# **IN THE SUPERIOR COURT OF PENNSYLVANIA**

IN RE: BRENDA DAVIS,

CLERK OF COURTS,

Appellant.

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-	SUPERIOR COURT OF PENNSYLVANIA	ALINE DESCRIPTION OF A

## **CRIMINAL DIVISON**

887 WDA 2022

REPRODUCED RECORD ("R.R.")

**Filed on Behalf of:** Brenda Davis, Clerk of Courts

**Counsel of Record for this party:** 

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JAMES DEPASQUALE, ESQ., CHARLES GALLO, ESQ., ROBERT GALLO, ESQ, JUDGE DISALLE, WCCF, APO, BRENDA DAVIS, CA, CDU, FILE

## IN THE COURT OF COMMON PLEAS OF WASHINGTON COUNTY, PENNSYLVANIA CRIMINAL DIVISION

IN RE:

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MD-898-2021

## BRENDA DAVIS CLERK OF COURTS

CRIMINAL CONTEMPT OF COURT PROCEEDING IN THE ABOVE-ENTITLED CAUSE BEFORE THE HONORABLE JOHN F. DISALLE, PRESIDENT JUDGE, ON THURSDAY, AUGUST 4, 2022 СМ

**APPEARANCES**:

James DePasquale, Esquire Charles Gallo, Esquire Robert Gallo, Esquire Representing Ms. Davis

#### ORDER OF SENTENCE

AND NOW, this 4<sup>th</sup> day of August, 2022, following a hearing, the Court

finds that Ms. Brenda Davis, being an officer of the Court, committed contempt by:

Refusing to follow the Court's Order of November 23, 2021;

Locking the vault, restricting access to the Juvenile files;

Refusing to submit to the Deputies;

Refusing to come into the courtroom to be addressed by the Court; and

Engaging in misbehavior immediately outside the courtroom, which created such a disruption that it spilled over three floors of the courthouse until Ms. Davis absconded from the courthouse. Ms. Davis' refusal to come into the courtroom to be addressed, and her loud misbehavior immediately outside the courtroom is deemed by case law to be "in the presence of the Court," such that she is subject to Direct Criminal Contempt proceedings. This Court further finds that Ms. Davis committed these acts with the intent to obstruct the proceedings, and that Ms. Davis' misconduct did actually obstruct the administration of justice.

The Court further finds that no civil remedy is appropriate in this case. In consideration of these facts, the Court finds Ms. Davis guilty and sentences her on the charge of Direct Criminal Contempt, a violation of 42 PACS §4132, an ungraded Misdemeanor, to pay the costs of prosecution and to pay a fine of \$5,000 (assessment of her ability to pay the fine placed on the record). The Court further sentences Ms. Davis to be incarcerated in the Washington County Correctional Facility for no less than 15 days to no more than six (6) months.

Upon completion of her minimum sentence, Ms. Davis shall be paroled to the supervision of the Washington County Adult Probation Office and shall be subject to all terms and conditions of the Washington County Adult Probation Office, including those General Conditions of Probation and Parole read to her this date in Open Court. In addition to those conditions, any further refusal to follow a court order on the part of Ms. Davis shall be considered a violation of her parole.

Upon release from the Washington County Correctional Facility, Ms. Davis shall immediately report to the Washington County Adult Probation Office for intake.

(Post-sentencing rights given but not transcribed.)

## NOTICE OF GENERAL CONDITIONS OF PROBATION AND PAROLE

- 1. The Defendant shall report to their Probation or Parole Officer (PO) as directed; and permit their PO to visit them at their residence or place of employment; and may be subject to warrantless searches of their residence, vehicle, property, and person and the seizure and appropriate disposal of any contraband found.
- 2. The Defendant shall report to their PO within 24 hours or the next business day after being released from any institution.
- 3. The Defendant shall only reside at a residence approved by their PO; and the Defendant shall not change their place of residence without the approval of their PO. The Defendant shall not reside with someone who is currently on probation or parole without the approval of their PO.
- The Defendant shall not travel outside of the Commonwealth of Pennsylvania without a travel permit from their PO.
- 5. The Defendant shall notify their PO within 72 hours of any change in employment status. Pay stubs must be submitted to verify employment status
- 6. The Defendant shall abide by a curfew imposed by the Court, to be determined by their PO.
- 7. The Defendant shall not purchase, use or possess alcoholic beverages, and shall not enter bars or taverns.
- The Defendant shall not unlawfully possess or use any controlled substances, except as prescribed by a licensed physician for a legitimate, medical need, or as part of a licensed treatment program.
- 9. The Defendant shall submit to random and periodic testing to determine the use and presence of any illegal substances and alcoholic beverages.
- 10. The Defendant shall not possess any firearms or offensive weapons in their residence, on their person, or in their vehicle.

11. The Defendant shall refrain from any assaultive, threatening or harassing behavior.

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- 12. The Defendant shall not violate any municipal, state or federal laws, and shall notify their PO immediately of any new arrest, investigation or contact with law enforcement authorities.
- 13. The Defendant shall pay all fines, costs and restitution imposed by the Court immediately or in accordance with a schedule set forth by the Court or by the Washington County Collections and Disbursement Unit.
- 14. A Defendant who is required to wear a wrist or ankle monitor shall not remove or tamper with their monitor for any reason. If there is a problem with the monitor, the Defendant shall immediately notify their PO of same. Self-help in the adjustment or removal of a monitor may be considered a violation of their probation or parole.

#### BY THE COURT,

## <u>/s/ John F. DiSalle</u>, P.J. JOHN F. DISALLE, PRESIDENT JUDGE

# IN THE COURT OF COMMON PLEAS OF WASHINGTON COUNTY, PENNSY LVANIA

#### CIVIL DIVISION

ADOPTION OF LOCAL RULES OF JUVENILE PROCEDURE

L-120 AND L-1120

IN RE:

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12 404 min

No.

2021-1

## ADMINISTRATIVE ORDER

AND NOW, this 23 day of November, 2021, the Court having adopted Local Rules of Juvenile Procedure L-120 and L-1120, and further action is necessary to effectuate the orderly transition of duties and administration of the juvenile docket, including maintaining the official court record in juvenile cases, it is hereby ORDERED, ADJUDGED, and DECREED that the Chief Juvenile Probation Officer, and any necessary court staff, shall enter the office of the Clerk of Courts to take custody of all juvenile court files for juvenile delinquency and dependency cases from the Clerk of Courts. In accordance with Local Rules L-120 and L-1120, the Juvenile Probation Office is the designated custodian for the juvenile court files.

The Chief Juvenile Probation Officer shall ensure that a notation is made of each juvenile court file transferred from the care of the Clerk of Courts to the Juvenile Probation Office. The Clerk of Courts, and her staff, shall cooperate in the orderly transfer of the files.

The Sheriff, or his designee, is DIRECTED to enforce this Order. If the Clerk of Courts, or a member of her staff, refuses or otherwise fails to comply with this Order, the Sheriff shall immediately attach and detain the individual pending proceedings for contempt pursuant to 42 Pa. C.S.A. § 4101, et seq., before the undersigned.

BY THE COURT:

P.J. John F. DiSalle resident Judge From the record

ATTEST H. HOUGH, PROTHONOTAR n Expires First Monday to Mayory, 2029 **RR005** 

# IN THE COURT OF COMMON PLEAS OF WASHINGTON COUNTY, PENNSYLVANIA

## CRIMINAL DIVISION

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IN RE:

## BRENDA DAVIS

## No. MD-2021- **\$98**

### <u>ORDER</u>

AND NOW, this 29 day of November, 2021, in consideration of the direct contempt proceedings which commenced on November 24, 2021, as a result of the contempt of Brenda Davis, an officer of the court elected to the office of Clerk of Courts of Washington County, by her failure and refusal to comply with the Administrative Order dated November 23, 2021, regarding the transfer of juvenile court files from the Clerk of Courts Office to the Juvenile Probation Office, her interference with the Juvenile Probation Office staff attempting to effectuate the Court's order, her locking the vault door of the public office to prevent Court staff from access to the files, her resistance to the Sheriff's deputies attempting to enforce the Court's order, her disruption of the courthouse by her behavior and of the Court for having to adjourn other proceedings in order to address her contempt, her refusal to enter the courtroom in order to be so addressed, and her subsequent departure from the courthouse, it is hereby ORDERED that the direct criminal contempt proceedings pursuant to 42 Pa. C.S.A. § 4101, et seq., § 4132, shall reconvene on the Z\* Day of Declayfor, 2021, at \_\_\_\_\_ f. m., at which time Brenda Davis shall appear before the undersigned and be prepared to answer for her contumacious behavior.

Failure to appear at the date and time set forth above shall result in a bench warrant for the defendant's arrest.

A9 00 NOTANIHZAW BY THE C P.J. John'F. DiSalle, President Judge **RR006** 4

IN THE COURT OF COMMON PLEAS OF WASHINGTON COUNTY 1 COMMONWEALTH OF PENNSYLVANIA 2 CRIMINAL DIVISION 3 4 5 IN RE: > ) 6 BRENDA DAVIS, MD-898-2021 ) CLERK OF COURTS ) 7 - - -8 TRANSCRIPT OF HEARING BEFORE THE HONORABLE JOHN F. DISALLE, 9 PRESIDENT JUDGE 10 COURTROOM NO. 2 WEDNESDAY, NOVEMBER 24, 2021 11 \_ \_ \_ 12 13 14 15 16 17 Transcribed by: Christine B. Mumper 18 Official Court Reporter 19 20 21 22 23 Transcript filed in the Clerk of Courts, this 2nd day of December, 2021. 24 25

1 P-R-O-C-E-E-D-I-N-G-S 2 3 (Thereupon, the hearing commenced at 4 10:58 a.m.) 5 THE COURT: This is the matter of the 6 7 events regarding the Administrative Order 8 dated November 23, 2021, the Adoption of 9 Local Rules of Juvenile Procedure L-120 and 10 L-1120, filed at 2021. 11 And of course, this process began 12 approximately a month ago, that the --13 following the Clerk of Courts Unilateral 14 Waiver of Duties, that we began, the Court 15 and Court Administration began working on an alternative file management procedure, in 16 17 accordance with the state juvenile rules and 18 the local juvenile rules. 19 This morning, we attempted to move the 20 files to effectuate that start date of November 29th, and we've had incidents. 21 I'm going to -- where's Mr. Grimm? 22 23 Can you come forward? 24 25

DISTRICT COURT ADMINISTRATOR PATRICK R. GRIMM 1 2 having been first duly sworn, was examined by the 3 Court and testified as follows: THE COURT: So Mr. Grimm, do you want 4 5 to -- let's swear you in. And of course, Patrick R. Grimm is the 6 District Court Administrator in Washington 7 County. Sir, do you want to give a brief. 8 summation of what transpired when you 9 attempted to do the move? 10 11 MR. GRIMM: Do you mind if I take this 12 off? THE COURT: No, I do not mind, you can 13 take that off. 14 MR. GRIMM: This morning, your Honor, 15 16 I took your Administrative Order regarding 17 the transfer of the physical files for the juvenile dependency and delinquency cases 18 from the Clerk of Courts to the Juvenile 19 Probation Office, to the Prothonotary's 20 Office, it was approximately 9:30 to 9:35 21 22 this morning. I filed the order. I had multiple 23 copies time stamped. I provided that order 24 to the Chief Juvenile Probation Officer, 25

Amanda Gallagher. I also gave the order to 1 two sheriff's deputies. The two deputies and 2 Chief Gallagher and me, we went over to the 3 4 Clerk of Courts' office to serve the order, and to discuss moving the files out of the 5 6 Clerk of Courts' office with the Clerk of 7 Courts, Brenda Davis. 8 To summarize, Ms. Davis, we provided her -- Amanda Gallagher provided her with the 9 10 order, started to explain it. Ms. Davis took 11 her cell phone out and began recording us. 12 As we tried to attempt to discuss the 13 order, Ms. Davis indicated she wasn't going to read it. She also, to the best of my 14 15 recollection, indicated that -- or said that 16 she was an elected official, she had 1620 rights. She didn't have to follow the order. 17 18 She also said she was waiting for 19 Commonwealth Court to act. I believe at one 20 point, someone else came in the office and 21 began recording what was going on, I believe it was the Prothonotary. It was Laura Hough, 22 23 the Prothonotary. And then after that, one of the deputies attempted to speak to 24 25 Ms. Davis to sort of, in my estimation, tried

1 to work out a resolution, you know, to get 2 her to read the order and just comply. 3 Ms. Davis started to, I guess, in my 4 description, argue with the deputy. After 5 that, I believe the deputies went to detain 6 Ms. Davis. At one point, she shut the vault 7 door, and when she was doing it she turned 8 the handle. So I mean, from my view, it 9 appeared that the vault had been locked by 10 doing that. It's my understanding that the 11 vault is where the juvenile files are kept in 12 that office. 13 THE COURT: So the vault door was open 14 when you first arrived, and she closed it and 15 locked it in your presence? 16 MR. GRIMM: Yes. 17 THE COURT: And what happened after 18 that? MR. GRIMM: So after that, I mean, at 19 20 one point, the deputies, they went to detain 21 Ms. Davis, and she appeared to resist at some 22 point. 23 And eventually, after that, Ms. Davis 24 at one point complained of some sort of medical issue, I think that her back hurt. 25

Medical attention was sought for Ms. Davis. 1 2 I believe the sheriff's department 3 tried to bring Ms. Davis to the courtroom. 4 My understanding from one of the deputies is 5 that she refused to enter courtroom, your 6 courtroom, your Courtroom No. 2 on the second 7 floor of the courthouse. 8 At one point, Ms. Davis went back 9 downstairs to her office. At another point, 10 the EMT's arrived. I believe they checked 11 Ms. Davis out. And then at some point, 12 Ms. Davis left the courthouse to seek medical 13 attention. 14 THE COURT: Okay. But your personal 15 observation ended in the Office of the Clerk 16 of Courts; is that right? 17 MR. GRIMM: Yes. 18 THE COURT: And to dispel something 19 that was said in chambers, there wasn't an 20 arrival of 20 people into the Clerk of 21 Courts? 22 MR. GRIMM: No. No, when we arrived 23 at the Clerk of Courts, it was me, the Chief 24 Juvenile Probation Officer, and two deputies. 25 At some point, when Ms. Davis began

1 refusing to comply, I believe a third deputy 2 sheriff came. But we did not have anyone 3 else with us. There were no probation 4 officers or other court staff, it was 5 really -- it was just the four of us 6 initially, and then a third deputy arrived. 7 THE COURT: Before we go any further, 8 Mr. Logue, do you want to step forward, 9 please. 10 And you are Attorney Sean Logue; 11 correct? 12 SEAN LOGUE, ESQUIRE 13 was examined by the Court and said as follows: 14 MR. LOGUE: May it please the Court, 15 Sean Logue, not representing any party. 16 THE COURT: I just wanted to put that 17 on the record, because you did, at my 18 request, come up, and you even offered to 19 make suggestions to help resolve today. 20 So I wanted to just make it clear that 21 you were here, you did have discussion in 22 chambers, but you are not representing 23 Ms. Davis? 24 MR. LOGUE: That's correct. 25 THE COURT: Do you have anything else

