
- d. Judge Simmons attached Respondent for trial;
- e. Judge Simmons marked the case "must be tried defense"; and
- f. Judge Simmons continued Mr. Cruz's case to September 26, 2013.

77. On September 26, 2013, the Honorable Bradley K. Moss:

- a. notified Mr. Cruz that a public defender had been appointed to represent him in his DUI

matter as Respondent was no longer practicing law; and

- b. continued Mr. Cruz's DUI case to December 13, 2013.

78. Respondent failed to refund his unearned legal fee to Mr. Cruz upon the termination of the representation.

79. By his conduct as alleged in paragraphs 68 through 78 above, Respondent violated the following Rules of Professional Conduct and Pennsylvania Rules of Disciplinary Enforcement:

- a. RPC 1.3, which states that a lawyer shall act with reasonable diligence and promptness in representing a client;
- b. RPC 1.4(b), which states that a lawyer shall explain a matter to the extent reasonably necessary to permit the client to make informed decisions regarding the representation;
- c. RPC 1.16(d), which states that upon termination of representation, a lawyer shall take steps to the extent reasonably practicable to protect a client's interests, such as giving reasonable notice to the client, allowing time for employment of

other counsel, surrendering papers and property to which the client is entitled and refunding any advance payment of fee or expense that has not been earned or incurred. The lawyer may retain papers relating to the client to the extent permitted by other law;

d. RPC 8.4(b), which states that it is professional misconduct for a lawyer to commit a criminal act that reflects adversely on the lawyer's honesty, trustworthiness or fitness as a lawyer in other respects;

e. Pa.R.D.E. 203(b)(3), which states that wilful violation of any other provision of the Enforcement Rules, shall be grounds for discipline, via:

1) Pa.R.D.E. 217(a), which states that a formerly admitted attorney shall promptly notify, or cause to be notified, by registered or certified mail, return receipt requested, all clients being represented in pending matters, other than litigation or



administrative proceedings, of the disbarment, suspension, administrative suspension or transfer to inactive status and the consequent inability of the formerly admitted attorney to act as an attorney after the effective date of the disbarment, suspension, administrative suspension or transfer to inactive status and shall advise said clients to seek legal advice elsewhere;

- 2) Pa.R.D.E. 217(b), which states that a formerly admitted attorney shall promptly notify, or cause to be notified, by registered or certified mail, return receipt requested, all clients who are involved in pending litigation or administrative proceedings, and the attorney or attorneys for each adverse party in such matter or proceeding, of the disbarment, suspension, administrative suspension or transfer to inactive status and consequent inability of the

formerly admitted attorney to act as an attorney after the effective date of the disbarment, suspension, administrative suspension or transfer to inactive status. The notice to be given to the client shall advise the prompt substitution of another attorney or attorneys in place of the formerly admitted attorney. In the event the client does not obtain substitute counsel before the effective date of the disbarment, suspension, administrative suspension or transfer to status, it shall be the responsibility of the formerly admitted attorney to move in the court or agency in which the proceeding is pending for leave to withdraw. The notice to be given to the attorney or attorneys for an adverse party shall state the place of residence of the client of the formerly admitted attorney; and

- 3) Pa.R.D.E. 217(c)(1), which states that a formerly admitted attorney shall

promptly notify, or cause to be notified, of the disbarment, suspension, administrative suspension or transfer to inactive status, by registered or certified mail, return receipt requested: all persons or their agents or guardians to whom a fiduciary duty is or may be owed at any time after the disbarment, suspension, administrative suspension or transfer to inactive status. The responsibility of the formerly admitted attorney to provide the notice required by this subdivision shall continue for as long as the formerly admitted attorney is disbarred, suspended, administratively suspended or on inactive status.

**CHARGE VI: Overdraft in Escrow Account, C1-13-142**

80. In accordance with Rule of Professional Conduct ("RPC") 1.15(1), Respondent maintains an Interest on Lawyer Trust Account (IOLTA) with PNC Bank, account number 8627004263.

81. On or before December 20, 2012, Respondent wrote an unnumbered check from his IOLTA account to the Superior Court.

82. On December 20, 2012, the Superior Court presented the unnumbered check to a financial institution.

83. The amount of the check was \$73.50.

84. At the time Respondent wrote the check to the Superior Court, Respondent's IOLTA account did not contain sufficient funds to pay that check.

85. Because Respondent's IOLTA account did not contain sufficient funds to pay Respondent's check to the Superior Court, a \$20.73 overdraft resulted.

86. Respondent recklessly handled fiduciary funds.

87. PNC Bank did not honor Respondent's check to the Superior Court and provided Respondent with written notice of its action.

88. By letters to Respondent dated January 4 and 25, 2013, Kathy J. Peifer, Executive Director of the Pennsylvania Lawyers Fund for Client Security ("Fund"), wrote to Respondent requesting information regarding the overdraft in his IOLTA account.

89. Respondent did not respond to Ms. Peifer's letters or provide Ms. Peifer with the information she had requested.

90. By his conduct as alleged in paragraphs 80 through 89 above, Respondent violated the following Rule of Professional Conduct:

- a. RPC 1.15(b), which states that a lawyer shall hold all Rule 1.15 Funds and property separate from the lawyer's own property. Such property shall be identified and appropriately safeguarded.

**CHARGE VII: Failure to Comply with Condition,  
C1-11-919, C1-11-935**

91. On June 25, 2012, Chief Disciplinary Counsel administered an Informal Admonition to Respondent at the Office of Disciplinary Counsel on ODC File Nos. C1-11-919 and C1-11-935.

92. Having received the Informal Admonition from Chief Disciplinary Counsel on June 25, 2012, Respondent is conclusively deemed to have violated the Rules that the Reviewing Authorities approved in support of discipline: RPC 1.3 (two counts); RPC 1.4(a)(3) (two counts); RPC 1.4(a)(4); RPC 1.15(e); RPC 1.16(d); and RPC 8.4(d).

93. As a condition of Respondent's Informal Admonition, Respondent was required to take 2 hours of continuing legal education, within six months of the administration of the Informal Admonition, on the proper

handling of fiduciary funds and to promptly provide ODC with proof of compliance with this Condition.

94. Respondent failed to comply with this condition and take the mandated CLE course on the proper handling of fiduciary funds.

95. By his conduct as alleged in paragraphs 91 through 94 above, Respondent violated the following Rules of Professional Conduct and Pennsylvania Rules of Disciplinary Enforcement:

- a. RPC 8.4(d), which states that it is professional misconduct for a lawyer to engage in conduct that is prejudicial to the administration of justice; and
- b. Pa.R.D.E. 203(b)(3), which states that wilful violation of any other provision of the Enforcement Rules, shall be grounds for discipline, via Pa.R.D.E. 204(b), which states that conditions may be attached to an informal admonition, private reprimand, or public reprimand. Failure to comply with such conditions shall be grounds for reconsideration of the matter and prosecution of formal charges against the respondent-attorney.

**CHARGE VIII: Failure to Answer DB-7  
Requests for Statements of Respondent's Position**

96. On March 18, 2014, ODC served Respondent, via certified mail, First Class mail, and email, with a DB-7 Request for Statement of Respondent's Position in the **Frank Lampe** matter.

97. Respondent received the DB-7 Request.

98. Respondent failed to submit an answer to the DB-7 Request within 30 days as mandated by Pa.R.D.E. 203(b)(7).

99. On March 25, 2014, ODC served Respondent, via certified mail, First Class mail, and email, with a DB-7 Request for Statement of Respondent's Position in the **Billy Cruz** matter.

100. Respondent received the DB-7 Request.

101. Respondent failed to submit an answer to the DB-7 Request within 30 days as mandated by Pa.R.D.E. 203(b)(7).

102. On May 13, 2013, ODC served Respondent with a DB-7 Request for Statement of Respondent's Position pursuant to D.Bd. Rules § 87.7(b) in the **Raymond Stevenson** matter.