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1	that you want to put on the record?
2	MR. LOGUE: I can only tell you my
3	very tiny part is I'm here in the courthouse.
4	I have two PFA's, one scheduled for 11:15 and
5	the other one scheduled for 11:45.
6	I got here early, like I always do, to
7	speak to opposing counsel. When I walked in,
8	I was greeted by an employee from the
9	Prothonotary's office, who handed me a copy
10	of your Administrative Order.
11	As I walked down the hall, I walked
12	past about 20 large people. They appeared to
13	be employees of Adult Probation. I'm
14	six-foot-two, 240 pounds, and there were
15	gentlemen bigger than me, walking past me.
16	And I was told that those were the people
17	that arrived at the Prothonotary's office to
18	take the files, and so
19	THE COURT: You just said the
20	Prothonotary's office?
21	MR. LOGUE: I'm sorry, forgive me.
22	The Clerk of Courts office.
23	THE COURT: And you did not actually
24	see that; correct?
25	MR. LOGUE: Correct, I did not see

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1	that.
2	THE COURT: And what hallway are we
3	talking about?
4	MR. LOGUE: I entered through the
5	Strawberry Square (sic) side entrance. And
6	as soon as I made a right, this group of 20
7	large people were walking past me.
8	THE COURT: Well, we do have people
9	that were to help move the physical files
10	today. I don't know who they are. I assume
11	they are from Juvenile Probation.
12	Anything else you want to put on the
13	record?
14	MR. LOGUE: No.
15	Only that your Honor called because I
16	frequently do work for the Republican Party
17	of Washington County, and Ms. Davis is a
18	Republican. And I'm friendly with Ms. Davis,
19	as well as, I hope that you and I are
20	friendly. I appear in front of you quite
21	often.
22	You asked if I could mediate or help
23	out in any way. Your Honor made the
24	suggestion that the most important thing to
25	him was to get the files moved in an orderly

1 transition. You asked me if I had any 2 suggestions. And I suggested perhaps getting 3 the combination of the vault from Ms. Davis, 4 in that maybe that would put off a potential 5 contempt hearing. 6 The most important thing for me was 7 her health, because she was complaining of 8 back pain from being handcuffed, I was told. 9 I did not see the handcuffing, but I was told 10 she was handcuffed at some point. 11 Your Honor liked the suggestion, and I 12 went down to speak with Ms. Davis, along with 13 Tony Andronas, the Sheriff-Elect. 14 I spoke to Ms. Davis, but Ms. Davis 15 was unable to respond to a potential 16 suggestion of turning over the vault pass code, because she was complaining of 17 18 heart palpitations, and she said that her arm 19 started to hurt. I'm not a medical expert, 20 but I know that to be a potential sign of 21 heart issues, and so I suggested the 22 paramedic check her blood pressure. 23 THE COURT: The paramedics were already there by the time you had this 24 25 conversation?

1 MR. LOGUE: Correct. 2 Sheriff-Elect Andronas and I went back 3 up to chambers to inform your Honor, and I think that ends my part of the story. 4 5 THE COURT: Okay. So you weren't there when Ms. Davis exited the courthouse? 6 7 MR. LOGUE: No, I was not. 8 THE COURT: On her own, without any 9 assistance, I'm told. You were not there? 10 MR. LOGUE: I was not there, and I did 11 not suggest that she leave. I did not play 12 any part in her leaving the courthouse. 13 THE COURT: I wasn't implying that, 14 that you did. I'm just saying you didn't see 15 that part. 16 MR. LOGUE: Correct. 17 THE COURT: All right. 18 As we all discussed, Chief Deputy Andronas and yourself and I, there was 19 never a suggestion that we interfere with the 20 medical treatment. We all felt that that was 21 22 the primary, our first priority; correct. 23 MR. LOGUE: Your Honor intimated to me 24 that you believed -- and you did not say 25 these words.

1	But you made the comment that a person
2	cannot claim some kind of pain to get out of
3	a hearing, or something to that effect.
4	I then
5	THE COURT: So you're not putting
6	words in my mouth, but you are?
7	MR. LOGUE: No. I'm saying that there
8	was a suggestion made that she needs to be at
9	the hearing, and when, and only when, the
10	paramedic came up when and only when the
11	paramedic came up and said that she's having
12	these heart palpitations
13	THE COURT: No, I don't believe he
14	said that. And he's going testify, but since
15	you don't represent her, you won't be cross-
16	examining. But he said that her heart rate
17	was a little elevated, but everything else
18	was normal.
19	MR. LOGUE: I'm okay with that, yes.
20	THE COURT: Well, you just started to
21	say the medic said that she was having heart
22	palpitations.
23	That was not said in my presence.
24	MR. LOGUE: The the the I
25	believe the paramedic said she he checked her

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for complaints of the heart palpitations, and 1 I'm not disputing that he said that her blood 2 pressure was elevated. And at that point in 3 4 time, your Honor was very clear that you're not going to stand in the way of her health, 5 and that -- and that was the last word on the 6 7 subject. 8 THE COURT: All right. Thank you, 9 sir. Anything else? 10 MR. LOGUE: No, nothing else. 11 THE COURT: Thank you. 12 I know that the medics need to get 13 going. I wanted to put that on. Sir, where's Mr. Golnas? Still here? 14 15 CHIEF DEPUTY ANDRONAS: Your Honor, if 16 I may approach? 17 THE COURT: You may. 18 (Discussion off the record.) 19 CORPORAL EDMUND SCHELL called as a witness, having been first duly sworn, 20 21 was examined by the Court and testified as 22 follows: 23 THE COURT: State your full name and 24 title for the record, please. 25 CORPORAL SCHELL: Corporal Edmund

1 Schell, spelled S-c-h-e-l-l. 2 THE COURT: And you were one of the 3 deputies assigned to enforce the order and 4 see to the orderly transition of these files? 5 CORPORAL SCHELL: I was. б THE COURT: And tell me your observations this morning. 7 8 CORPORAL SCHELL: This morning, we 9 assisted Patrick Grimm and the Chief Juvenile 10 Probation Officer to obtain records from the 11 Clerk of Courts. 12 At this time, Deputy Cameron and I, 13 when we went to the Clerk of Courts, we stood 14 out in the hallway and observed the situation. Patrick Grimm and the JPO officer 15 handed a court order to Ms. Davis, who is the 16 17 Clerk of Courts. 18 At this time, it seemed to, under my 19 observation, that Ms. Davis became very 20 argumentative. At this time, I came into the 21 Clerk of Courts, attempted to speak with 22 Ms. Davis, and Ms. Davis became very 23 argumentative with me. 24 At this time, Ms. Davis ran past me, 25 ran to the vault door of the Clerk of Courts,

shut the door and locked it. We then 1 attempted to place Ms. Davis in handcuffs. 2 This is when she resisted our arrest. After 3 placing the handcuffs on Ms. Davis, she 4 complained of a back injury. 5 6 We then removed the handcuffs and then escorted her up here to your courtroom. 7 That 8 is whenever I called for medical attention 9 for Ms. Davis. THE COURT: And you brought her up to 10 11 this Courtroom No. 2. 12 CORPORAL SCHELL: Yes. 13 THE COURT: And I was told there was an issue with her coming into the courtroom? 14 CORPORAL SCHELL: She refused to come 15 16 into the courtroom. 17 THE COURT: And you didn't make an 18 effort to --19 CORPORAL SCHELL: I'm sorry, Judge --20 THE COURT: You and your fellow deputies didn't make -- took no steps to 21 22 compel her to come in? 23 CORPORAL SCHELL: Our fear at that 24 time was she was complaining of a back 25 injury, and we did not want to make it any

1 worse if there was. 2 THE COURT: Was it you or one of your fellow deputies that called for the EMT's? 3 4 CORPORAL SCHELL: I did, myself. 5 THE COURT: You made that call? CORPORAL SCHELL: Yes. 6 7 THE COURT: So they arrived shortly 8 after? 9 CORPORAL SCHELL: Yes. 10 THE COURT: And they did attend to 11 her? 12 CORFORAL SCHELL: Yes. THE COURT: And that was here, in the 13 14 second floor hallway? CORPORAL SCHELL: No, she was attended 15 to on the first floor of the courthouse. 16 17 THE COURT: So at some point, she went 18 down the stairs on her own mobility? 19 CORPORAL SCHELL: Yes. 20 THE COURT: And then were you there when she left the building? 21 22 CORPORAL SCHELL: Yes. 23 THE COURT: Place that on the record, 24 please. Tell me what you saw after that. 25 CORPORAL SCHELL: At this time,

1 whenever she walked downstairs to the 2 basement of the courthouse, after the medics attended to her again, she got up on her feet 3 4 and walked out of the courthouse herself. THE COURT: And so she went out the 5 6 side entrance? 7 CORPORAL SCHELL: Yes. 8 THE COURT: Anything else that --9 CORPORAL SCHELL: No. 10 THE COURT: All right, thank you. 11 Deputy Rock? 12 DEPUTY PAUL ROCK having been first duly sworn, was examined by the 13 Court and testified as follows: 14 15 THE COURT: State your full name, sir. 16 DEPUTY ROCK: Deputy Paul Rock, 17 R-o-c-k. 18 THE COURT: And you've been a deputy at the Washington County Sheriff's Office as 19 20 long as I can remember. 21 DEPUTY ROCK: Yes, 16 years. 22 THE COURT: What did you observe 23 today? 24 DEPUTY ROCK: I was just up there for 25 support. I stood away from the incident at

first. After seeing what was going on, I 1 2 approached your courtroom by the steps. 3 And you know, she was complaining, needing medical attention, which the deputies 4 were, you know, attending to. But a lot of 5 interference, when we went downstairs, you ۵ know, people kept interfering with their cell 7 R phones. 9 But as our intention was to get her 10 medical attention right away. She stated her back hurt. She told us she forgot to take 11 12 her medication, which she kept wanting to leave, and we kept advising her that, you 13 know, we wanted to get the court issue taken 14 15 care of. 16 But she could walk, she was walking, 17 you know. She walked away from us numerous 18 times. She ended up getting down to the 19 basement area where the rear metal detector 20 entrance is, the hallway. At that point, we 21 had other deputies that came and showed up to 22 help us. 23 One of the deputies, you know, came 24 over to help. And you know there was a 25 female there, I think it was Ms. Hough. She

shoved her cell phone, almost hit him in the 1 2 head. She was told to stop interfering. 3 At that time, Ms. Davis, you know she 4 was still, like, pushing away, trying to get out the door, you know. We tried to slow her 5 6 down. We kept asking her, you know, the 7 medics to check her out. 8 You know, one minute she wanted the 9 medics to be there, the next minute she 10 didn't. In my experience, she just wanted to get out of the courthouse. You know, every 11 12 chance she got, she was walking normal, you 13 know. But you know, we did get her medical 14 attention, but she really, in my eyes, didn't give them a chance to check her out too much. 15 16 But that's basically it. 17 THE COURT: Okay. Thank you, sir. 18 Ms. Lindley, do you want to come 19 forward? 20 REGINA LINDLEY having been first duly sworn, was examined by the 21 22 Court and testified as follows: 23 MS. LINDLEY: Can I take the mask off? THE COURT: You may. State your name 24 25 for the record.

1 MS. LINDLEY: Regina Lindley, 2 L-i-n-d-l-e-y. 3 THE COURT: What is your position with 4 the Clerk of Courts? 5 MS. LINDLEY: I'm the Deputy Clerk of 6 Courts. 7 THE COURT: I'm sorry? 8 MS. LINDLEY: Deputy Clerk of Courts. 9 THE COURT: And I don't know if you 10 were there or not, but what I want to know is 11 are you able to open the vault and cooperate 12 with the transition --13 MS. LINDLEY: I can open the vault. 14 THE COURT: If I could finish. 15 MS. LINDLEY: I'm sorry. 16 THE COURT: Are you willing to open 17 the vault, and are you able to? MS. LINDLEY: Yes. 18 19 THE COURT: Are you willing to cooperate with the transition of these files? 20 21 MS. LINDLEY: Yes. 22 THE COURT: In accordance with my 23 order? MS. LINDLEY: Yes. 24 25 THE COURT: And you have management

authority over the other employees, you'll 1 see that everyone in the office cooperates? 2 3 MS. LINDLEY: Yes. THE COURT: And I expect that if your 4 5 boss comes back to the office, that we still have to have an orderly transition? 6 7 MS. LINDLEY: I understand that, but I'm sure you also understand, your Honor, 8 9 that she is my immediate supervisor. So if 10 she stops me from doing something, I can't 11 continue doing that. 12 THE COURT: Well, I've issued an order that -- do you understand if you get a phone 13 call from your boss saying shut the door, 14 15 that you are going to --MS. LINDLEY: No, I don't mean that. 16 17 I mean if she comes back in. 18 THE COURT: I understand that you 19 can't control her, but if you are going to follow her orders to obstruct justice and not 20 let us do that, you're going to be subject to 21 22 contempt, as well. 23 MS. LINDLEY: I'm not stating that I'm 24 doing that. I'm stating that if she comes back into the office and takes over the 25

management again, then I have to do what she 1 2 says. 3 THE COURT: Well, that's what I'm trying to make clear. I know you can't control her, but if you are going to follow 5 her order and lock the vault door, her order 6 is not going to make you immune from a 7 8 contempt proceeding. 9 MS. LINDLEY: I didn't say that. 10 I understand that. I didn't say that I would do that. 11 12 THE COURT: Well, that seems to be 13 what you're saying. 14 MS. LINDLEY: No. 15 THE COURT: So we're clear? 16 MS. LINDLEY: We're clear. 17 THE COURT: All right. So I'm going send you down now with Ms. Gallagher and at 18 least a couple deputies, to make sure this 19 20 gets done. 21 Do you have any questions? 22 MS. LINDLEY: I don't. 23 THE COURT: Mr. Grimm, do you have 24 anything else you that we need to put on the 25 record?

MR. GRIMM: No, I don't believe so, 1 your Honor. 2 THE COURT: All right. I think we've 3 covered it. 4 Thank you, Ms. Lindley. 5 MS. LINDLEY: You're welcome. 6 THE COURT: Thank you, Mr. Grimm. 7 Thank you, everybody. 8 (Discussion off the record.) 9 THE COURT: We're back on the record 10 in the matter of the transfer of the juvenile 11 12 files. And Mr. Grimm, the District Court 13 Administrator, you felt something needed to 14 be put on the record. 15 MR. GRIMM: Yes, your Honor. I just 16 thought to be clear for the record, to put on 17 information about prior to today, and the 18 history of moving the files. 19 On or about October 15, 2021, your 20 Honor entered an Administrative Order 21 promulgating Local Rules of Juvenile 22 Procedure, designating the Juvenile Probation 23 Office as the custodian of delinquency and 24 dependency files. 25

1 You also sent a letter to Ms. Davis, 2 indicating -- you know, explaining it and 3 then also indicating your hope or expectation 4 that she would cooperate with the transition 5 of the cases. 6 And I did want to put on the record 7 that on three separate occasions, we've 8 attempted to reach out to Ms. Davis, to 9 discuss the movement of the files. The first 10 two attempts, Amanda Gallagher, the Chief 11 Juvenile Probation Officer, wrote e-mails to 12 No response, I believe, was received. her. 13 On the third occasion, November 19th, 14 I wrote an e-mail to Ms. Davis, asking if she 15 would please meet with Amanda Gallagher and 16 discuss moving the files. She responded 17 back, and you know, basically rebuffed that 18 attempt. 19 So I just think it's important to 20 note, it wasn't as if we just showed up 21 today and nobody had any idea why you would be doing this or anything like that. And all 22 the rules are effective shortly after today. 23 THE COURT: We had a meeting with her 24 25 and her then Solicitor, Mr. Makel, in

October. That was prior to October 15th, but 1 2 it was subsequent to the first unilateral 3 waiver that she filed. And at that time, she said she was going to file an amended waiver, which she 5 did. At that time, Mr. Makel told her that 6 7 these were not appealable orders. 8 MR. GRIMM: Yes. 9 THE COURT: In our presence. 10 There also -- the other -- she was also on notice from the Salary Board creating 11 12 two new positions in juvenile for this 13 purpose, and then eliminating two positions from her office, which I understand at that 14 public meeting, the deputies had to be called 15 16 to that, as well, so I was told. 17 MR. GRIMM: Yes. And Ms. Davis was present in the meeting in human resources to 18 discuss the moving of that personnel. And 19 20 she knows that the abolishment of the 21 positions in her office, that's effective at 22 the beginning of next week. 23 THE COURT: And that's pursuant to 24 the -- the State Juvenile Rules allow the 25 Court to designate the Clerk of Courts or

1 another entity to manage those files? 2 MR. GRIMM: Yes, your Honor. The State Rules of Procedure, I believe it's 3 Juvenile Rule of Procedure 120 and 1120, 4 which correspond with the local rule numbers 5 that we use. They provide that the custodian 6 or the filing office can be someone other 7 than the Clerk of Courts to do that. 8 And your rules, in accordance with the 9 rule-making authority that this Court has, 10 11 those local rules were provided to the 12 statewide rules committee, which approved them, what was drafted locally, and then 13 14 published in the Pennsylvania Bulletin and become effective 30 days thereafter, which is 15 16 Monday, the 29th. 17 And we're not open, the courthouse 18 isn't open between now and the 29th. 19 THE COURT: Is there anything else, 20 Mr. Grimm? 21 MR. GRIMM: No, your Honor. 22 THE COURT: Thank you. 23 MR. GRIMM: Thank you. 24 (Thereupon, at 11:34 p.m., the 25 proceedings were concluded.)

CERTIFICATE I hereby certify that the proceedings and evidence are contained fully and accurately in the stenographic notes taken by me of the hearing of the above-cause, and that this is a correct transcript of the same. /s/ Christine B. Mumper\_ Christine B. Mumper, Court Reporter The foregoing record of the hearing of the above-cause is hereby directed to be filed. /s/ John F. DiSalle \_, P.J. JOHN F. DISALLE, PRESIDENT JUDGE 

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	2	COMMONWEALTH OF PENNSYLVANIA CRIMINAL DIVISION
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	5	IN RE: )
	6	BRENDA DAVIS, ) MD-898-2021 CLERK OF COURTS )
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	9	TRANSCRIPT OF HEARING BEFORE THE HONORABLE JOHN F. DISALLE,
	10	PRESIDENT JUDGE COURTROOM NO. 2
	11	THURSDAY, AUGUST 4, 2022
	12	PDF COPY
	13	
	14	APPEARANCES:
	15	James DePasquale, Esquire Charles C. Gallo, Esquire
	16	Robert C. Gallo, Esquire Representing Brenda Davis
	17	Clerk of Courts
	18	Transcribed by:
	19	Christine B. Mumper Official Court Reporter
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	24	Transprint filed to the second
	25	Transcript filed in the Clerk of Courts, this day of August, 2022.

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1 P-R-O-C-E-E-D-I-N-G-S 2 (Thereupon, the proceedings commenced 3 at 10:03 a.m.) 4 5 THE COURT: Before we begin, I want to 6 remind everybody of my decorum order that was 7 part of the scheduling order. There's a sign on the front of the courtroom that all cell 8 9 phones must be off, must be put away. If 10 they are seen in view, they will be confiscated, and a possible fine levied. 11 12 Furthermore, there will be no calling out from the audience, no outbursts, no other 13 misbehavior. This is a courtroom. 14 This is 15 different from the Commissioners' public 16 meeting and other public events. This is a 17 I expect everyone to behave in an courtroom. 18 appropriate manner. 19 This is the time for the rescheduled 20 contempt proceeding in the matter of In Re: Brenda Davis. Ms. Davis, you are here 21 22 with your attorneys. Counsel, would you please put your appearance on the record. 23 24 MR. DePASQUALE: James DePasquale for 25 Brenda Davis.

1 MR. C. GALLO: Charles C. Gallo for 2 Brenda Davis. Also, Robert C. Gallo for 3 Brenda Davis. 4 THE COURT: Thank you. Ms. Davis, you were charged and brought here for Contempt of 5 the Court's Order of November 23rd, where the 6 7 Court ordered the peaceful transfer of the Washington County Juvenile files from your 8 office, to the Office of Juvenile Probation. 9 You are charged with Contempt, pursuant to 10 Title 42, Section 4132. And you come under 11 12 three of those categories. 13 One, you're charged with official misconduct as an officer of the Court. 14 15 Number two, you are charged with 16 disobedience by an officer of the Court to the lawful process of the Court. 17 18 And 3, misbehavior of any person in the presence of the Court, thereby 19 20 obstructing the administration of justice. 21 I would like to begin with the time 22 line of the events leading up to the Order of November 23, 2021. These events, all began 23 24 with the -- were all precipitated by the 25 Notice of Waiver of Functions filed by

Brenda Davis on November 2, 2021, which 1 included the juvenile functions, and CPCMS 2 functions regarding juvenile files. 3 Thereafter, a meeting was held with 4 5 myself, the Court Administrator, Brenda Davis, and her then Solicitor, 6 regarding this Waiver of Functions, and 7 attempt to -- this Unilateral Waiver of 8 Functions, in an attempt to resolve how these 9 10 duties would be handled thereafter. 11 During that meeting, the Solicitor told you that this was an invalid Waiver of 12 Functions, because it was not done with the 13 14 consent of the Court. You also received notice -- which by the way, in your 15 September 2, 2021, Waiver of Functions, you 16 signed it By the Court, Brenda Davis, Clerk 17 of Courts, 9-2-2021. That will be Exhibit A. 18 19 (Thereupon, Court's Exhibit A was marked for identification and admitted into 20 21 evidence.) 22 THE COURT: Your Solicitor told you this was an invalid waiver. The AOPC told 23 you it was an invalid waiver. Yet the 24 25 meeting broke down, and you vowed to file an

Amended Waiver, which you did on 1 September 29, 2021. And again, that Amended 2 waiver did not change anything with regard to 3 4 the juvenile files. That is Exhibit B. 5 (Thereupon, Court's Exhibit B was marked for identification and admitted into 6 7 evidence.) THE COURT: After that break-down of 8 9 the meeting on October 6th, the Court 10 Administrator and the Court gave notice to the Statewide Juvenile Rules Committee of 11 proposed amendments to the local rules, in 12 order to facilitate the filing of juvenile 13 14 files. On October 7th, approval was received 15 from the Statewide Rules Committee, approving 16 the proposed amendments to the local rules in 17 Washington County, as regarding juvenile 18 files, and the transfer of juvenile files. 19 20 By Order dated October 15, 2021, which is Exhibit C, the Court ordered the transfer 21 of files -- the Court adopted amendments to 22 the Local Rules of Juvenile Procedure, L-120 23 and 1120, that from 30 days thereafter, the 24 juvenile files would be maintained in the 25

1 Juvenile Probation Office. (Thereupon, Court's Exhibit C was 2 marked for identification and admitted into 3 4 evidence.) 5 THE COURT: On October 15, 2021, I issued a second Administrative Order ordering 6 7 the transfer of those files, and that they would be kept in the Juvenile Probation 8 9 Office. 10 That is Exhibit D. 11 (Thereupon, Court's Exhibit D was marked for identification and admitted into 12 13 evidence.) THE COURT: I sent a letter to 14 Brenda Davis, as the Clerk of Courts, dated 15 October 15, 2021, Exhibit E, informing her of 16 the two orders, and the change of procedure 17 in the handling of the Juvenile Probation 18 19 files. 20 On October 15th, the District Court 21 Administrator, Patrick Grimm, sent an e-mail to Brenda Davis attaching the two 22 Administrative Orders, and the letter from 23 24 the Court. 25 That is Exhibit F.

1 (Thereupon, Court's Exhibits E and F were marked for identification and admitted 2 3 into evidence.) 4 THE COURT: On October 28th, the Chief of Juvenile Probation, Amanda Gallagher, sent 5 Brenda Davis an e-mail, reaching out to meet 6 7 and discuss the transfer of the juvenile files. That is Exhibit G. 8 9 (Thereupon, Court's Exhibit G was marked for identification and admitted into 10 11 evidence.) 12 THE COURT: On November 5, 2021, Chief of Juvenile Probation, Amanda Gallagher, sent 13 another e-mail to Brenda Davis, Clerk of 14 Courts, asking to meet to discuss the 15 16 transfer of files. 17 (Thereupon, Court's Exhibit H was marked for identification and admitted into 18 19 evidence.) THE COURT: Also, on November 5th, 20 21 Ms. Davis filed a Withdrawal of All Previous Waivers of Function. That is Exhibit I. 22 23 (Thereupon, Court's Exhibit I was marked for identification and admitted into 24 25 evidence.)

1 THE COURT: Also, on November 5th, Ms. Davis filed four pro se appeals to the 2 Commonwealth Court of Pennsylvania. 3 4 And on November 19th, District Court Administrator Patrick Grimm, sent Ms. Davis 5 another e-mail regarding the transition of 6 the juvenile files from Clerk of Courts to 7 the Juvenile Probation Office, to which 8 Ms. Davis responded, any attempts to remove 9 files will be met with opposition. And, 10 "Until I receive an order from the 11 Commonwealth Court nothing is being removed 12 from the Clerk of Courts office." That would 13 14 be Exhibit J. 15 (Thereupon, Court's Exhibit J was marked for identification and admitted into 16 17 evidence.) 18 THE COURT: The Court then issued its Order of November 23, 2021, Exhibit K, 19 20 ordering that the files would be transferred on the 24th, and that the Clerk of Courts and 21 22 her staff were directed to comply. And in the event they refused to comply and 23 24 cooperate, that the Sheriff would enforce the 25 Order.

(Thereupon, Court's Exhibit K was 1 marked for identification and admitted into 2 3 evidence.) 4 THE COURT: And Mr. DePasquale and 5 Mr. Gallo, here is a copy of all the exhibits 6 for you. 7 I'm also making part of this record the transcript of the testimony that was 8 taken in open court on November 24th. 9 10 On November 24th, obviously, Ms. Davis refused to comply with the Order, locked the 11 vault door. The files happened to be 12 13 contained in the vault in the Clerk of Court's office, and when presented with the 14 order, she slammed the door shut, and locked 15 the door, spun the dial so that it could not 16 17 be accessed. 18 She refused to come to my courtroom when brought up here by the Deputies. 19 I was 20 waiting in court for this purpose. I could hear her screaming outside my doorway. 21 She 22 never did come into the courtroom. 23 (Thereupon, Court's Exhibit L was marked for identification and admitted into 24 25 evidence.)

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THE COURT: I would also like to make 1 part of the record the video of what 2 3 transpired in the elevator and in the hallway. And we're going to play that on the 4 5 record right now. 6 (Thereupon, Court's Exhibit M was marked for identification and admitted into 7 8 evidence.) 9 (Video played) 10 MS. LEGLER: Which one did you want to 11 see first? 12 THE COURT: The elevator. 13 So this is the security camera from the courthouse elevator. This is, obviously, 14 Ms. Davis, looking at my order while in the 15 elevator. I will note for the record that 16 17 any third-party's identities have been blurred in this video to protect their 18 19 privacy. 20 (Video played) 21 THE COURT: I will note for the record that after refusing to come in the courtroom, 22 Ms. Davis now has her hat and coat on. She's 23 also accompanied by our Prothonotary and our 24 25 Deputy Prothonotary in the elevator, with the

1 Sheriff's deputies. 2 (Video played) 3 THE COURT: All right. The next 4 portion of this video is what transpired in the basement floor of the courthouse, in the 5 6 breezeway. 7 We don't have any video of the locking of the vault door, because Ms. Davis removed 8 9 the camera from her office on October 18, 10 2021. 11 (Video played) 12 THE COURT: Other than the Deputies, I don't want anyone going in and out of the 13 14 doorway. If you are in here now, you're going to have to stay here; and thereafter, 15 16 you're not permitted back in. 17 (Video played) 18 THE COURT: That ends the video, which we will make a part of this record. 19 20 The elements of contempt are that the 21 Defendant committed misconduct, clearly refused to comply with my Order; that she did 22 so in the presence of the Court. The mere 23 fact that she refused to come into my 24 25 courtroom, by itself, is an act of contempt

1 in the presence of the Court. 2 I could hear her screaming out, along 3 with her associates, out in the hallway, while I was waiting to address her for this 4 5 contempt. 6 Number three, with the intent to 7 obstruct the proceedings, which was the transfer of the files, which she did 8 9 obstruct. 10 And finally, that the conduct actually obstructed the administration of justice. 11 12 Does the Defendant understand the nature of the contempt charges against her? 13 14 MR. DePASQUALE: We understand the 15 nature of the charge, yes. 16 THE COURT: Does the Defendant wish to address any defense to her contempt? 17 18 MR. DePASQUALE: Your Honor, I 19 understand your position that --20 THE COURT: Can you move the 21 microphone closer to you, so I can hear? 22 MR. DePASQUALE: I understand your position that you were in the courtroom and 23 heard things outside; and also, that the 24 25 Clerk of Courts refused to enter the

courtroom.

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2 However, I don't believe that 3 constitutes Direct Contempt, Direct Criminal Contempt, any time I've ever heard of it or 4 read of it, is something that actually occurs 5 in the presence of the judge. 6 7 We're not arguing about the contempt, because there was an order, and the order was 8 not complied with. But the order was 9 10 complied with, within a few hours. So we're talking about a few hours that this thing was 11 12 out of kilter. 13 I understand the Court's position. But I do not believe that there is Direct 14 15 Criminal Contempt here. That is number one. 16 Number two, the Juvenile Rule, the local rule, which gave rise to the Order of 17 Court that the Clerk of Courts is being held 18 in contempt of, was an order that was, as I 19 20 understand it, a rule rather that was first 21 published in the Pennsylvania Bulletin on 22 October 30th. 23 It had to be 30 days from the date 24 that it was first published, before it became a rule -- I'm sorry, not October -- yes, 25

October 30th. And it would not have become a 1 2 Rule until November 29th. So we 3 understand --THE COURT: So your position is that 4 until November 29th, she had no obligation to 5 6 follow the Court's Order? 7 MR. DePASQUALE: Your Honor, there was an Order from the Court, and that order 8 should have been complied with. We're not 9 10 arguing about that. 11 But what I'm saying is that the Rule 12 that gave rise to the Order, even without the Rule, if the Court orders that somebody does 13 something, that order has to be complied 14 with. It can later be contested on appeal, 15 16 et cetera. 17 But incidentally, there was an appeal filed here. And I think that the Clerk of 18 Courts was under the impression that there 19 20 was supersedeas which she filed to the 21 Commonwealth Court. 22 But be that as it all may, if you distill it out, nothing occurred in the 23 courtroom, directly in the presence of 24 25 your Honor. That's number one.