103. Respondent received the DB-7 Request.

104. Respondent failed to submit an answer to the DB-7 Request within 30 days as mandated by Pa.R.D.E. 203(b)(7).

105. By his conduct as alleged in paragraphs 96 through 104 above, Respondent violated the following Pennsylvania Rule of Disciplinary Enforcement:

- a. Pa.R.D.E. 203(b)(7), which states that failure by a respondent-attorney without good cause to respond to Disciplinary Counsel's request or supplemental request under Disciplinary Board Rules, § 87.7(b) for a statement of the respondent-attorney's position, shall be grounds for discipline.

(3 counts)

### III. JOINT RECOMMENDATION FOR DISCIPLINE

106. Petitioner and Respondent jointly recommend that the appropriate discipline for Respondent's admitted misconduct is a three-year suspension, retroactive to August 10, 2013, the effective date of the Supreme Court's July 11, 2013 Order granting the Joint Petition for Immediate Temporary Suspension and placing Respondent on temporary suspension.

107. Respondent hereby consents to the discipline being imposed by the Supreme Court of Pennsylvania. Attached to this Petition is Respondent's executed Affidavit required by Pa.R.D.E. 215(d), stating that he consents to the recommended discipline and including the



mandatory acknowledgements contained in Pa.R.D.E. 215(d)(1) through (4).

108. Petitioner and Respondent respectfully submit that there are the following aggravating factors:

- a. On June 25, 2012, Respondent received an Informal Admonition with Conditions for engaging in misconduct in two client matters (C1-11-919 and C1-11-935) that was similar to Respondent's misconduct in the instant matter;
- b. Respondent failed to file a timely answer to ODC's March 3, 2013 DB-7 Request for Statement of Respondent's Position in C1-13-74 and C1-13-142; and
- c. Respondent failed to provide ODC with client files and financial records requested in ODC's March 3, 2013, March 18, 2014, and March 25, 2014 DB-7 Requests.

109. Respondent and Petitioner respectfully submit that there are the following mitigating factors:

- a. To the extent that Respondent entered into the Joint Petition for Immediate Temporary Suspension, Respondent has cooperated with ODC and understands the need to protect the

public and profession from attorney misconduct;

b. By virtue of Respondent's entering into this Joint Petition for Discipline on Consent, Respondent has recognized his misconduct; and

c. If this matter were to proceed to a disciplinary hearing, Respondent would present evidence that he has been diagnosed with and continues to suffer from Major Depression, as explained more fully in the report from Respondent's mental health service provider attached hereto as "Exhibit A."

110. Attorneys who engage in serial neglect coupled with other serious misconduct typically receive discipline ranging from a suspension of two years to disbarment. The lower range of discipline is often imposed when the attorney has no prior record of discipline and the misconduct does not involve many client matters. See, e.g., *Office of Disciplinary Counsel v. Lappe*, No. 38 DB 2004, D.Bd. Rpt. 2/22/2005 (S.Ct. Order 5/11/2005) (Supreme Court imposed a two-year suspension on Lappe, who neglected two client matters, failed to refund her unearned fee, failed to communicate with her clients, and failed to

inform her clients that she was placed on inactive status and could not represent them); and **Office of Disciplinary Counsel v. Bolno**, No. 162 DB 2000, D.Bd. Rpt. 12/16/2002 (S.Ct. Order 3/7/2003) (Supreme Court imposed a two-year suspension on Bolno, who neglected four client matters, made misrepresentations to her clients to conceal her neglect, ignored DB-7 Requests sent by ODC, and wrote false answers on her annual attorney registration form).