1 And number two, what did happen was 2 purged within about three hours, as I 3 understand it. 4 THE COURT: And the contempt finally 5 ended on June 23rd, when the Supreme Court of Pennsylvania said now you can proceed. 6 7 Now, the Court dismissed the challenge to the Court's authority, so how it did not 8 9 end three hours later. 10 Let me back up, number one, the Court's Administrative Order of October 15, 11 12 2021, and as you acknowledged, Mr. DePasquale, the Court's Order should be 13 followed, regardless of when the effective 14 15 date of the rule change is. 16 But in that Order, I expressly state, "Accordingly, the transition of duties may 17 occur earlier than the effective date of 18 Rules L-120, and L-1120, as determined by the 19 Court. The Clerk of Courts shall not cease 20 to carry out any duties, or otherwise make 21 22 changes without permission of the Court." 23 That is number one. 24 Number two, yes, Ms. Davis filed four 25 pro se appeals. There's no supersedeas

1 there. There's nothing in the correspondence 2 that says, I'm relying on a supersedeas. If 3 she believed that, wouldn't a reasonable 4 person have entered my courtroom and said, 5 Judge, I thought it was stayed. Let's get 6 Mr. DePasquale on the phone, and he'll 7 explain it to you. MR. DePASQUALE: Well, she couldn't --8 THE COURT: Or would you have advised 9 10 her, Don't go in there under any 11 circumstances, because the supersedeas prevents you from having to comply with the 12 13 Court's Order? 14 MR. DePASQUALE: Well, your Honor, 15 you're asking me a hypothetical question, 16 because I never had any contact with Ms. Davis while all that was going on, and 17 had nothing -- I did not become involved in 18 19 this until December. 20 But if you are asking me, had I been 21 told there was a Court Order, what I would have instructed any client was to comply with 22 the Court Order, and we'll worry about the 23 24 validity of that at a later date. 25 But I wasn't involved in this as it

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RR050

was occurring. None of attorneys here were 1 2 involved with it. 3 All I'm saying is this: There's a Court Order. I agree that the Court Order 4 5 should be complied with. 6 THE COURT: Glad you agree. 7 MR. DePASQUALE: But -- and I wasn't following what you were referencing in June. 8 9 I mean, it's my understanding of this that 10 she was to turn over records, and within three hours of Mr. Grimm going to her office, 11 12 those records, in fact, were turned over by the -- they were in the vault, and they were 13 14 turned over by the Deputy. 15 THE COURT: By the Deputy. 16 MR. DePASQUALE: Yes. 17 THE COURT: So Ms. Davis did not purge her contempt. The Deputy was brought into my 18 19 courtroom, who came in without incident, and 20 agreed that she would open the vault door so 21 the transfer could take place. 22 So Ms. Davis did nothing to alleviate her contempt. In fact, rather, she absconded 23 from the building, from which flight, I can 24 infer that she had consciousness of her guilt 25

1 of Contempt of Court. 2 MR. DePASQUALE: Well, your Honor, she absconded from the building to go to the 3 4 hospital. I don't believe that that indicates consciousness of Contempt. I mean, 5 6 she went to the hospital, and the Deputy works directly for the Clerk of Courts. 7 She's the agent of the Clerk of Courts. If 8 the Deputy does something, it is on behalf of 9 10 the Clerk of Courts. 11 THE COURT: That's an argument of 12 fact. 13 MR. DePASQUALE: And once this was complied with, the compliance has remained in 14 15 effect since that moment. 16 THE COURT: I also want to address 17 your argument that it didn't appear -- that 18 the contempt was not committed in the 19 presence of the Court. 20 As we know, even though you cited it differently in your Petition for Writ of 21 22 Prohibition, Commonwealth versus Moody, the Supreme Court said that, "the requirement in 23 the presence of the Court is not a strict 24 25 requirement."

1 The Court quotes Commonwealth versus other cases, including Commonwealth versus 2 Ferraro, where the Court found that the 3 4 failure to appear in court is an act 5 committed in open court, where the Court is convened and declared open for the 6 transaction of its proper judicial business. 7 8 The Court was open. I was waiting for 9 Ms. Davis to come in, to address her. We 10 could have addressed the contempt and avoided all this, but instead, she refused to come 11 12 in, created this commotion over three floors of the courthouse, and absconded from the 13 14 building. 15 Secondly, the disturbance outside my courtroom is considered in my presence and 16 obstructs the Court's business. 17 And it did obstruct the business of 18 the Court, and up until today, it continues 19 20 After that day, we didn't say, fine, to. there was never any acknowledgment that the 21 order is done, the files are transferred. 22 23 No, we had to go through the Commonwealth Court, which the appeals were 24 25 dismissed. And then you filed a Writ of

Prohibition, which on June 23, 2021, the 1 2 Supreme Court dismissed. 3 And in that Writ of Prohibition, 4 despite what was said to the newspaper, it 5 was a direct challenge to every 6 Administrative Order the Court had ever 7 entered. 8 MR. DePASQUALE: But your Honor, certainly, the Clerk of Courts cannot be held 9 in Contempt for appealing to a higher court, 10 within the due process of law. I mean --11 12 THE COURT: These aren't appeals of right, they're discretionary appeals. 13 14 MR. DePASQUALE: I understand that, 15 but what was done --16 THE COURT: I'm not saying that -that's not what obstructed the system. 17 That's just -- that's not -- I don't see how 18 19 you can argue that in three hours, it was all done, when we're still doing it today. 20 21 We've spent countless -- the Court and others have spent countless hours on this, 22 23 countless days. 24 Is there anything else that you wish 25 to present on behalf of Ms. Davis, regarding

1 her contempt? 2 MR. DePASQUALE: Could you give us 3 30 seconds, your Honor. THE COURT: You need time to discuss 4 it? I issued this order on July 1st to 5 6 schedule this hearing. 7 MR. C. GALLO: I understand. MR. DePASQUALE: Please, if you'd let 8 9 us have 30 seconds. 10 THE COURT: Go ahead. 11 (Counsel confers with Ms. Davis.) 12 MR. DePASQUALE: Your Honor, we would like to have Brenda Davis address the Court. 13 14 Stand up. 15 You need to apologize to the Court. 16 THE COURT: Mr. DePasquale, I will also point out that the official, Ms. Davis 17 is the Clerk of Courts. She's an officer of 18 19 the Court. 20 Her misconduct to the Court does not 21 necessarily have to be in the presence of the Court under Section 4132 of the Judicial 22 23 Code. 24 Nevertheless, as stated in Commonwealth versus Moody, the Pennsylvania 25

1 Courts have departed from the "observed by" or "in front of" requirement for summary 2 3 hearings of Contempt of Court. 4 Nevertheless, as I said, clearly, 5 refusing to come into the courtroom is an act of contempt in the presence of the Court, as 6 is the display and behavior right outside the 7 8 doorway of the courtroom. 9 MR. DePASQUALE: Your Honor, Ms. Davis 10 would like to address the Court. THE COURT: Well, let's have her be 11 12 sworn in, then. 13 (Ms. Davis was duly sworn.) 14 THE COURT: You can sit down. 15 MR. DePASQUALE: Just apologize. 16 MS. DAVIS: Okay. 17 Your Honor, I would like to apologize 18 for any actions that may have construed that I wasn't complying with your Order. 19 I wasn't expecting to be assaulted on two separate 20 21 occasions --22 THE COURT: Mr. DePasquale is 23 cautioning you on that. 24 MS. DAVIS: I apologize for --25 THE COURT: You filed charges against

1 all of the -- you attempted to file charges 2 against all of the Deputy Sheriffs, who 3 clearly are not assaulting you. Δ The Attorney General's office has investigated it, and found that nothing 5 occurred that would warrant any further 6 7 investigation. 8 Anything else? 9 MS. DAVIS: Yes. I would like to 10 apologize to the Court, and to yourself. Ι have been following your Court Orders, every 11 single one of them, that you have issued. 12 13 And I will continue to follow your Court 14 Orders, and any future orders that may be 15 issued. 16 I have hired a legal team here, 17 because the office does not have an office 18 Solicitor. I'm unable to find a Solicitor that's willing to represent the office, based 19 20 on the contractual agreement that is 21 provided. So I didn't have a lawyer to 22 consult with that day. 23 And I wanted to at least have the 24 Deputy there, because I only had two 25 employees in the office, so we could take an

inventory of the records, to make sure that 1 what we were going to give them, where 2 everything was going to be accounted for. 3 So I really wanted to take some time 4 to read the Order, and to consult with my 5 6 personal lawyer. And at that point, everybody was supposed to get the records on 7 November 30th, as well as the personnel that 8 was being taken from the office. So I had 9 all intentions on finishing the inventory up, 10 and opening the door on the 30th, for them to 11 come in and take whatever they needed to 12 13 take. I was completely taken by shock and 14 surprise to see the deputies and the Court 15 coming into my office. So I apologize if you 16 note I was causing a disturbance. I just 17 wanted to thoroughly read the Order over, and 18 get a legal consult before I was put in 19 handcuffs. 20 THE COURT: Why didn't you come into 21 my courtroom on November 24th and tell me 22 23 that? MS. DAVIS: I did walk into your 24 courtroom, and Deputy Schell opened the door 25

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1 for me. And I have a video proving that I 2 walked into the courtroom, and there was 3 nobody in the courtroom. 4 And then the Deputy Sheriffs told us 5 to have a seat on the bench outside the door, which we did, and that is when Judge McDonald 6 7 came out and wanted to know why all the 8 Sheriff's Deputies were here. 9 THE COURT: Well, you weren't in the 10 courtroom when the Court was in the 11 courtroom. 12 MS. DAVIS: I walked -- they brought 13 me to the courtroom. 14 THE COURT: So if you were here before 15 I got in the courtroom, that doesn't purge 16 your contempt, number one. 17 Number two, did you not author an 18 e-mail to Mr. Grimm saying, Any attempt to 19 remove files will be met be resistance? 20 Answer the question. And that, I will 21 not allow any files to be removed without an 22 Order of the Commonwealth Court? 23 MS. DAVIS: May I respond? 24 THE COURT: Yes. 25 MS. DAVIS: I told him that we were on

1 appeal, and I was under the assumption that 2 it was an automatic stay when you file an 3 appeal. And that nothing would have occurred 4 until the higher court determined the outcome 5 of those cases. 6 When I read the law, the law was clear 7 that the juveniles, I'm the official 8 record keeper, and I -- I needed to make sure 9 that what was happening was not going to 10 affect the rest of the Clerks of Courts 11 across the state, too. 12 Because no other Clerk of Courts -they all are in charge of the records of the 13 juvenile department. So I wanted to get a 14 higher legal opinion to make sure that it 15 16 wasn't going to affect anything. 17 THE COURT: Mr. Grimm, who is the District Court Administrator and an attorney 18 19 informed you that there was no stay. But you 20 read it, and assumed there was a stay. But you just said you were ready to make the 21 22 transfer --23 MS. DAVIS: I was still going to go 24 forward ---25 THE COURT: I'm talking.

1 MS. DAVIS: I'm sorry. 2 MR. DePASQUALE: All right, Brenda --3 THE COURT: You just said you were 4 ready to make the transfer on November 30th. 5 So you just contradicted yourself. 6 MS. DAVIS: Huh-uh. 7 THE COURT: And why did you wait nine-8 and-a-half months -- over nine months to 9 apologize? 10 MS. DAVIS: May I answer now? 11 THE COURT: Yes. 12 MS. DAVIS: We had to go through the 13 processes of the Commonwealth Court, and then the Supreme Court. And I've never had the 14 15 opportunity to have a meeting with you, and 16 to discuss this, or even Mr. Grimm, even when 17 I begged for meetings last year. I was 18 refused to sit down and discuss the meetings. 19 We only had one, and that was the day that 20 you mentioned, where I had a brief couple of 21 months, where I did have a Solicitor for the 22 office. 23 And he's the one who advised me that I 24 needed to file the Waiver, which the Waiver 25 only waived the money of the Clerk of Courts

RR061

1 office. It didn't waive any statutory 2 duties. 3 THE COURT: Well, the record speaks for itself, the exhibits that I've entered. 4 5 Your waiver was filed before we met with Mr. Grimm and I, and Mr. Makel met with 6 you in my office. You had already filed the 7 Waiver. Mr. Makel told you it was invalid. 8 The Deputy Court Administrator of 9 10 Pennsylvania, the AOPC, informed you that it 11 was an invalid waiver. 12 And you told me that the AOPC was in cahoots with me, and that you were going to 13 14 file an Amended Waiver. And we tried to get 15 a Consent Order drafted to delineate who would be responsible for what. And that 16 17 broke down, and you vowed you would file an 18 Amended Waiver. You did. Those documents 19 speak for themselves. 20 Ms. Gallagher reached out to you at least twice, to try to arrange a meeting to 21 22 discuss transfer of the files. There was a 23 discussion of the inventory that would be 24 done. 25 So you're being disingenuous when you

1 say you were just worried about protecting the files. And of course, slamming the vault 2 3 door shut was your way of protecting your 4 files, and protecting your office. That was 5 ridiculous. 6 Is there anything else? 7 MS. DAVIS: Yes, your Honor, also 8 contained inside that vault is money, is 9 sealed search warrants, there's not just 10 juvenile files. 11 THE COURT: All right. So you were 12 protecting the cash in the vault? 13 MS. DAVIS: I was protecting all the secured documents that were in the vault. I 14 didn't know who was there. Nobody had 15 16 nametags on, no Sheriff Deputies had their 17 nametags on. They all had masks on. Ι 18 didn't even know who they were. I had to ask 19 them their names. They came in without 20 nametags. 21 THE COURT: Well, if you had not 22 removed the camera from your office, on October 18th, claiming to have delivered it 23 to the FBI, later admitting you still had 24 possession of it until it was confiscated by 25

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          the Washington City Police, we would have a
 2
          review of that, as well.
 3
                But that --
 4
                MS. DAVIS: May I respond?
 5
                THE COURT: No. We're getting off
 6
          track here.
 7
                MR. DePASQUALE: Just calm yourself.
 8
                THE COURT: When your deputy came up,
 9
          and I ordered her to open the vault, there
10
          was no discussion about how -- what if
11
          someone breaks in and takes the other secured
12
          documents or cash.
13
                Is there anything else to present,
14
          Mr. DePasquale?
15
                MR. DePASQUALE: No, your Honor, I
16
          have -- I mean, the facts are the facts.
17
                 I will say, and I've said before, and
18
          I don't want to belabor this, that I don't
19
          see how the Clerk of Courts can be held
20
          responsible for what happened afterwards, in
21
          terms of lawyers filing things with Appellate
22
          Courts.
23
                But clearly, there was an Order, the
24
          Order was not complied with.
25
                 THE COURT: Well, she is responsible
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1 for what happens afterwards, because she set 2 that in motion. 3 Those are not appeals of right, you 4 can't say you're exercising your Constitutional right. She hired the three of 5 you to challenge the Court's authority to 6 7 issue any order, let alone this order. 8 You attached every order that I had done since 2021, virtually every order, to 9 10 the reproduced record to the Supreme Court. 11 MR. DePASQUALE: All of that is true, your Honor, but again, I am flabbergasted 12 that that can be held as evidence of 13 14 contempt. 15 THE COURT: I'm not holding -- that's 16 not part of the contempt. That didn't -when you say nothing happened, it was all 17 over in three hours. No, it isn't. Here we 18 19 are over nine months later. It could have 20 ended in those hours, but it didn't. 21 Anything else? Mr. Gallo, did you 22 have something else to say? 23 MR. C. GALLO: Your Honor, only that 24 Ms. Davis, at least once she started counseling with our current legal team, I 25

1 know I feel responsible for looking in the 2 Pennsylvania Constitution, and telling Brenda, Brenda, according to the Pennsylvania 3 4 Constitution, you are the custodian of the records. So based upon that part of the 5 Pennsylvania Constitution, I did think that 6 7 we had a legitimate, good-faith legal 8 argument. 9 Once the Supreme Court ruled, I told Brenda, I said, "This issue is dead." 10 Ι 11 said, "You are obviously an elected subordinate of President Judge DiSalle. He's 12 the CEO of all judicial business, and we are 13 14 not in a position to question any of his 15 Orders. All we can do is fulfill all of his 16 Orders until our term of office is over. 17 That is what you swore an oath to. You owe it to the constituents of Washington County, 18 19 as well as the Court system." 20 And Brenda says, "I understand." 21 Once the Supreme Court shot us down, I said, "There's nowhere else to go." I said, 22 23 "This was decided. Based on the Pennsylvania Constitution, I thought they would protect 24 25 you, at least in housing the records."

**RR066** 

1 I thought this was shrinking her job, 2 because, and thought that she at least had 3 some Constitutional legal protection as the 4 gatekeeper of the records. 5 That is why we took it to the Supreme 6 Court. Once they denied our Petition, that 7 It is clear to Brenda that we have is moot. 8 no discretion in any Court business. All we 9 do is do what the President Judge tells us 10 to, here forward. 11 And that is where we are, your Honor. And that's what she believes as she sits here 12 13 today. Anything you tell her to do, she's 14 going to do. 15 THE COURT: How did Allegheny County 16 Constitutionally eliminate its Clerk of 17 Courts, if you thought you had a clear, 18 Constitutional shot at it. 19 MR. C. GALLO: Through the Home Rule 20 Charter, your Honor. 21 THE COURT: How can that be 22 Constitutional, if this Clerk of Courts has 23 power over and above the President Judge and 24 the Court? 25 MR. C. GALLO: I think once Allegheny

1 County -- once we -- we put it to the 2 electorate and the majority of the electorate 3 came back and said, Yes, we'd rather have a CEO of the County and his functionaries, 4 5 rather that the three-commissioner system. Our electorate made that choice ten years ago 6 7 or so. And we've been running under that 8 system --9 THE COURT: So that's the only -that's the only time the Court can direct an 10 11 officer of the Court to do something? If the 12 electorate does it, allows it? 13 MR. C. GALLO: No. Where we stand 14 right now, in this County, the President Judge is the boss. You determine all 15 16 judicial business in this courthouse. That is unquestioned now. It was questioned 17 18 before and unquestioned now. 19 THE COURT: I didn't see that anywhere 20 in your Petition. 21 MR. C. GALLO: I'm sorry? 22 THE COURT: I didn't see that anywhere 23 in your Petition for Writ of Prohibition, 24 that statement. 25 MR. C. GALLO: Well, once we lost,

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RR068

1 that was my statement. That's in the 2 Washington Observer, your Honor. I believe that statement was after the decision. 3 4 THE COURT: Well, you said you never 5 challenged the authority of the Court. Yes -nevertheless, we're moving on. 6 7 Is there anything you wish to put on 8 the record? 9 MR. C. GALLO: No, thank you, your 10 Honor. 11 THE COURT: The Court does find that 12 these -- that Ms. Davis, being an officer of 13 the Court, committed misconduct, that being refusing to follow the Court's order, locking 14 15 the vault, refusing to submit to the deputies, refusing to come into the courtroom 16 17 to be addressed by the Court, that -- because 18 of her refusal to come into the courtroom, 19 her misbehavior outside the courtroom, is in 20 the presence of the Court, that she certainly 21 did so with the intent to obstruct the 22 proceedings, and that the conduct actually 23 did obstruct the administration of justice. 24 I spent a half a day dealing with this 25 that should have been done in 10 minutes.

1	Moving on to the sentencing
2	considerations, Ms. Davis, you could have
3	walked into my courtroom that day with the
4	dignity of an elected official and explained
5	what you're supposedly what you're trying
6	to explain to me now, even though your logic
7	is flawed.
8	Instead, you engaged in the most
9	undignified display of behavior I've ever
10	witnessed in all my years in my career.
11	I've been involved in this courthouse
12	since 1988. I was an assistant DA for eight
13	years. I've been a judge for 17 years. I
14	preside over Mental Health Court and other
15	criminal court. I've never seen anything so
16	offensive.
17	In seven years as a Mental Health
18	Court judge, I've had a couple participants
19	who had a meltdown in my courtroom that came
20	close to your performance. But we were able
21	to get them under control within a few
22	minutes. And those are folks with serious
23	mental health issues.
24	You carried on for nearly half-a-day,
25	over three floors of the courthouse. How

1 many deputies were involved? How many EMT's were involved? Again, the fact that -- as 2 I've already stated, the fact that you 3 refused to come in the courtroom, that you 4 5 resisted the deputies and fled the building can be considered evidence of your 6 consciousness of guilt that you were in 7 8 contempt. 9 Again, you weren't fleeing to get to the hospital. You may have gone to the 10 hospital, but you had -- we had -- there were 11 12 EMT's there to treat you. 13 You are an elected official, yes. And you should be held to a higher standard. And 14 again, your refusal to follow the Court's 15 Order is contemptuous, whether it's in Court 16 17 or out of Court. 18 And it did not end -- it did not end until after -- your contempt did not end 19 until after the files were secured and 20 21 transferred. 22 As I stated, it was your deputy who 23 had to secure the transfer -- open the vault and make sure to facilitate the peaceful 24 25 transfer of the files.

1 Again, we've been dealing with the 2 appeals to the Appellate Court. These are 3 not appeals of right, they were deemed frivolous appeals. Those appeals wasted much 4 of my time, Court Administration's time, AOPC 5 6 legal staff, and you continue to challenge 7 the Court's authority. 8 Barely a day goes by that I don't have 9 to spend a minimum of one hour, and sometimes 10 all day, on an issue created by Brenda Davis 11 as the Clerk of Courts. You continue to be 12 obstinate and interfere with the 13 administration of justice. 14 The most recent thing is challenging 15 sealed warrants and other sealed orders. I 16 had to issue an Order directing you to accept 17 a sealed order, and other orders, and directing you to refrain from attempting to 18 19 review the sealed file, and refrain from disseminating any information in the sealed 20 21 files. 22 One day, you decided you were not 23 going to accept Pretrial Service orders 24 because of an OTN number, even though you had 25 accepted one earlier that day.

1 The DA's office has to send county 2 detectives with their staff, to make sure the 3 filings go off without a hitch. Matters that 4 used to be routine have now become an ordeal 5 in our courthouse. And furthermore, as I said, you're 6 7 accusing the Deputies of assaulting you. 8 Everyone has seen the video. You accused the 9 JPO staff, and her entire department of 10 malfeasance. You rant about this at public 11 Commissioners meetings. And the Attorney 12 General's office has investigated all of your 13 allegations and is not moving forward with 14 anything. 15 The Court further finds that a civil 16 remedy is not appropriate in this case, and I 17 am moving on to pronounce sentence. Is there anything that you would like to say before I 18 19 sentence you? 20 MS. DAVIS: Your Honor, I'm sorry, and 21 I am going to follow every Administrative Order for the remainder of my term in office. 22 23 MR. DePASQUALE: And you will not 24 cause any issues, just ---25 MS. DAVIS: And I am not going to

1 cause any issue. 2 THE COURT: Well, I appreciate that. 3 And I'm willing to accept your apology. But 4 I'm afraid it's too little too late. 5 And if I were to sentence you 6 commensurate with the number of days I've 7 spent dealing with your contempt, which any 8 time that I spend almost in variably involves 9 another party, including Court Administration staff, my fellow judges, the Commissioners 10 11 the DA's office, the Sheriff's office, Adult 12 Probation, Juvenile Probation, other 13 attorneys, AOPC legal staff. If I added all 14 those days up, and made your sentence 15 commensurate to that, it would be a lot more. 16 The time and the resources that have 17 been spent dealing with this contempt are 18 above and beyond, all involving a simple 19 transfer of files from one office to the 20 other, and all to protect your ego. 21 And your staff had already -- you were 22 aware in October that the staff was already 23 being removed. So you're -- there's no 24 question that you knew this was coming. Not 25 to mention all the time and effort that has

1 been spent in the Appellate Courts. 2 So based on the Court's finding of 3 your Direct Criminal Contempt, the Court sentences you to pay the costs of 5 prosecution, pay a fine of \$5,000. And in assessing your ability to pay this non --6 mandatory fine, I take into account your 7 8 salary, which is a matter of public record. 9 You make over \$90,000 a year. I don't 10 believe you have any children to support. 11 You reputedly live in the home of your 12 boyfriend, Mr. D'Alessandro, our former Chief 13 Deputy Sheriff, whose former colleagues you 14 have nothing but contempt for, show contempt 15 for. 16 And you obviously have the funds to 17 hire three private attorneys to prosecute 18 these discretionary appeals, so I'm satisfied 19 that you have the ability to pay that fine. 20 So you'll pay the costs of 21 prosecution, a fine of \$5,000, and be 22 sentenced to the Washington County 23 Correctional Facility for no less than 24 15 days, to no more than six months. 25 On completion of your minimum, you

1 will be paroled to the supervision of the 2 Washington County Adult Probation Office. 3 You must comply with all terms and conditions of the Washington County Adult 4 Probation Office, including your reporting 5 requirements and the approval of your 6 residence. When you're on probation, you 7 8 must submit to warrantless searches of your residence, vehicle, property, cell phone, and 9 10 other electronic devices. 11 You may not have access to firearms while you're on probation. 12 13 You must report to the Probation Office within 24 hours, or the next business 14 15 day after being released from the 16 Correctional Facility. 17 You may only reside at the approved 18 residence, approved by the Probation Office, 19 and shall not reside or move without their 20 approval. 21 You may not travel outside the 22 Commonwealth without a travel permit from the 23 Probation Office. 24 You shall notify the Probation Office 25 of any change in your employment, and be

1 subject to verification. 2 You must abide by any curfew proposed 3 by the office, or by the Court. You may not Δ purchase, possess or use alcoholic beverages, 5 or frequent bars or taverns. 6 You may not possess any controlled 7 substances, except as prescribed by a 8 licensed physician for legitimate medical 9 need. 10 You must submit to random, periodic 11 drug testing. 12 You shall not possess any firearms or 13 dangerous weapons, or have them in your 14 residence, on your person, or in your 15 vehicle. 16 You shall refrain from any assaultive, 17 threatening, or harassing behavior. 18 You shall not violate any municipal, 19 state or federal laws or ordinances, and 20 notify your Probation Officer of any contact 21 with law enforcement. 22 You must pay all fines, costs and 23 restitution, as ordered, and set up a 24 schedule with Probation Collections 25 Department.

1 Lastly, I will consider any further 2 refusal to follow a court order a violation 3 of your probation. 4 Ma'am, you have the right to appeal 5 this sentence you just received. You have 10 days to ask this Court for reconsideration, 6 and 30 days to appeal to the Superior Court. 7 8 Thank you. 9 MR. C. GALLO: Your Honor, can we 10 request 30 days to appear for the sentence, 11 to report for the sentence throughout the 12 time of our appeal? 13 THE COURT: I scheduled this on 14 July 1st. This has been going on for over 15 nine months. I'm denying the request for a 16 report date. 17 MR. C. GALLO: Understood, your Honor. 18 Thank you. 19 THE COURT: Thank you. 20 Everyone remain seated until the 21 Defendant is removed from the courtroom, 22 please. And I don't want any outbursts or 23 any other misbehavior. 24 (Thereupon, at 11:20 a.m., the 25 proceedings were concluded.)

1	CERTIFICATE
2	
3	I hereby certify that the proceedings and
4	evidence are contained fully and accurately in the
5	stenographic notes taken by me of the hearing of
6	the above-cause, and that this is a correct
7	transcript of the same.
8	
9	
10	<u>/s/ Christine B. Mumper</u> Christine B. Mumper, Court Reporter
11	Christine B. Mumper, Court Reporter
12	
13	The foregoing record of the hearing of
14	the above-cause is hereby directed to be filed.
15	the above cause is hereby directed to be filled.
16	<u>/s/ John F. DiSalle</u> , P.J.
17	JOHN F. DISALLE, PRESIDENT JUDGE
18	
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## IN THE COURT OF COMMON PLEAS OF WASHINGTON COUNTY, PENNSYLVANIA CRIMINAL DIVISION

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IN RE:

BRENDA DAVIS, CLERK OF COURTS No. 887 WDA 2022 CP-63-MD-000898-2021

#### Pa. R. A. P. 1925(a) OPINION

The matter comes before the Superior Court on the appeal of the Brenda Davis, the duly elected Clerk of Courts for the Twenty-seventh Judicial District, Washington County (hereinafter referred to as the "Contemnor"), from the judgment of sentence entered following a finding of direct criminal contempt in violation of 42 Pa. Con. Stat. Ann. § 4132, entered by the lower court on August 4, 2022.

## Factual Background

In order to place the contempt proceedings and the Contemnor's behavior and claims in context, a review of the events leading up to the finding of contempt is required. On September 2, 2021, the Contemnor filed in her office and with the Prothonotary of Washington County, a document which she entitled "Waiver of Functions" pursuant to 42 Pa. Con. Stat. Ann. § 2756. In her "Waiver," the Contemnor declared her intention to waive and relinquish various responsibilities of the office of the Clerk of Courts, including, *inter alia*, the filing of DL-26 forms with the Pennsylvania Department of Transportation and the keeping of records and docketing functions for juvenile dependency and delinquency cases. By order of court dated September 8, 2021, the Waiver was subsequently stayed, and, thereafter, returned by the Administrative Office of Pennsylvania Courts ("AOPC") to the Contemnor.<sup>1</sup> An examination of the Waiver showed that

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<sup>&</sup>lt;sup>1</sup> In the Waiver, the Contemnor attempted to relinquish more duties than permitted by statute. The AOPC returned the Waiver because the Contemnor asserted that the Waiver had been executed in conjunction with the court of common pleas, which was false.

it was practically unintelligible and signed by the Contemnor as if she was a judge, but significantly for the instant matter, included a stated intention to cede responsibilities for juvenile court filings. Following the entry of the stay, the court arranged a meeting with the Contemnor and her solicitor, as well as the District Court Administrator, on September 17, 2021, in an attempt to resolve issues concerning the responsibility and effective administration of the office of the Clerk of Courts. That meeting was ultimately unfruitful. On September 29, 2021, as she had promised during the meeting, the Contemnor filed an "Amended Waiver of Functions," which incorporated the original "Waiver" stating her intention to cede responsibility for administering juvenile court records.

On October 7, 2021, the statewide Juvenile Court Procedural Rules committee approved two proposed local rules of juvenile procedure for the 27<sup>th</sup> District, Rules 120 and 1120. Both rules provided that for the purposes of juvenile delinquency and dependency case records and filings, the "clerk of courts" would be the Juvenile Probation Office of Washington County. Thereafter, the court filed its Administrative Order adopting the rules on October 15, 2021, along with a second Administrative Order which provided as follows:

[T]he transition of duties from the Clerk of Courts to the Juvenile Probation Office shall occur at the direction of the Court and its designees.

[...]