But when an attorney has a record of discipline for similar misconduct or the attorney's neglect involves numerous client matters, the attorney may receive far-greater discipline. See, e.g., **Office of Disciplinary Counsel v. Kenton**, No. 150 DB 2004, D.Bd. Rpt. 6/22/2005 (S.Ct. Order 9/20/2005) (Supreme Court imposed a five-year suspension on Kenton, who had previously received a suspension of one year and one day for neglecting three client matter, for neglecting six client matters, failing to refund his unearned fees, failing to communicate with his clients, and failing to advise all but one of his clients that he had closed his office and had ceased practicing law); and **Office of Disciplinary Counsel v. Urbanski**, No. 30 DB 2009, D.Bd. Rpt. 4/12/2009 (S.Ct. Order 3/3/2010) (Supreme Court disbarred Urbanski, who neglected three client matters, made misrepresentations to conceal

his neglect, failed to refund his unearned fee, and had a lengthy disciplinary history for neglecting client matters that included three Informal Admonitions, a Private Reprimand, and a one-year suspension).

111. Here, Respondent failed to diligently handle five client matters in Philadelphia Traffic Court and made misrepresentations to his clients to conceal his lack of diligence. Respondent also failed to communicate with his clients about their cases, safeguard fiduciary funds, refund his unearned fees, and advise two of his clients that he was placed on Temporary Suspension and could not continue to represent them. Furthermore, Respondent failed to file an Answer to three DB-7 Requests and satisfy the condition of his prior Informal Admonition.

Not only did Respondent neglect more client matters than Lappe (two) and Bolno (four), Respondent was engaged in serious misconduct in addition to his neglect of client matters. In further contrast to Lappe and Bolno, Respondent has a record of discipline for neglecting client matters. Thus, a two-year suspension would not be adequate discipline to meet the disciplinary systems goals.

Both Kenton and Urbanski had a record of discipline for neglecting client matters. But unlike Respondent, both Kenton and Urbanski had received a term of suspension for

their prior neglects. Also unlike Kenton and Urbanski, Respondent would be able to establish **Braun** mitigation if this matter would proceed to a hearing. Hence, a five-year suspension or disbarment would not be appropriate in Respondent's matter.

112. Application of the foregoing precedent to Respondent's misconduct results in the conclusion that the optimum amount of discipline for Respondent's misconduct would be a suspension from the practice of law for three years, retroactive to the date of Respondent's temporary suspension. Respondent's receipt of a three-year suspension will serve the twin purposes of continuing to protect the unsuspecting public from an attorney who accepts legal fees and then fails to provide legal services, as well as providing Respondent with the opportunity to continue his recovery from Major Depression without the pressures of practicing law.

Accordingly, Petitioner and Respondent jointly agree that the recommended discipline for Respondent's misconduct is a suspension of three years, retroactive to August 10, 2013, the effective date of the Order placing Respondent on temporary suspension.

WHEREFORE, Petitioner and Respondent respectfully request that:

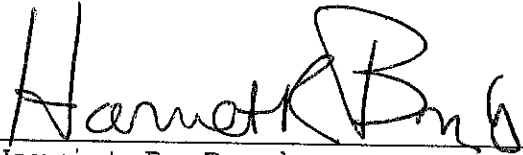
- a. Pursuant to Pa.R.D.E. 215(e) and 215(g), the three-member panel of the Disciplinary Board review and approve the Joint Petition in Support of Discipline on Consent and file its recommendation with the Supreme Court of Pennsylvania recommending that the Supreme Court enter an Order suspending Respondent for three years, retroactive to August 10, 2013, the effective date of the Order placing Respondent on temporary suspension; and
- b. Pursuant to Pa.R.D.E. 215(g) and 215(i), the three-member panel of the Disciplinary Board enter an Order that Respondent pay the necessary costs and expenses incurred in the investigation and prosecution of this matter, the Board Secretary immediately file the recommendation of the panel and the Petition with the Supreme Court without regard to Respondent's payment of costs and expenses, and all costs and expenses be paid by Respondent within thirty of the date of the panel's approval of the Discipline on Consent unless Respondent and the Board Secretary enter

into a plan, confirmed in writing, to pay the necessary costs and expenses at a later date.