To effectuate an orderly transition of duties and to safeguard the records of juvenile dependency and delinquency matters, the Court finds it necessary to exercise authority pursuant to Pennsylvania Rule of Judicial Administration 103...Accordingly, the transition of duties may occur earlier than the effective date of Rules L-120 and L-1120, as determined by the Court. The Clerk of Courts shall not cease to carry out any duties, or otherwise make changes to the daily functions involving juvenile matters, without permission of the Court or its designees.

The Administrative Orders and the two local rules of juvenile procedure were served on the Contemnor on October 15, 2021. The local rules were published in the *Pennsylvania Bulletin*, Vol. 51, No. 44, on October 30, 2021, to be effective on Monday, November 29, 2021.

The Chief Juvenile Probation Officer emailed the Contemnor on two separate occasions, October 28, 2021 and November 5, 2021, to attempt to discuss the transfer of juvenile filings and duties. Exhibits G and H. The Contemnor never responded to the emails. On November 5, 2021, the same day as the second email, the Contemnor filed four *pro se* notices of appeal in the Commonwealth Court challenging various administrative actions undertaken by the court, including the Administrative Orders in question and the transfer of the juvenile records. Transcript of Hearing, Aug. 4, 2022, p. 9. On November 19, 2021, the District Court Administrator emailed the Contemnor and respectfully requested that she respond to the Chief Juvenile Probation Officer to discuss the transfer of the juvenile records, acknowledging the appeals but noting that there was no stay requested or entered in conjunction with the appeals. Exhibit J.<sup>2</sup> Tellingly, the Contemnor responded to the requests for cooperation with a foreshadowing of her contumacious behavior in this matter:

I am an independent elected official and as a county officer I have 1620 rights<sup>3</sup> which I am choosing to utilize. I have tried to work with you, the courts, and the commissioners[;] unfortunately[,] all attempts have been met with resistance.

Yes, I have filed several Commonwealth appeals.

If you continue to persist, threaten me with contempt charges, or use any other intimidation factors to remove my staff, files, or statutory duties without a

<sup>&</sup>lt;sup>2</sup> An administrative order entered by a President Judge pursuant to 42 Pa. Con. Stat. Ann. § 323 is plainly not an appealable order pursuant to case law, and, by extension, not entitled to an automatic supersedeas. See, e.g., In re Domitrovich, 257 A.3d 702 (Pa. 2021). Such an order does not involve claims or parties, nor is it a final or collateral order arising from a case - there is no case or determination to challenge by appeal. The appropriate course to challenge an administrative order is a writ of prohibition with the Supreme Court of Pennsylvania. In re Domitrovich, 257 A.3d at 712-714.

<sup>&</sup>lt;sup>3</sup> The "1620 rights" referenced by the Contemnor is the provision of the County Code that prohibits a board of county commissioners from infringing on the authority of a judge or county officer to hire, fire, and supervise employees. 16 P.S. § 1620. Contemnor has repeatedly demonstrated her mistaken belief that section 1620 of the County Code provides her with autonomy from the County government and the Court of Common Pleas. It was nonsensical for the Contemnor to invoke that statutory provision in response to validly promulgated local rules of juvenile procedure. However, in this and other contexts, the Contemnor has repeatedly asserted that this statute essentially permits her to act at her pleasure. It does not.

Commonwealth Court Order[,] <u>it will be met with opposition</u>. The courts and commissioners need to be patient and let the Commonwealth Court work this out.

# Until I receive a Court Order from the Commonwealth[,] <u>nothing is being removed</u> <u>from the Clerk of Courts['] office</u>.

Exhibit J (emphasis added). Of course, at no time did the Chief of Juvenile Probation or the District Court Administrator extend any threats or intimidation in any communications with the Contemnor, rather, they offered polite entreaties to engage her with reality. In accordance with the Administrative Order, as of November 29, 2021, the Monday following the Thanksgiving holiday, the responsibilities for maintaining the dockets and records of juvenile delinquency and dependency cases would no longer rest with the office of the Contemnor; instead, those duties were set to become those of the Juvenile Probation Office. This transfer of duties and responsibility for the juvenile files was not arbitrary, and would require the marshalling of services and assets: it involved rulemaking, weeks of work with the AOPC to re-task the CPCMS, staff allocation, and the physical transfer of thousands of records from one location within the courthouse to another.

At this point, the Contemnor had made it abundantly clear that she would not be cooperating in carrying out the official business of the court that she was elected to serve. After consultation with the Juvenile Probation Chief and the District Court Administrator, the court determined that the last possible day to effectuate a transfer of the physical files was November 24, 2021, the last business day before the Thanksgiving holiday. The local rules were published and effective on Monday, November 29, 2021 (both in the *Pennsylvania Bulletin* and to the Bar Association Journal) and CPCMS was scheduled to transfer to the Juvenile Probation office on that day as well. The court met with the Sheriff on November 23, 2021, to determine the best course of handling the transfer of the physical files. Based on the Contemnor's stated intentions

and behavior, there was concern that she would refuse to cooperate, and refuse to follow the court order. Accordingly, the Sheriff requested that if an order of court was issued compelling her assistance, that said order could be enforced and provide the Sheriff's department with the authority to detain and attach her if she refused to comply.

On November 23, 2021, the Court issued the following Order:

[T]he Chief Juvenile Probation Officer, and any necessary court staff, shall enter the office of the Clerk of Courts to take custody of all juvenile court files for juvenile delinquency and dependency cases from the Clerk of Courts. In accordance with Local Rules L-120 and L-1120, the Juvenile Probation Office is the designated custodian for the juvenile court files.

The Chief Juvenile Probation Officer shall ensure that a notation is made of each juvenile court file transferred from the care of the Clerk of Courts to the Juvenile Probation Office. The Clerk of Courts, and her staff, shall cooperate in the orderly transfer of files.

The Sheriff, or his designee, is DIRECTED to enforce this Order. If the Clerk of Courts, or a member of her staff, refuses or otherwise fails to comply with this Order, the Sheriff shall immediately attach and detain the individual pending proceedings for contempt pursuant to 42 Pa. C.S.A. § 4101, *et seq.*, before the undersigned.

Exhibit K.

On November 24, 2021, the Chief Juvenile Probation Officer and District Court Administrator, accompanied by two deputy sheriffs, served the Contemnor with the 11/23/21 Order. Transcript of Hrg., Nov. 24, 2021, pp. 3-4. They attempted to speak with the Contemnor concerning the Order; however, the Contemnor refused to discuss the Order or *even read it*, and instead began filming everyone on her cellphone. *Id.* at p. 14.<sup>4</sup> The Contemnor flatly refused to comply with the Order, declaring that she "was an elected official" with "1620 rights" and did not "have to follow the order." *Id.* at p. 4. The Contemnor argued with the deputies and continued

<sup>&</sup>lt;sup>4</sup> Based on the record, including the courthouse surveillance camera footage, it appears that the Contemnor first took the time to even read the Order while in the elevator leading to the undersigned's courtroom. *Id.* at p. 11; Exhibit M of August 4, 2022 hearing.

her noncompliance with the Order. *Id.* at pp. 4-5. At that point, the Contemnor ran around the transaction counter of her office and slammed shut the door to the vault that held the juvenile court records, locking it in the process.<sup>5</sup> *Id.* at p. 5. This was a conscious, deliberate attempt to prevent the transfer of the juvenile court records. The deputies then "attempted to place [the Contemnor] in handcuffs," but she resisted, struggling and fighting with the deputies. *Id.* at p. 15. Eventually the Contemnor was not handcuffed because she "complained of a back injury." *Id.* 

Following the fracas in her office, the Contemnor was escorted to the undersigned's courtroom by deputy sheriffs. *Id.* During this time, one of the deputies called for medical assistance for the Contemnor, as a result of her complaints, and emergency medical technicians arrived thereafter. *Id.* at pp. 15-16. By this time, the undersigned was on the bench, waiting for the Contemnor to appear before the court. Transcript of Hrg. Aug. 4, 2022, at p. 10. However, the Contemnor never appeared before the court as she refused to enter the courtroom. At her sentencing, the court made the following finding: the court "could hear [the Contemnor] screaming out, along with her associates, out in the hallway [outside the courtroom], while [President Judge DiSalle] was waiting to address [the Contemnor] for this contempt." *Id.* at p. 40. The undersigned left the bench and went into an anteroom to confer with the Chief Deputy Sheriff and others. At that point, the court's law clerk reported that he had gone out – at the court's direction – to tell the Contemnor to be seated in the courtroom. The Contemnor refused. She received medical attention for complaints of back pain, and, at some point, "heart palpitations." Transcript of Hrg., Nov. 24, 2021, at pp. 10-13. The paramedic reported to the undersigned *Id.* at 12.

<sup>&</sup>lt;sup>5</sup> Many offices located within the 120-year-old courthouse still contain vaults with combination locks. Although it has been decades since these vaults were used to contain money or other values, the vaults are used to store files, as had been the case here, or office supplies and other sundries. Only the Contemnor and her deputy had access to the combination to the vault.

On November 24, 2021, following Contemnor's refusal to enter the courtroom and her unauthorized departure from the courthouse, the court took testimony on the record in open court to document the events of the day, including the testimony of multiple deputy sheriffs who were involved. The court found all of the testimony of the deputy sheriffs to be credible, but particularly noted the observations of Deputy Paul Rock, a long-serving member of the Sheriff's Department with significant court-related experience, who reported his involvement with the Contemnor in the hallways and in the ground-level basement of the courthouse:

But she could walk, she was walking, you know. She walked away from us numerous times. She ended up getting down to the basement [of the courthouse] [near the exit].

[...]

At that time, [the Contemnor], you know she was still, like, pushing away, trying to get out the door, you know...You know, one minute she wanted the medics to be there, the next minute she didn't. In my experience, she just wanted to get out of the courthouse. You know, every chance she got, she was walking normal, you know. But you know, we did get her medical attention, but she really, in my eyes, didn't give them a chance to check her out too much.

*Id.* at pp. 18-19. Deputy Sheriff Edward Schell also noted that the Contemnor, after being attended to by the medics, "got up on her feet and walked out of the courthouse herself." *Id.* at p. 17. The courthouse surveillance footage shows interesting information too, such as the Contemnor jumping out of a wheelchair and scurrying out of the elevator upon seeing that it was headed for the courtroom floor and not the basement and exit. Exhibit M. The footage also shows that the Contemnor walked downstairs from the courtroom to her office to retrieve belongings, and then put on her coat and hat, indicating behavior of someone who is leaving and not coming up from their office (one floor down) to the courtroom. All of this indicated that rather than face the consequences of her actions, the Contemnor "absconded from the courthouse." Order of Sentence, No. MD-898-2021, Aug. 5, 2022.

On November 24, 2021, the Contemnor filed a fifth appeal with the Commonwealth Court, challenging the adoption of the aforementioned local rules of juvenile procedure, a sixth appeal on November 30, 2021, and a seventh appeal on November 30, 2021.<sup>6</sup> All seven appeals were quashed on February 4, 2022.

Following the Contemnor leaving the courthouse on November 24, 2021 (again, without the permission of the Court), the continuation of the contempt proceeding was scheduled for Monday, November 29, 2021. That proceeding was rescheduled for December 6, 2021, at the request of the Sheriff's Department, which urged for additional time to arrange for adequate security for the proceeding, and to avoid further disruption of other court proceedings. In the meantime, the Contemnor filed for a Petition for Emergency Stay in the Superior Court, which was denied on December 2, 2021. However, on the afternoon of Friday, December 3, 2021, the Commonwealth Court granted a second request from the Contemnor to stay the contempt proceedings. The stay was lifted on February 4, 2022, as the Commonwealth Court found that because a punishment had not yet been imposed on the Contemnor, the appeal was interlocutory and was dismissed. *See* Docket No. 1332 CD 2021, Order of February 4, 2022.

The resumption of the contempt proceeding was then scheduled for February 28, 2022. On February 22, 2022, the Contemnor filed an Application for Writ of Prohibition and Emergency Stay with the Supreme Court.<sup>7</sup> In light of the Writ of Prohibition and upon advice of the AOPC's legal department, the Court continued the contempt proceeding generally, pending disposition of the Writ of Prohibition. The Supreme Court denied the Writ by *per curiam* order on June 23, 2022. Subsequently, the contempt proceeding was scheduled to resume on August 4, 2022, in order to

<sup>&</sup>lt;sup>6</sup> The Commonwealth Court docket numbers were: Nos. 1222 CD 2021; 1223 CD 2021; 1224 CD 2021; 1225 CD 2021; 1332 CD 2021; 1333 CD 2021; and 1334 CD 2021.

<sup>&</sup>lt;sup>7</sup> The Supreme Court docket number was No. 5 WM 2022.

allow time for the return of the record and for the Contemnor to have adequate time to secure counsel.<sup>8</sup>

Following the hearing on August 4, 2022, at which Contemnor was present and represented by her current counsel, the court found the Contemnor guilty of direct criminal contempt in violation of 42 Pa. Con. Stat. Ann. § 4132, as a result of her behavior:

> Refusing to follow the Court's Order on November 23, 2021; Locking the vault, restricting access to the Juvenile files; Refusing to submit to the Deputies; Refusing to come into the courtroom to be addressed by the Court; and Engaging in misbehavior immediately outside the courtroom, which created such a disruption that it spilled over three floors of the courthouse until [the Contemnor] absconded from the courthouse.

Order of Sentence dated August 5, 2021, No. MD-898-2021. The Contemnor was then sentenced

to a term of imprisonment of no less than fifteen (15) days to no more than six (6) months, a

\$5,000.00 fine, and to pay the costs of prosecution. Id. Upon the completion of her minimum

sentence, the Contemnor was then paroled for the balance of the six (6) months, to the supervision

of the Adult Probation Office. A timely appeal was filed on August 8, 2022.

#### Issues on Appeal

In her concise statement of matters complained of on appeal, the Contemnor alleges the

following errors:

1. Whether the Trial Court erred in finding Appellant guilty of Direct Criminal Contempt, pursuant to 42 Pa. C.S. § 4132, as Appellant was never scheduled or notified to appear before President Judge DiSalle on the date in question. Rather the Deputy Sheriffs presented an Order, made their own determination of contempt and manually forced Appellant within earshot of the President Judge. Once within earshot, the Trial Judge

<sup>&</sup>lt;sup>8</sup> Because of the length of time between the contumacious acts and the levy of the punishment, the court decided to provide the Contemnor with the opportunity to have counsel present and to be heard at the proceedings. Had the Contemnor not absconded from the courthouse on November 24, 2021, to avoid facing the consequences of her deplorable behavior, she would not have been entitled to either of those due process protections.

would later testify that he was disrupted by noise emanating from Appellant. This is clearly not the spirit or intent of the Criminal Contempt Statute.

- 2. Whether it was error that President Judge DiSalle found Appellant Guilty of Criminal Contempt, "an ungraded Misdemeanor." Appellant charges error with the finding of Direct Criminal Contempt, further compounded by the Trial Court grading said conviction as an "ungraded misdemeanor." A violation of 42 Pa. C.S. § 4132 is merely a summary offense, punishable by no more than 90 days, with a maximum of fifteen (15) days of incarceration. The Trial Court's sentence is [sic] mis-graded and therefore illegal.
- 3. Appellant raises further error with the sentence of President Judge DiSalle, in that Appellant was sentenced to a period of incarceration for no less than fifteen (15) days and no more than six (6) months in the Washington County Correctional Facility. Upon completion of her minimum sentence, Appellant was further ordered to be paroled to the supervision of the Washington County Probation Office to complete the remainder of her sentence. In addition, a fine of \$5,000.00 was levied against Appellant. It is Appellant's position that a maximum fine of \$100 was applicable to a conviction of 42 Pa. C.S. § 4132, pursuant to 42 Pa. C.S. § 4133.