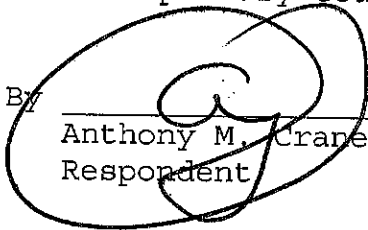
Respectfully and jointly submitted,  
OFFICE OF DISCIPLINARY COUNSEL

PAUL J. KILLION  
CHIEF DISCIPLINARY COUNSEL

10/20/2014  
Date

By   
Harriet R. Brumberg  
Disciplinary Counsel

9/10/14  
Date

By   
Anthony M. Crane  
Respondent

BEFORE THE DISCIPLINARY BOARD OF THE  
SUPREME COURT OF PENNSYLVANIA

OFFICE OF DISCIPLINARY COUNSEL, : No. 1954 Disciplinary Docket  
Petitioner : No. 3  
:  
: No. 85 DB 2013 and  
: C1-13-970 and C1-14-138  
:  
v. :  
: Atty. Reg. No. 82067  
:  
ANTHONY M. CRANE, Respondent : (Philadelphia)

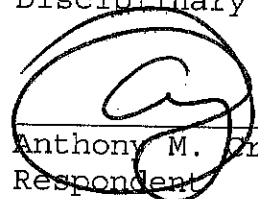
VERIFICATION

The statements contained in the foregoing Joint  
Petition In Support of Discipline on Consent Under Rule  
215(d), Pa.R.D.E., are true and correct to the best of our  
knowledge or information and belief and are made subject to  
the penalties of 18 Pa.C.S. § 4904, relating to unsworn  
falsification to authorities.

10/20/2014  
Date

  
\_\_\_\_\_  
Harriet R. Brumberg  
Disciplinary Counsel

9/10/14  
Date

  
\_\_\_\_\_  
Anthony M. Crane  
Respondent



BEFORE THE DISCIPLINARY BOARD OF THE  
SUPREME COURT OF PENNSYLVANIA

OFFICE OF DISCIPLINARY COUNSEL, : No. 1954 Disciplinary Docket  
Petitioner : No. 3  
:  
: No. 85 DB 2013 and  
: C1-13-970 and C1-14-138  
v. :  
: Atty. Reg. No. 82067  
:  
ANTHONY M. CRANE, :  
Respondent : (Philadelphia)

AFFIDAVIT UNDER RULE 215(d), Pa.R.D.E.

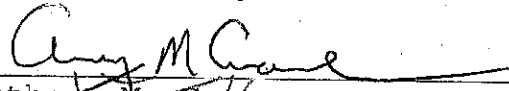
Respondent, Anthony M. Crane, hereby states that he consents to the imposition of a three-year suspension retroactive to August 10, 2013, the effective date of the Order placing Respondent on temporary suspension, and further states that:

1. His consent is freely and voluntarily rendered; he is not being subjected to coercion or duress; he is fully aware of the implications of submitting the consent; and he has/has not consulted with counsel in connection with the decision to consent to discipline;

2. He is aware that there is presently pending an investigation into allegations that he has been guilty of misconduct as set forth in the Joint Petition;

3. He acknowledges that the material facts set forth in the Joint Petition are true; and

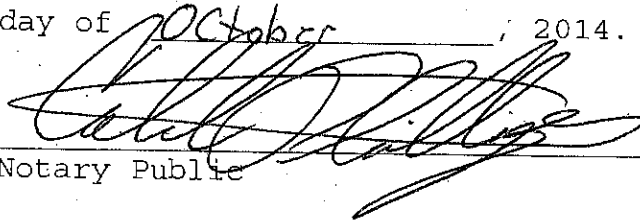
4. He knows that if charges predicated upon the matters under investigation were filed, he could not successfully defend against them.