### Legal Analysis

It is well-settled that the standard of review for an order finding an individual in contempt

is "extremely narrow." Estate of Baehr, 596 A.2d 803, 805 (Pa.Super.1991), appeal dismissed,

618 A.2d 944 (Pa. 1993). Upon review, the appellate court places

great reliance on the discretion of the trial judge. Each court is the exclusive judge of contempts against its process, and on appeal its actions will be reversed only when a plain abuse of discretion occurs. In cases of direct criminal contempt, that is, where the contumacious act is committed in the presence of the court and disrupts the administration of justice, an appellate court is confined to an examination of the record to determine if the facts support the trial court's decision.

Commonwealth v. Williams, 753 A.2d 856, 861 (Pa.Super.2000) (citing Commonwealth v.

Jackson, 532 A.2d 28, 31-32 (Pa.Super.1987)); see also Commonwealth v. Diaz, 191 A.3d 850,

864 (Pa.Super.2018) (citing Commonwealth v. Bowden, 838 A.2d 740 (Pa. 2003)). "Discretion is

abused when the course pursued represents not merely an error of judgment, but where the

judgment is manifestly unreasonable or where the law is not applied." Diaz, 191 A.3d at 864

(quoting Bowden, 838 A.2d at 762).

The first two allegations of error are centered on the statute governing direct contempt.

That statute, entitled "Attachment and summary punishment for contempts," states in its entirety:

The power of the several courts of this Commonwealth to issue attachments and to impose summary punishments for contempts of court shall be restricted to the following cases:

- (1) The official misconduct of the officers of such courts respectively.
- (1.1) The willful failure of the officers of such courts to disclose a person's complete criminal history record information when requested.
- (2) Disobedience or neglect by officers, parties, jurors or witnesses of or to the lawful process of the court.
- (3) The misbehavior of any person in the presence of the court, thereby obstructing the administration of justice.

42 Pa. Con. Stat. Ann. § 4132. Over forty years ago, our Supreme Court succinctly explained the

differences between the three main subsections of the statute:

Subsection (1) has been held to pertain only to court officials performing ministerial duties, while subsection (2) provides punishment for failure to comply with lawfully issued orders, decrees and process. Subsection (3) applies to conduct, such as that in the instant case, "occurring in or near the courtroom."

Matter of Campolongo, 435 A.2d 581, 583 (Pa. 1981) (citing Commonwealth v. Garrison, 386

A.2d 971, 978 (Pa. 1978) and In re Johnson, 359 A.2d 739, 741 (Pa. 1976)) (footnotes omitted).

Thus, the statute defines contempt not by the class of person, but rather the type of conduct. *Garrison*, 386 A.2d at 979 ("No satisfactory definition of contemptuous misconduct has been developed. Perhaps the best definition is that misconduct is behavior that is inappropriate to the role of the actor."). In this instance the Contemnor has accomplished an unprecedented spectacle of behavior that violates all three subsections of the statute. As stated on the record during the proceeding on August 4, 2022, on November 24, 2021, Contemnor refused to enter the courtroom with the dignity of an elected official to politely and intelligently discuss her opposition to the administrative order to transfer responsibility of the juvenile court files. Instead, Contemnor chose to perform the most undignified display of behavior that the undersigned had ever witnessed in

over 35 years in the legal system as a prosecutor, private practice attorney, and 17 years as a common pleas judge.<sup>9</sup>

The Contemnor first claims that there can be no contemptible behavior because the Court failed to schedule or notice a proceeding before the Court on November 24, 2021, and that the deputy sheriffs made their own determination of contempt. This claim is without merit. To begin, a formal order is not even necessary for an officer of the court to be punished for the commission of misconduct, let alone a scheduling notice as a condition precedent. *Matter of Johnson*, 359 A.2d 739 (Pa. 1976). In *Matter of Johnson*, the Supreme Court held that

Subsection I permits the courts of the Commonwealth to compel their officers properly to perform their ministerial duties. For example, sheriffs must serve process, court reporters must record and transcribe testimony and prothonotaries must receive, date and file documents. Misconduct of any of these prescribed duties, which are imposed upon the individual by virtue of the official position held, is made punishable by subsection I. There need by [sic] no formal order directing the individual to do an act nor does the misconduct have to be within the presence of the court. Subsection I authorizes the court to punish the misconduct of any of the day to day functions necessary to the administration of justice.

359 A.2d at 741.10

In this matter, it is the ministerial duty of the office of the Clerk of Courts to manage files for the court. In addition, there was a formal order directing the Contemnor to carry out a specific administrative task involving the transfer of juvenile court records, and a clear directive to the Sheriff and his designee(s) of how to proceed if the Contemnor refused to follow the order. The order provided for the Chief Juvenile Probation Officer to take custody of all juvenile court files

<sup>&</sup>lt;sup>9</sup> The court noted that this included his experience presiding over mental health treatment court for 7 years, where participants diagnosed with serious mental illness occasionally break down in open court, but are able to be brought under control within several minutes. Transcript of Hrg., August 4, 2022, at pp. 37-38.

<sup>&</sup>lt;sup>10</sup> There is no question that a clerk of courts is a ministerial officer in exactly the same vein as a prothonotary. In re Admin. Order No. 1-MD-2003, 936 A.2d 1, 9 (Pa. 2007) (holding that the powers of a clerk of courts are "clearly ministerial in nature."); Miller v. County of Ctr., 135 A.3d 233, 238 (Pa. Cmwlth. 2016), aff'd, 173 A.3d 1162 (Pa. 2017) (a clerk of courts "serve[s] the courts in an administrative capacity."). Moreover, a clerk of courts possesses no authority to "interpret statutes and to challenge actions of the court that the clerk perceives to be in opposition to a certain law." In re Admin. Order No. 1-MD-2003, 936 A.2d at 9.

to facilitate their transfer pursuant to the recently promulgated rules of juvenile procedure. In addition, the order required the following of the Contemnor: "The Clerk of Courts, and her staff, shall cooperate in the orderly transfer of files." Administrative Order dated November 23, 2021. Lastly, the order directed the Sheriff to immediately attach and detain the Contemnor – a ministerial officer of the court – pending a contempt proceeding if she refused or failed to comply with the order. The order could not have been clearer that the Contemnor was to cooperate with the transition and not interfere with the orderly transfer of juvenile case filings. Instead, the Contemnor intentionally locked the vault door to obstruct the fulfillment of the court's order and the validly promulgated rules of local procedure that would be effective at the beginning of the next business day. The immediate attachment was necessary and proper to effectuate the business of the court and the administration of justice. The language of the order was more than sufficient to put the Contemnor on notice that engaging in willful defiance would be considered contumacious. *See Himes v. Himes*, 833 A.2d 1124 (Pa. Super. Ct. 2003) (attorney found in contempt for not appearing at a scheduled hearing after being advised to appear or face immediate contempt proceedings).

The Contemnor also claims that because she was able to avoid entering the courtroom and since her contumacious behavior was in the hallway, and not in the presence of the court, the court is powerless to find her in contempt, and therefore, she could not be punished at all. This is a misreading of § 4132 and the relevant case law. As the Supreme Court noted in *Commonwealth* v. *Falana*, 696 A.2d 126, 128 (Pa. 1997), it is only a conviction pursuant to § 4132(3) that requires misconduct in the presence of the court.<sup>11</sup>

<sup>&</sup>lt;sup>11</sup> It is plainly erroneous to state, as the Contemnor has, that no punishment may be imposed whatsoever, even if assuming, *arguendo*, that the conduct was not in the presence of the court. All of the examples of misconduct under § 4132(1) are of a type that occur outside of a courtroom or the presence of a jurist, or perhaps the judicial facility itself. A judge does not have to actually witness the prothonotary refuse to file an order, or the Sheriff decline to serve

A conviction pursuant to section 4132(3) requires proof beyond a reasonable doubt: (1) of misconduct, (2) in the presence of the court, (3) committed with the intent to obstruct the proceedings, (4) which obstructs the administration of justice. *Campolongo; Commonwealth v. Martorano*, 387 Pa.Super. 79, 563 A.2d 1193 (1989). To obstruct justice, conduct must significantly disrupt proceedings. *Campolongo*. We noted in *Commonwealth v. Garrison*, 478 Pa. 356, 386 A.2d 971 (1978) (plurality opinion), that contempt requires actual, imminent prejudice to a fair proceeding or <u>prejudice to the preservation of the court's orderly procedure and authority</u>.

Commonwealth v. Falana, 696 A.2d at 128 (citations omitted) (emphasis added).

In her Concise Statement, the Contemnor attempts to minimize the presence requirement

by alleging that she was only "within earshot of the President Judge." At the sentencing

proceeding, this Court made, inter alia, the following findings:

On November 24<sup>th</sup>, obviously, Ms. Davis refused to comply with the order, locked the vault door. The files happened to be contained in the vault in the Clerk of Court's office, and when presented with the [o]rder, she slammed the door shut, and locked the door, spun the dial so that it could not be accessed.

She refused to come [in]to my courtroom when brought up here by the [d]eputies. I was waiting in court for this purpose. I could hear her screaming outside my doorway. She never did come into the courtroom.

• • •

[During the proceeding on August 4, 2022, the court played for the record the entirety of courthouse security camera footage, Exhibit M, Surveillance Footage.]

• • •

I will note for the record that after refusing to come in the courtroom, Ms. Davis now has her hat and coat on.

The Contemnor absconded from the courthouse quickly thereafter.

Transcript of Hrg., Nov. 24, 2021, at pp. 9-11.12

process, or watch over the shoulder of a recalcitrant court reporter. Rather, it is an inherent authority that permits the court to enforce the necessary duties attendant to the business of the courts and the administration of justice. To find otherwise would be absurd. Additionally, there was a lawful, written, and filed order that the Contemnor was literally holding in her very hands while she was intentionally violating it.

<sup>&</sup>lt;sup>12</sup> There was no video footage available of the Contemnor's deliberate act of locking the vault door shut because the Contemnor had personally removed the security camera from her office on October 18, 2021, without the court's permission or the approval of the County Commissioners or the Information Technology department. Transcript of Hrg., August 4, 2022, at pp. 12, 30-32.

As the proceeding continued, the Contemnor's counsel acknowledged that she refused to come into the courtroom and that the Court heard the commotion, and then conceded that this willful behavior was contumacious:

MR. DePASQUALE: I understand your position that you were in the courtroom and heard things outside; and also, that the Clerk of Courts refused to enter the Courtroom.

However, I don't believe that constitutes Direct Contempt, Direct Criminal Contempt, any time I've ever heard of it or read of it, is something that actually occurs in the presence of the judge.

We're not arguing about the contempt, because there was an order, and the order was not complied with.

Transcript of Hrg., Nov. 24, 2021, at pp. 13-15. The Contemnor offered nothing in defense of her contumacious behavior, other than to state that she was "assaulted" by the Sheriff's deputies, a claim belied by the surveillance video footage,<sup>13</sup> and to offer an insincere apology. Thus, the Contemnor's argument is based on a tortuous and strained understanding of when behavior is considered to be in the presence of the court.

The courts of our Commonwealth have long recognized a broader definition of what constitutes the "presence" of the court than the Contemnor is willing to accept. "Misconduct occurs in the presence of the court if the court itself witnesses the conduct or if the conduct occurs outside the courtroom but so near thereto that it obstructs the administration of justice." *Falana*, 696 A.2d at 129 (quoting *Garrison*, *supra*, and citing *United States v. Wilson*, 421 U.S. 309, 315 n. 6 (1975)). In *Falana*, the defendant uttered a threat to the victim upon leaving the courtroom following sentencing. Despite the fact that the trial judge did not hear the threat himself, the Supreme Court affirmed the imposition of direct contempt pursuant to § 4132(3), holding that the

<sup>&</sup>lt;sup>13</sup> The Contemnor's claim of being assaulted is also refuted by the report of the Attorney General's Office, who investigated her allegations after she attempted to file criminal charges against the deputy sheriffs, which reported that the allegations were unfounded. Transcript of Hrg., August 4, 2022, at pp. 23-24, 40.

presence of the court factor is satisfied regardless of whether (1) the temporal location is inside or outside of the courtroom; and (2) the judge actually hears the statement. *Id.* Thus, there is no obligation for the court to prove that it actually witnessed the disturbance. *Commonwealth v. Moody*, 125 A.3d 1, 10-11 (Pa. 2015) (citing *Falana*, *supra*, and *In re Terry*, 128 U. S. 289 (1888)); *see also Commonwealth v. Brown*, 622 A.2d 946 (Pa.Super.1993) (summarizing expansive view of the presence of the court requirement); *In re MacDonald*, 168 A. 521 (Pa.Super.1933) (threat made in rear of courtroom and heard by tipstaff was contumacious). The Supreme Court in *Moody* explicitly rejected the narrow reading of an act being "in the presence of the court," with that of it being 'personally observed' by the court." *Moody*, 125 A.3d at 12. Contempt, therefore, can occur not only within direct sight of the Court, but, importantly, within its view when in session: "the court, at least when in session, is present in every part of the place set apart for its own use, and for the use of its officers, jurors, and witnesses: and misbehavior anywhere in such place is misbehavior in the presence of the court." *Id., quoting Ex parte Savin*, 131 U. S. 267, 277 (1889).

Instantly, there is no question that the court heard the misconduct that disrupted the administration of justice. The scene of the Contemnor's willful disobedience reverberated throughout the courthouse and gathered a multitude of onlookers. The court received a telephone call from an eyewitness to the disturbance who described the Contemnor's struggle with the deputy sheriffs. Perhaps most importantly, the court sat ready at the bench to initiate the contempt proceeding while listening to the commotion of the Contemnor's misconduct right outside the courtroom doors, *i.e.*, the Contemnor's refusal to answer for her intentional defiance of the court's order to facilitate the transfer of the juvenile cases. Upon retiring to chambers to consult with the Sheriff and other officers of the court, the court's law clerk reported that the Contemnor flatly declined the direction to enter the courtroom. Unquestionably, the Contemnor committed

misconduct in the presence of the court, thereby satisfying the dictates of the case law in *Moody* and *Falana*, *supra*.

Moreover, all of the behavior of the Contemnor occurred in the courthouse. The office of the Clerk of Courts is bookended on both sides by judicial chambers and courtrooms. The Contemnor's dramatic behavior and resistance to the commands of the court, its staff, and Sheriff's deputies occurred in the elevator and hallways of over three floors of the courthouse, including those adjacent to the undersigned's courtroom. She stood directly outside the courtroom and emphatically refused to enter it. As the Supreme Court in *Moody* noted, the court includes "every part of the place set apart for its own use, *and for the use of its officers* . . . and misbehavior anywhere in such place is misbehavior in the presence of the court." *Moody*, 125 A.3d at 12 (emphasis added). The Constitution and Judicial Code are abundantly clear that the clerk of courts is a ministerial officer of the court; thus, her actions in and near the courtroom(s), adjacent hallways, and the clerk's office in the courthouse are within the presence of the Court.

Furthermore, the Contemnor obstructed the administration of justice in front of Sheriff's deputies, the Chief Juvenile Probation Officer, and the District Court Administrator, all of whom were either serving and/or executing the order – directed to an inferior officer of the court and in the courthouse – on behalf of the court as its agents, and, therefore, the conduct was clearly in the presence of the court. In re Order for Destruction of Gambling Devices, 32 Berks 193 (C.P. Berks 1940), rev'd on other grounds, Appeal of Marks, 20 A.2d 242 (Pa.Super.1941); but cf. Altemose Const. Co. v. Building and Const. Trades Council of Philadelphia, 296 A.2d 504 (Pa. 1972), cert. denied, 411 U.S. 932 (1973). It has also been held that a failure to follow the instructions of the Sheriff's deputies or court attendants in court is contumacious behavior. See Commonwealth v.

*Patterson*, 308 A.2d 90 (Pa. 1973) (defendants held in contempt after engaging in violent altercation with deputies and court attendants while attempting to leave courtroom).

Lastly on this issue, the record establishes that the undersigned was ready and awaiting the Contemnor in the courtroom. The Supreme Court has defined an "open court' as one 'which has been formally convened and declared open for transactions of its proper judicial business." Commonwealth v. Ferrara, 409 A.2d 407, 411 (Pa. 1979) (citing Black's Law Dictionary (1968); Brown, 622 A.2d at 948-949. In this instance, the Contemnor was personally served the order, which advised that she would be attached and detained for immediate contempt proceedings if she failed to cooperate in the transfer of the juvenile cases. The Contemnor refused to even read the order, let alone follow the order, and instead chose to actively obstruct the process in contravention of the order. Once detained by the Sheriff's deputies (after physically struggling with them), she deliberately refused to enter the courtroom as commanded for contempt proceedings. An individual acts in disobedience or neglect of the lawful process of the court when not appearing in court; this act "is no less contemptuous than the conduct of a witness who appears but refuses to testify and is deserving of no lesser punishment." Ferrara, 409 A.2d at 411; see also Commonwealth v. Marcone, 410 A.2d 759 (Pa. 1980) (affirming finding of direct criminal contempt against attorney who failed to appear for call of the trial list). In other words, failure to appear in open court can be contemptuous conduct punishable by a term of imprisonment.<sup>14</sup> The court was in session and the Contemnor consciously and defiantly refused to appear before the undersigned despite being ordered to do so. In addition, the Contemnor, an officer of the court,

<sup>&</sup>lt;sup>14</sup> In *Ferrara*, the husband and wife defendants were required to appear in court as a condition of their bails. They failed to appear on the dates for arraignment and trial and were sentenced to four months and two months of imprisonment, respectively.

willfully obstructed the carrying out of an order transferring the custody of juvenile court files. Such actions constitute direct criminal contempt.

The Contemnor's second and third alleged errors challenge the sentence imposed by the court. Specifically, she asserts that her sentence is illegal pursuant to § 4133 of the Judicial Code. The statute states, in its entirety:

Except as otherwise provided by statute, the punishment of commitment for contempt provided in section 4132 (relating to attachment and summary punishment for contempts) shall extend only to contempts committed in open court. All other contempts shall be punished by fine only.

42 Pa. Con. Stat. Ann. § 4133.

By its very language, § 4133 permits a court to sentence a contemnor to a term of imprisonment for direct contempt pursuant to § 4132(3), *i.e.*, in the presence of the court. *See*, *e.g.*, *Moody*, 125 A.3d 1; *Brown*, 622 A.2d 946; *Ferrara*, 409 A.2d 407; *Patterson*, 308 A.2d 90. As detailed above, the Contemnor engaged in willful, deliberate acts of contempt in the presence of the court; therefore, a term of imprisonment is plainly permissible under the language of the statute. The only limitation on the term of imprisonment is if said term lasted beyond six months, then the right to a jury trial would attach. *Commonwealth v. Mayberry*, 327 A.2d 86 (Pa. 1974); *accord In re Arrington*, 214 A.3d 703, 709 (Pa.Super.2019) (maximum sentence for criminal contempt may not exceed six months).<sup>15</sup> A flat sentence, *i.e.*, one lacking a minimum and maximum would also violate the Sentencing Code, but that is inapplicable in the instant matter.

<sup>&</sup>lt;sup>15</sup> There is a dearth of case law addressing the grading of a criminal contempt offense, which is not included within the Criminal Code but is instead an inherent judicial authority addressed in the Judicial Code. That said, criminal contempt, direct or indirect, is punishable by up to six months of imprisonment; therefore, it cannot be a summary offense because such offenses are limited to a maximum of ninety days' imprisonment if following the definitions within the Crimes Code. 18 Pa. Con. Stat. Ann. § 106; *cf. Commonwealth v. Clark*, 472 A.2d 617, 618-619 (Pa. Super. Ct. 1984) (direct criminal contempt is neither a felony nor a misdemeanor for purpose of Rule 1100 (now Rule 600) of the Rules of Criminal Procedure). In any event, the grading is immaterial to the fact that the Contemnor's sentence of imprisonment is within the allowable confines acknowledged in our Commonwealth's case law.

Commonwealth v. Cain, 637 A.2d 656 (Pa.Super.1994); Commonwealth v. Moody, 46 A.3d 765, 770 n. 4 (Pa. Super.2012), rev'd on other grounds, Commonwealth v. Moody, 125 A.3d 1 (Pa. 2015); 42 Pa. Con. Stat. Ann. § 9756(a)-(b).

Moreover, § 4133 is an unconstitutional infringement of the Court's inherent authority to set forth the punishment for direct criminal contempt. *Commonwealth v. McMullen*, 961 A.2d 842 (Pa. 2008) (declaring the limitation on the punishment for indirect criminal contempt pursuant to 42 Pa. Con. Stat. Ann. § 4136(b) as unconstitutional); *see also*, 18 Pa. Con. Stat. Ann. § 107(b) and (c) (abolishing common law crimes but not affecting the power of a court to punish for contempt).<sup>16</sup> Our Supreme Court has long recognized that the power to impose punishment for criminal contempt is not derived from legislation, but "is a right inherent in courts and is incidental to the grant of judicial power under Article 5 of our Constitution." *Marcone*, 410 A.2d at 763.

In *McMullen*, the Supreme Court declared 42 Pa. Con. Stat. Ann. § 4136, which specified the punishment a court could impose for indirect criminal contempt, to be unconstitutional. *McMullen*, 961 A.2d at 848. Section 4136(b) had purported to limit a court's authority to punish for indirect criminal contempt to a maximum fine of \$100.00 and 15 days' imprisonment. 42 Pa. Con. Stat. Ann. § 4136(b). The Supreme Court explained the following:

Initially, we note § 4136(b) is not in the Crimes Code, but under Title 42–Judiciary and Judicial Procedure, Chapter 41–Administration of Justice, Sub–Chapter C.-Contempt of Court. We are thus left with a legislative creation of indirect criminal contempt under § 4136. Since courts have the authority to punish individuals in violation of their orders under the case law described above and § 107(c), the legislature cannot create a form of indirect criminal contempt and restrict a court's ability to punish individuals who commit contempt of court. While the legislature generally may determine the appropriate punishment for criminal conduct, indirect criminal contempt is an offense against the court's inherent authority, not necessarily against the public. Section 4136(b) provides maximum penalties the court may impose; thus, § 4136(b) unconstitutionally restricts the court's ability to punish for contempt.

<sup>&</sup>lt;sup>16</sup> Issues related to the legality of a sentence are reviewed *de novo*, and the scope of review is plenary. *Commonwealth v. McKown*, 79 A.3d 678, 691 (Pa. Super. Ct. 2013).

#### McMullen, 961 A.2d at 849-850.

Turning to § 4133, the court is aware that the Supreme Court declined to explicitly address the "other statutory law concerning contempt" in *McMullen*. *Id.* at 850, n. 6. However, § 4133 is virtually indistinguishable from the subsections of § 4136 that the *McMullen* decision declared unconstitutional. Applying the same framework as the Supreme Court in *McMullen*, there is little doubt that § 4133 is also an impermissible intrusion on the inherent authority of the courts of our Commonwealth to punish individuals who commit direct contempt of court. This is the result urged by Chief Justice Castille in *McMullen*:

In my view, the General Assembly cannot dictate to the courts what is adequate punishment to vindicate a court's authority. Indeed, to concede such a power would be to allow the General Assembly, in theory, to destroy the judiciary's ability to address contempt: for what would there be to prevent the General Assembly from limiting punishment to something completely toothless such as, for instance, a five dollar fine?

Id. at 854 (Castille, C.J., concurring). A similar note was struck in Justice Greenspan's concurrence too. Id. at 855, n. 2 (Greenspan, J., concurring) ("One could argue that Section 4133 likewise constitutes an infringement on a court's authority to enforce its own orders. However, that statute is not before us in this case."). Other courts and commentators have reached the same, inevitable conclusion that the legislature has encroached too far with § 4133. See, e.g., Commonwealth v. Leomporra, 2020 WL 6821633 (Pa.Super.2020); Commonwealth v. Allen, 2015 WL 6957090 (Pa.Super.2015); Commonwealth v. Leonard, 2014 WL 10979687 (Pa.Super.2014); Commonwealth v. Morgret, Nos. SA-53-2014 and SA-63-2014 (C.P. Lycoming February 25, 2015); Commonwealth v. Phillips, 2014 WL 8105545 (C.P. Phil. July 29, 2014); Daniel P. Sodroski, Unraveling the Uncertainties of the Separation of Powers between Pennsylvania's General Assembly and the Judiciary in the Field of Criminal Contempt: The Constitutionality of Pennsylvania's Criminal Contempt Statutes after Commonwealth v. McMullen, 53 DUQUESNE

LAW REVIEW, 567, 605 (2015) (analyzing history of contempt and separation of powers and concluding that § 4133 is likely unconstitutional because it limits the power of the court to set an appropriate penalty for contempt): *accord*, *In re Thirty-Fifth Statewide Investigating Grand Jury*, 112 A.3d 624, 630-631 (Pa. 2015) ("Regarding separation-of-powers concern, this Court has strongly defended the independent role of the judiciary in vindicating the authority ascribed to it by the Constitution, particularly as relates to conduct which is contemptuous of a court") (citing *McMullen, supra*). Accordingly, the sentence of the Contemnor is permissible and an appropriate punishment for her outrageous behavior and direct criminal contempt of the court's authority.

#### **Conclusion**

The Judicial Code provides that every court, including the court of common pleas, "shall have the power to make such rules and orders of court as the interest of justice or the business of the court may require" unless otherwise proscribed by general rule. 42 Pa. Con. Stat. Ann. § 323. The president judge of a court of common pleas is vested with the authority to

Be the executive and administrative head of the court, supervise the judicial business of the court, promulgate all administrative rules and regulations, make all judicial assignments, and assign and reassign among the personnel of the court available chambers and other physical facilities.

42 Pa. Con. Stat. Ann. § 325(e)(1). On the other hand, the clerk of courts is an inferior officer of the court who wields powers that are "strictly administrative" and "purely ministerial in nature." *Commonwealth v. Williams*, 106 A.2d 583, 588-589 (Pa. 2014) (citing *In re Administrative Order No. 1-MD-2003*, 936 A.2d 1, 9 (Pa. 2007)). While the functions of the clerk of courts are vitally important to the business of the courts and, in this County at least, carried out by an elected official, her authority is limited by either statute or rule of court. *In re Administrative Order No. 1-MD-2003*, 936 A.2d at 9. It is beyond peradventure that the clerk has "no judicial powers" and lacks

the "discretion to interpret statutes." *Id.* Our Supreme Court has unambiguously held that: (1) a clerk cannot "challenge actions of the court that the clerk perceives to be in opposition to a certain law"; and (2) "it is not the function of the clerk of courts to interpret the administrative orders of the court of common pleas to determine whether they comply with the law." *Id.* It is with this backdrop that the court notes the familiar refrain that in the context of contempt, "[m]isconduct is behavior that is inappropriate to the role of the actor." *See, e.g., In re Arrington, supra; Falana, supra.* 

As the court found and as detailed above in the discussion:

- The Contemnor sought to waive a multitude of the duties of her office, including the administration of the juvenile court records which were the subject of the order in question. Attempts at resolving the Contemnor's "waiver of duties" were unsuccessful.
- The court promulgated two approved local rules of juvenile procedure, the effect of each was to transfer the duties of the clerk of court for juvenile records and filings to the Juvenile Probation Office.
- 3. The Contemnor manifested in writing her intent to obstruct the facilitation of the local juvenile rules, and substituted her own misguided interpretations of the law.
- The court issued multiple administrative orders regarding the transfer of the juvenile records, including the order dated November 23, 2021, requiring the Contemnor – an officer of the court – to cooperate.
- 5. Demonstrating her willful disobedience, the Contemnor refused to read or acknowledge the administrative order and locked the door to the vault of her office in an attempt to obstruct the court's access to the juvenile court files and to obstruct the business of the court and defy a judicial directive.

- 6. The Contemnor struggled and actively resisted the attempts of the deputy sheriffs to detain and attach her and bring her before the court.
- 7. The Contemnor verbally and through her physical actions refused to enter the courtroom of the undersigned for contempt proceedings. Then, after receiving medical attention, she willingly absconded from the courthouse to avoid the consequences of her actions.
- A series of appellate actions initiated by Contemnor (all of which were quashed, denied, or dismissed, but which required the court's response, significant time, and attention), led to a series of delays.
- 9. The Contemnor never sincerely acknowledged, explained or apologized for her contumacious behavior. In fact (and as captured in the transcript), her attorney had to urge her to provide some type of apology at sentencing.
- 10. The transfer of the juvenile court records was completed without any cooperation or participation from the Contemnor.

This case represents the breakdown of an orderly system of justice. It answers the question of what would happen if an official were to ignore the law, or worse, sabotage the functions of a branch of government. The Contemnor is the clerk of courts for the 27<sup>th</sup> Judicial District. Her job is to follow the rules and orders of the court. She chose not to do so in dramatic fashion. The lower court submits that the members of the Superior Court review the courthouse security footage, Exhibit M, in its entirety. The security video clearly depicts the Contemnor carrying on and resisting the commands of the Sheriff's deputies. The video clearly depicts the outrageous spectacle she caused, sliding down the wall in the hallway after faking an injury from a deputy sheriff putting his hand on her arm. The Contemnor is then seen laying on the floor and rolling around on the ground, all while contorting herself to take "selfies" and videos with her cell phone.

Members of the public and other court personnel are seen walking by and stepping over her during her tantrum. And then, finally, the Contemnor is shown getting up unassisted and walking out of the courthouse. As stated, this was the most undignified and disgraceful display of behavior in the courthouse that the court has witnessed throughout his career. The Contemnor's behavior was an affront to the court's orderly procedure and authority, and to the structure and powers of the unified judicial system. The Contemnor's alleged errors essentially boil down to one argument, that the imposition of the term of incarceration is an inappropriate sanction for her acknowledged and undisputed contumacious behavior. However, the sanction was tailored to fit the conduct the Contemnor exhibited. The Contemnor fails to demonstrate that both the finding of and sentence imposed for contempt was unlawful or was otherwise inappropriate for the outrageous misbehavior of someone in her position.

Accordingly, for the foregoing reasons, the lower court submits that the finding of contempt and judgment of sentence against the Contemnor, Brenda Davis, should be affirmed and the appeal dismissed.

Date: 4/6/2023

By the Court ohn F. DiSalle, P.J.