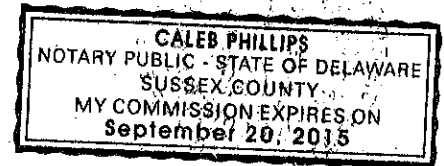
  
\_\_\_\_\_  
Anthony M. Crane  
Respondent

Sworn to and subscribed

before me this 8th

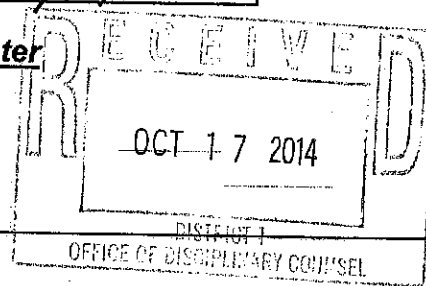
day of October, 2014.

  
\_\_\_\_\_  
Notary Public



NAME: Crane, Anthony  
MCI#: 2413278  
D.O.B. #: 4/24/70  
DATE: 3/15/12

Delaware Community Mental Health Center  
PSYCHIATRIC EVALUATION



CURRENT MEDICATIONS:

none

ADDITIONAL CLINICAL INFORMATION:

'Depression'

41 yo ♂ self referred for Rx of depression  
- poor + n mood = Y (no fix of mood)  
- history he is ↓ mood, hopeless, ↓ sleep, ↓ energy  
↓ appetite ↓ concentration  
- He denies risk of harm to self others + is able to  
contract for safety  
- no evidence of psychosis or thought D/O  
- ⊕ DA abuse  
- He is depressed for several yrs. Min past few months  
- ⊕ Anxiety  
(See Som ✓ per chart)

Mental Status Exam:

Appearance: kempt / unkempt / drowsy / stuporous / intoxicated  
Manner: pleasant / cooperative / uncooperative / guarded / angry / suspicious  
Speech: Rate: normal / slowed / pressured / monotonous  
Volume: normal / high / low  
Content: clear / goal-directed / rambling / vague / mute  
Motor activity: General: calm / agitated / pacing  
Gait: normal / ataxic / shuffling  
Movement: none / tremor / tic / rigidity / catatonia  
Mood: depressed / anxious

DIAGNOSIS: (DSM IV)

Axis I: a. Major Depressive CODE: 296.33  
b. \_\_\_\_\_ CODE: \_\_\_\_\_

Axis II: None

Axis III: None

Axis IV: SUBST

Axis V: 60

Plan:

- 1) Celebra domq i 10 qam + 20xl L Denon
- 2) At given time on med + risk/benefits explained to pt
- 3) no DA 4) At given time on crisis servent bid to call or go to ER if in
- 5) RTC i with or sooner if SA

Please initial to certify you have done the following:

to Risk and benefits of treatment (including medication) have been discussed with the client

g I certify that continued outpatient psychiatric treatment services are medically necessary to *improve* and/or *maintain* this client's condition and functional level to prevent relapse or hospitalization.

PLEASE NOTE: Check here if this evaluation includes additional notes on the reverse side of this page.

Duane D. Shubert, MD 3/15/12  
 Signature & Credentials Date  
 Lic: C1-0005815  
 DEA: BS7052575  
 NPI# 1639128465

Printed Name \_\_\_\_\_

NAME Crane Anthony MEDICAL ORDER  
 MCI 0002413278 RECORD  
 DATE OF BIRTH 4/24/70  
 DATE OF LAST PHARMACY PROFILE 2/28/12 SITE 018-SMH  
updated 6-10-13  
 ALLERGIES NKOA  
 PHARMACY CLINIC  
Wal-Mart (Seaford) B400124  
 PRIMARY CARE PHYSICIAN none  
Dr. Slegent  
Tita Gontang - CM

ORDER DATE	ORDER (For medication orders include the name of medication, dose, route, frequency, amount and refills)	SUBSTITUTION PERMITTED**	REMARKS (Injection Administration, Sample Meds, Assistance Programs, Medication Returns, Vital Signs, Laboratory Information, etc.)
11/2/12	Celesta 40mg 7 no qam + 20x2 - M	Duane D. Shubert, MD DE Lic: C1-0005815 DEA: BS7052575 NPI# 1639128465	
1/29/13	no show	Duane D. Shubert, MD DE Lic: C1-0005815 DEA: BS7052575 NPI# 1639128465	
2/13/13	Celesta 40mg 7 no qam + 30x2 - M	Duane D. Shubert, MD DE Lic: C1-0005815 DEA: BS7052575 NPI# 1639128465	
4/10/13	Celesta 40mg 7 no qam + 30x2 - M	Duane D. Shubert, MD DE Lic: C1-0005815 DEA: BS7052575 NPI# 1639128465	
4/16/13	no show	Duane D. Shubert, MD DE Lic: C1-0005815 DEA: BS7052575 NPI# 1639128465	

Date: March 6, 2012

Sussex Community Mental Health Center

**Delaware Health & Social Services**  
**Division of Substance Abuse & Mental Health**  
**Delaware Community Mental Health Centers**

**SOCIAL ASSESSMENT**

Client Name: Anthony Crane MCI 0002413278  
(INCLUDE MAIDEN NAME/AKA/ALIAS)

Informant: self Relationship: N/A

**Reason for Admission/Readmission (after six months since last day seen):** *(Include chief complaint in client's words, referral circumstances and symptomatology and history of present illness)*

Client was seen for Part 2 intake. Client is 41 y. o. M/W/M wishing to start treatment for vegetative symptoms of depression and is taking no medication, scoring 17 on the Beck, 4 on the mood and 1 on the trauma. Client's only previous mental health treatment was for counseling in 2004 when client's wife had 3 miscarriages. Client has no substance abuse history and only drinks socially once a month. Client is an attorney and is self employed and will bring in copy of last year (2011) tax return when he completes it, and with client's current income will qualify for Medicaid but is resistant to apply. Client is available for appt with doctor next Thurs. or Friday 3/15 or 3/16

**What is the client's expectation of treatment?** "I would like to be able to function again"

**Previous psychiatric treatment:** *(include dates and locations)*

**OUTPATIENT TREATMENT**

**2004 client saw therapist in Phil. PA for 6 months**

DATE	FACILITY	REASON FOR TREATMENT
------	----------	----------------------

**INPATIENT TREATMENT**

None

**History of suicidal/homicidal behavior and previous attempts**

None reported

**Drug/Alcohol usage:** *(specify drug used, onset, quantity, duration of use and last use)*

F/U ETOH age 15, drank on weekends, had several beers, which continued through college years, Client currently drinks socially 1 beer, 1 x month. Client denies any other substance use/history.

**Drug/Alcohol detoxification or rehabilitation treatment:** *(specify date and duration)*

Client denies

**CHILDHOOD HISTORY**

**Childhood/home environment:** *(include such data as developmental history, abuse, neglect, divorce, frequent moving, etc.)*

Client was born in Philadelphia and grew up there and client lived there most of life. Childhood was happy and normal, parents were always there, and were supportive. Client was on baseball team in grade school. Family took vacations to FL and Disney World several years and family had vacation home when client was teenager in Wildwood New Jersey..

**Physical/sexual/emotional abuse:** *(include if was abused or was abuser)*

Client denies

**FAMILY HISTORY**

**Father:** *(include such data as living, deceased, quality of relationship, step or adoptive, contact, history of MH/SA, etc.)*

0002413270

.Father was a disciplinarian, and was quiet and reserved. Father and client watched sporting events together. Parents are still married, living in PA.

**Mother:** (include such data as living, deceased, quality of relationship, step or adoptive, contact, history of MH/SA, etc.)

Mother was homemaker and took care of the house; she sometimes worked part time when client was older. Client had good relationship earlier and today. Client visits parents once a month or so to PA.

**Siblings:** (include such data as living, deceased, quality of relationship, step or adoptive, contact, birth order, history of MH/SA, etc.)

Client is 2<sup>nd</sup> child out of 3, Client has bio sister 4 years older and then family adopted sister when client was in high school. Client has good relationship today with them.

**Extended Family:** (include history of psychiatric or substance use/disorder)

Client's mother's side of family has ETOH problems.

**Cultural/spiritual, religious ethnic background:** (include such data as impact on quality of life and

Client was raised Catholic, received all the sacraments, attended church weekly and Catholic school. Currently client attends Catholic services weekly.

**Marital/relationship history:** (include such data as sexual history, children, date widowed, separated or divorced, etc.)

.Client has been married 13 years, - they have two children one 7 and 1 1/2. Wife has had several miscarriages prior to 1<sup>st</sup> child, and surgery corrected problem. Today there is strained relationship due to financial problems.

**Name, address and phone number of spouse/significant other/primary support person:**

Wife Dawn Crane 302-276-8808

**Current living arrangements:** (include such data as community, location, roommates,.

Client lives with mother in law, wife and two children, and they own house.

**VOCATIONAL HISTORY**

**Education:** (include such data as degrees, highest grade completed, social functioning in school, dates, learning difficulties, etc

. Client attended college 7 years beyond high school, has a law degree.

**Occupation:** (include such data.. places of employment) Longest job held :Client has been practicing law for 13 years, but the past

year has not worked full time due to depression. Client had part time jobs in high school and college, worked in restaurants or worked in machine shop.

**Previous vocational rehabilitation training/referral:** None reported

**Military Services:** (include such data as branch, discharge type, dates of service, number of years, combat, etc.)

No military service noted

**LEGAL INVOLVEMENT**

**Legal history:** (include such data as current & past charges, probationary status, history of violence or abuse of others, etc.)

Client denies.

**Are you involve in worker's compensation or disability claims?** (please explain

None reported

**Probation/Parole officer name and phone number:** none reported

**SOCIALIZATION**

**Social Evaluation:** (include such data as perception of own personality, strengths, limitations, social patterns, leisure and recreational activities, peer relationships, problems with communication, etc.)

Strengths: used to be driven to get things done and had leadership skills but now client has no motivation . Per list strengths: considerate decisive, thankful, trustworthy, spiritual

**ASSESSMENT SUMMARY BY PERSON COMPLETING FORM** (include current functional level)

Client was casually dressed with adequate hygiene, good eye contact, appropriate mood, relevant, SI, HI and HA denied

**CASE REVIEW NOTES FROM STAFF MEETING:**

Client's Tx goals/interventions appropriate for stated needs.

J. Friend LPCMHSAOC, CSA 3-1

DEPARTMENT OF HEALTH & SOCIAL SERVICES  
DIVISION OF ALCOHOLISM, DRUG ABUSE, AND MENTAL HEALTH  
SUSSEX COMMUNITY MENTAL HEALTH CENTER

DISCHARGE SUMMARY

Date: 10/10/2013

Client's Name: Crane, Anthony

MCI # 0002413278

Date of Birth 04/24/1970

Social Security # 184-66-0441

**TREATMENT SUMMARY:** (Why discharged or transferred; course of compliance, and period of treatment, any other significant information.) He is being discharged for missing his appointment. Telephone calls and letter sent. **Next appt:** Call as needed to set up appointment with Front Door team for re-admission.

**Status of problems on most recent treatment plan:** He was admitted to long term on 5/4/12. His initial psychiatric evaluation was done on 03/15/12.

**CURRENT MEDICATIONS:**

Celexa 40 mgs #1 q AM

**CURRENT DIAGNOSIS: (as per intake data base)**

Axis I: 296.33 Major depression.

Axis II: ~~No Dx V71.09~~

Axis III: No Dx

Axis IV: social environment

Axis V: (Current GAF) 70

Delivita Joubert, LCSW  
Clinician Signature Date 10/11/13

Harper 10.11.13  
Clinical Supervisor Signature Date

[Signature] 10/11/13  
Team Psychiatrist Signature Date